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SCIENCES

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POUR L'INGENIEUR

LOIRE

ET LE NUMERIQUE

NON-COHERENT DETECTION OF CONTINUOUS PHASE MODULATION FOR LOW EARTH ORBIT SATELLITE IOT COMMUNICATIONS AFFECTED BY DOPPLER SHIFT

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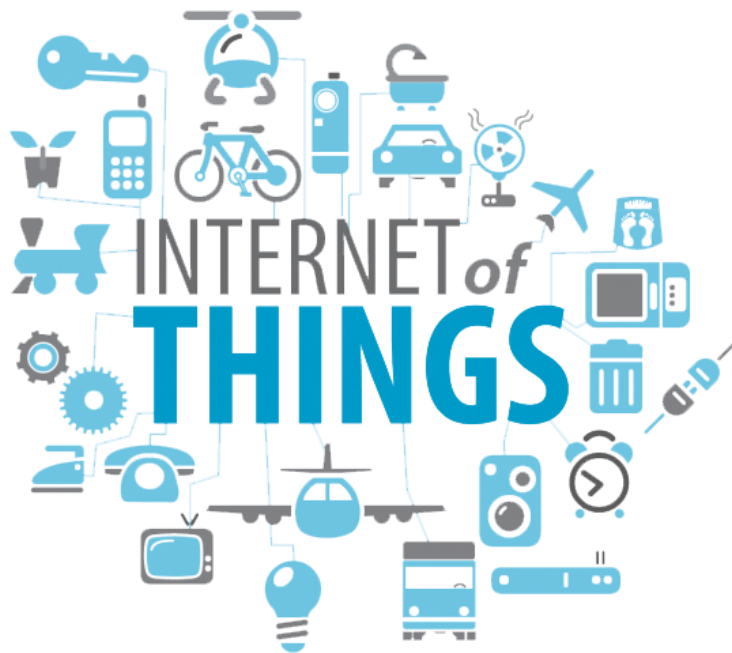
TéSA

ANOUAR JERBI

Funded by :



14/03/2023



Number of connected devices (in billions)

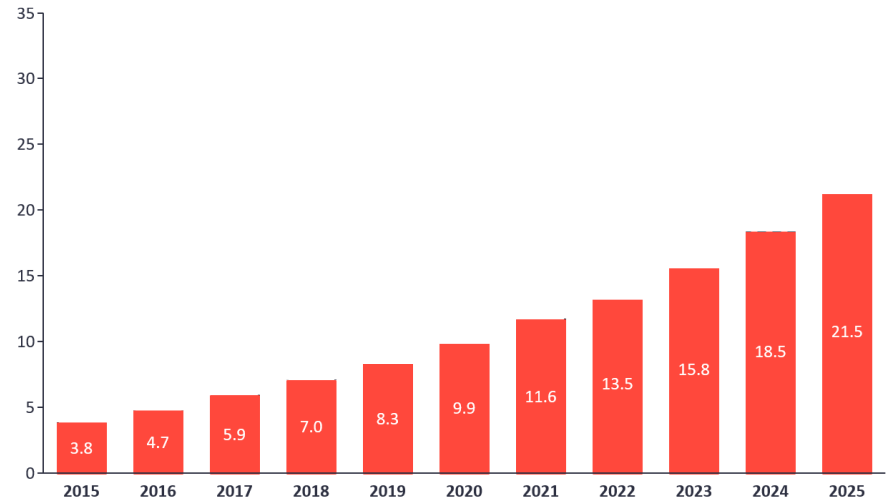
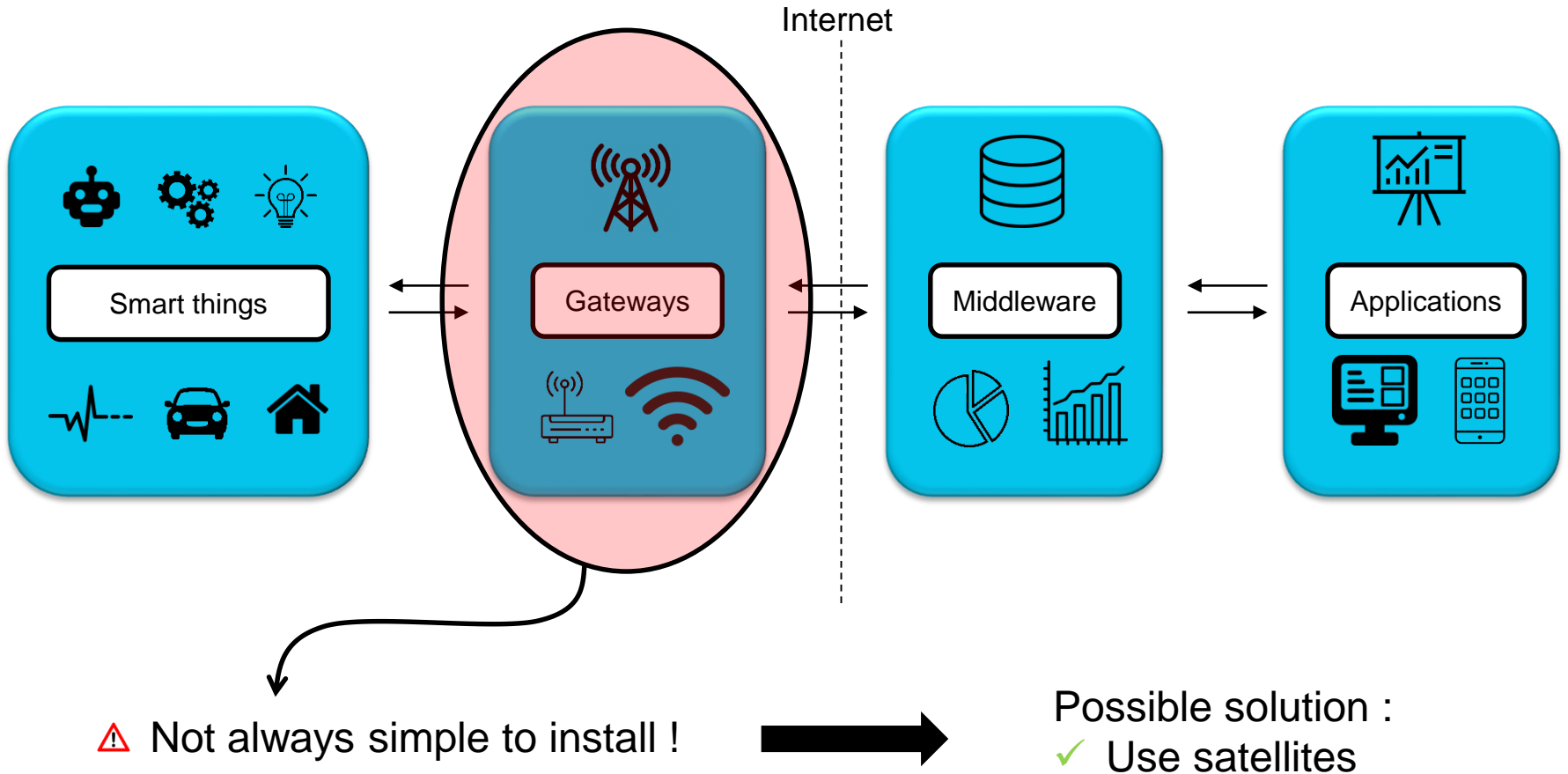


Figure : The number of connected devices in previous years and the expected number in 2024 and 2025

➤ The market is expected to grow by 19% in 2023 !

Source : IoT Analytics (www.iot-analytics.com)



CONTEXT OF THE PHD

Satellite usage with IoT

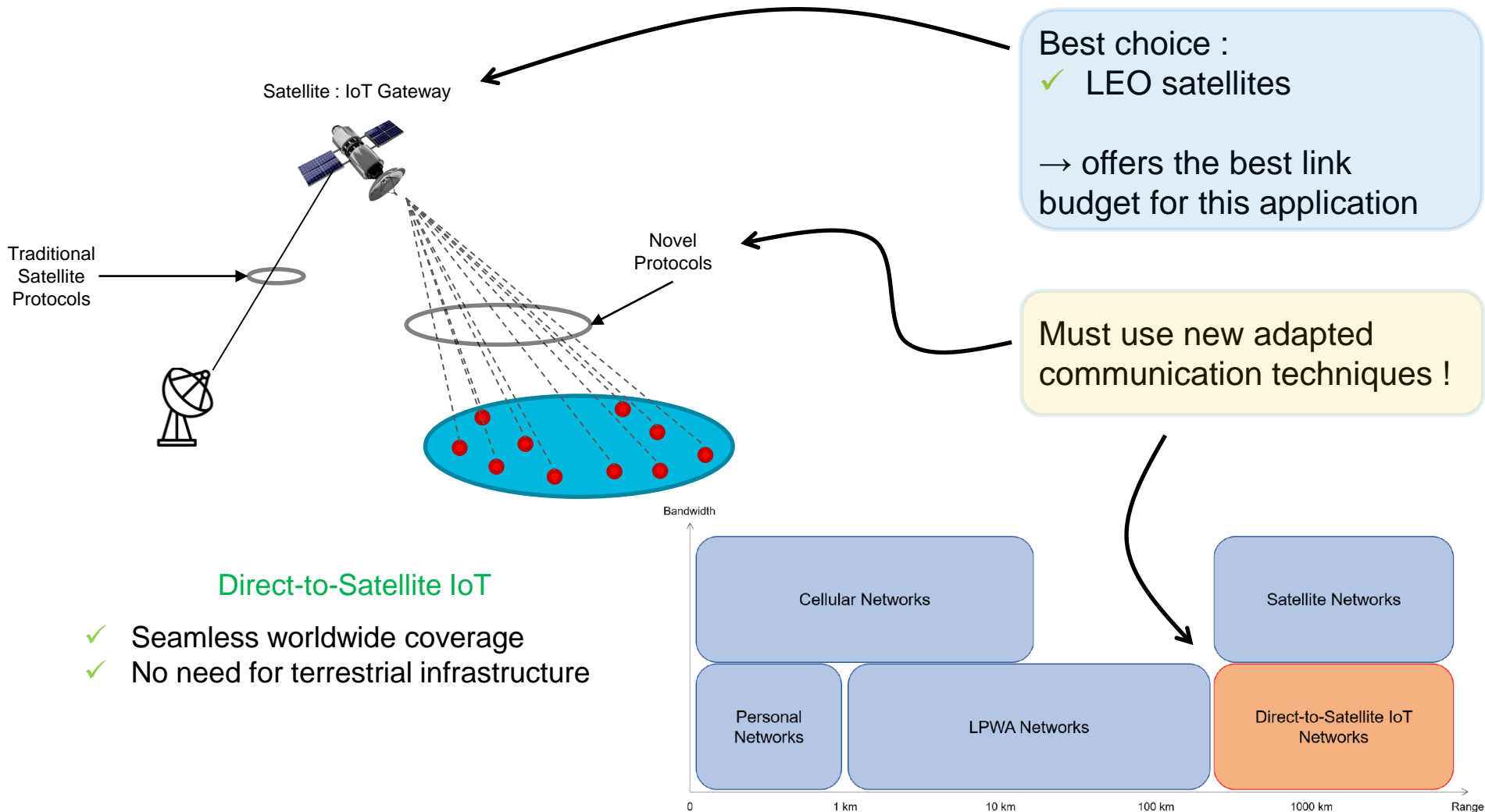
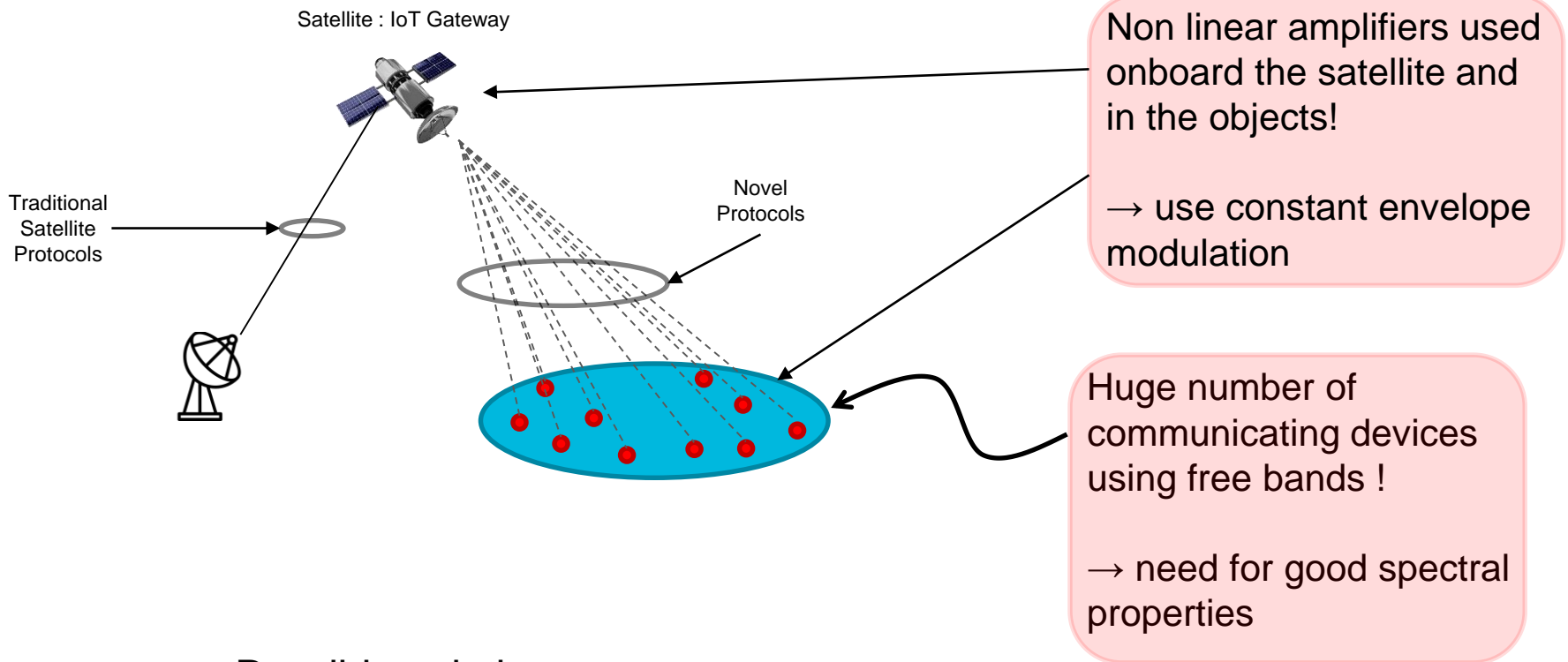


Figure : Bandwidth versus range for different types of networks

CONTEXT OF THE PHD

Satellite usage with IoT

5



→ Possible solution :

✓ Continuous Phase Modulation (CPM)

The Doppler problem

- LEO satellites travel at very high velocity.
- It can reach around 7.5 km/s.

⚠ Heavy **Doppler** present in the object-satellite link !

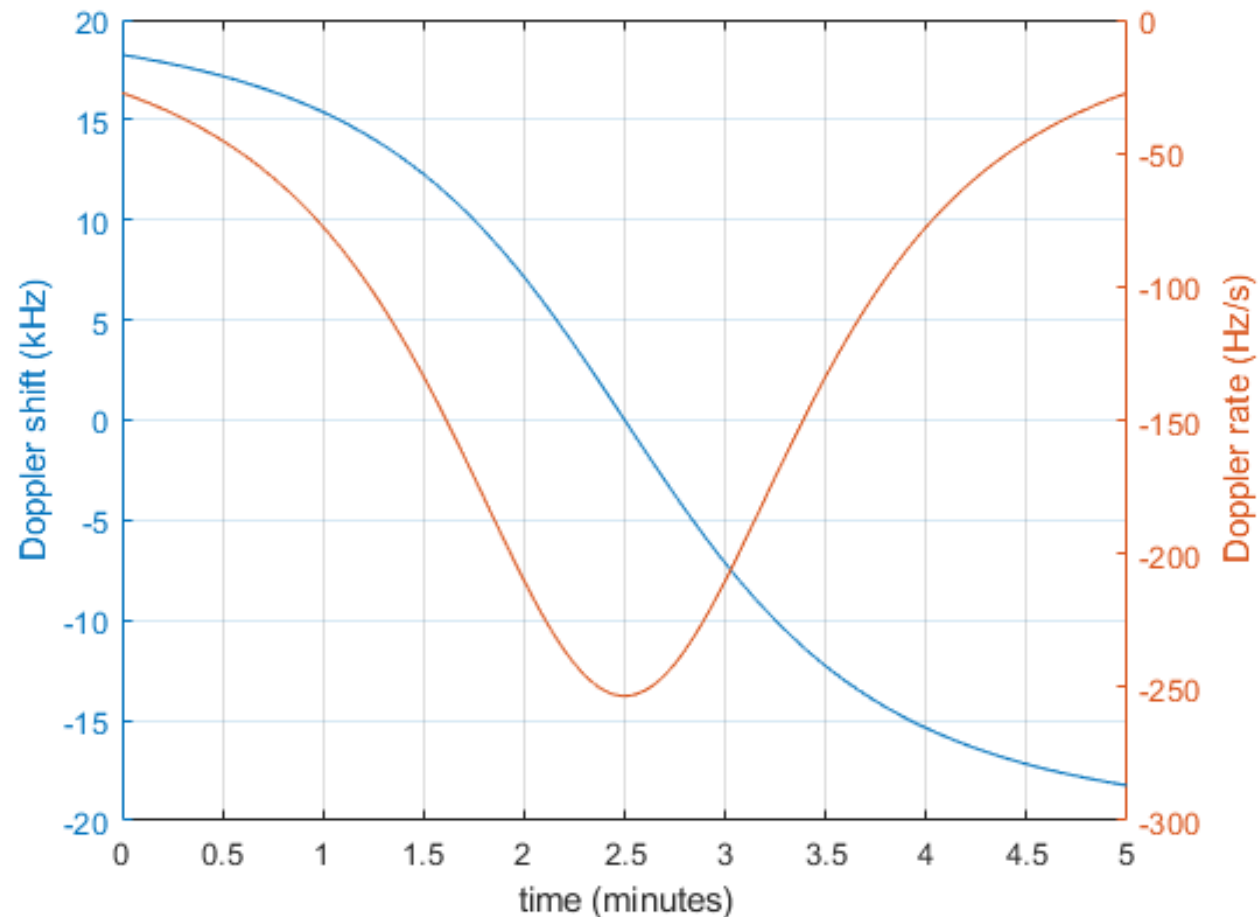


Figure : Typical Doppler shift and rate profiles received from a LEO satellite with 868 MHz carrier at an altitude of 550 km

CONTENT

1. CONTEXT OF THE PHD
2. CPM
3. CPM DETECTION WITH BLIND DOPPLER ESTIMATION
4. OPTIMIZED DELAY DIFFERENTIAL CPM DETECTION
5. COMPARISON BETWEEN DETECTORS
6. CONCLUSION & PERSPECTIVES



CPM



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Signal model

Continuous Phase Modulation (CPM) :

$$s(t, \mathbf{a}) = \sqrt{\frac{2E_s}{T_s}} e^{j\theta(t, \mathbf{a})}$$

$$\theta(t, \mathbf{a}) = 2\pi h \sum_{i=0}^{N-1} a_i q(t - iT_s)$$

$$q(t) = \begin{cases} \int_0^t g(u) du, & 0 \leq t < LT_s \\ \frac{1}{2}, & \forall t \geq LT_s \end{cases}$$

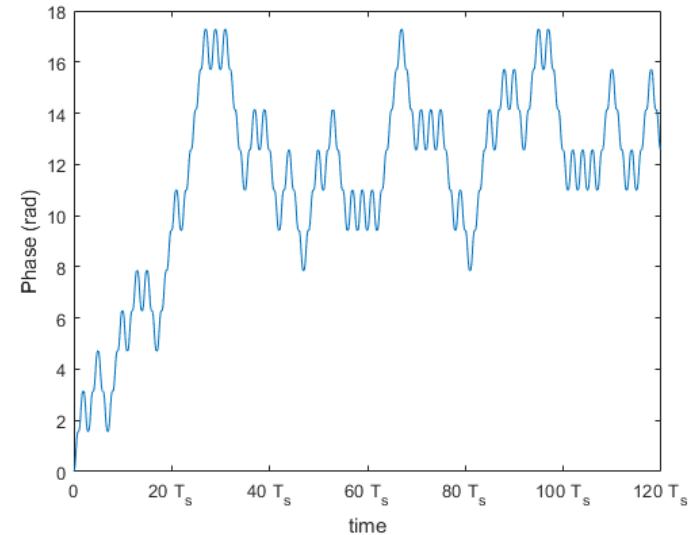
a : modulation alphabet of order M

h : modulation index

g : frequency pulse shape

L : CPM memory

(a) $L = 1$



(b) $L = 5$

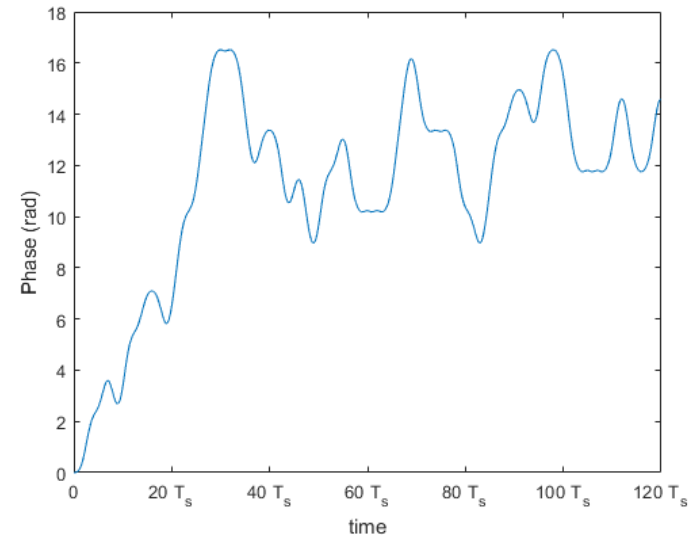


Figure : Phase evolution with time for binary RC, $h = 0.5$

Linear decomposition

Laurent decomposition of CPM [1] (extended by Morelli & Mengali [2]) :

$$s(t, \mathbf{a}) = \sqrt{\frac{2E_s}{T_s}} \sum_{k=0}^{K_T-1} \sum_{i=0}^{N-1} \alpha_{k,i} h_k(t - iT_s)$$

$$\alpha_i = \{\alpha_{k,i}\}_{0 \leq k \leq K_T} = f(a_i)$$

$$P = \log_2(M)$$

α_0	α_1	α_2	α_3	α_4	..
$\alpha_{0,0}$	$\alpha_{0,1}$	$\alpha_{0,2}$	$\alpha_{0,3}$	$\alpha_{0,4}$	
$\alpha_{1,0}$	$\alpha_{1,1}$	$\alpha_{1,2}$	$\alpha_{1,3}$		
$\alpha_{2,0}$	$\alpha_{2,1}$	$\alpha_{2,2}$			
$\alpha_{3,0}$	$\alpha_{3,1}$				
$\alpha_{4,0}$					

Components	Duration
first component	$L + 1$
next $2^P - 2$ components	L
next $(2^P - 1)^2$ components	$L - 1$
next $2^P(2^P - 1)^2$ components	$L - 2$
next $2^{2P}(2^P - 1)^2$ components	$L - 3$
.	.
.	.
last $2^{(L-2)P}(2^P - 1)^2$ components	1

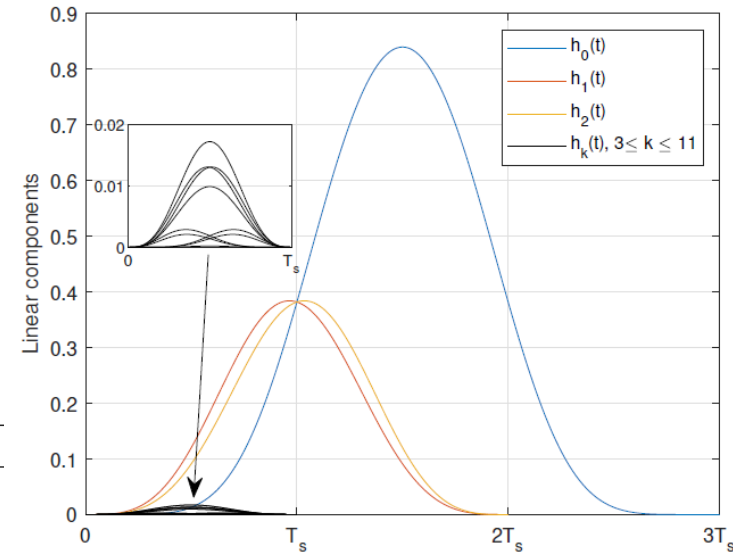


Figure : Linear components of the Quaternary 2RC with $h = 0.25$

[1] P. Laurent, "Exact and approximate construction of digital phase modulations by superposition of amplitude modulated pulses (AMP)," IEEE Trans. on Commun., vol. 34, no. 2, pp. 150–160, 1986.
 [2] U. Mengali and M. Morelli, "Decomposition of M-ary CPM signals into PAM waveforms," IEEE Trans. on Inf. Theory, vol. 41, no. 5, pp. 1265–1275, 1995.

Linear decomposition

Approximation to K_p principle components :

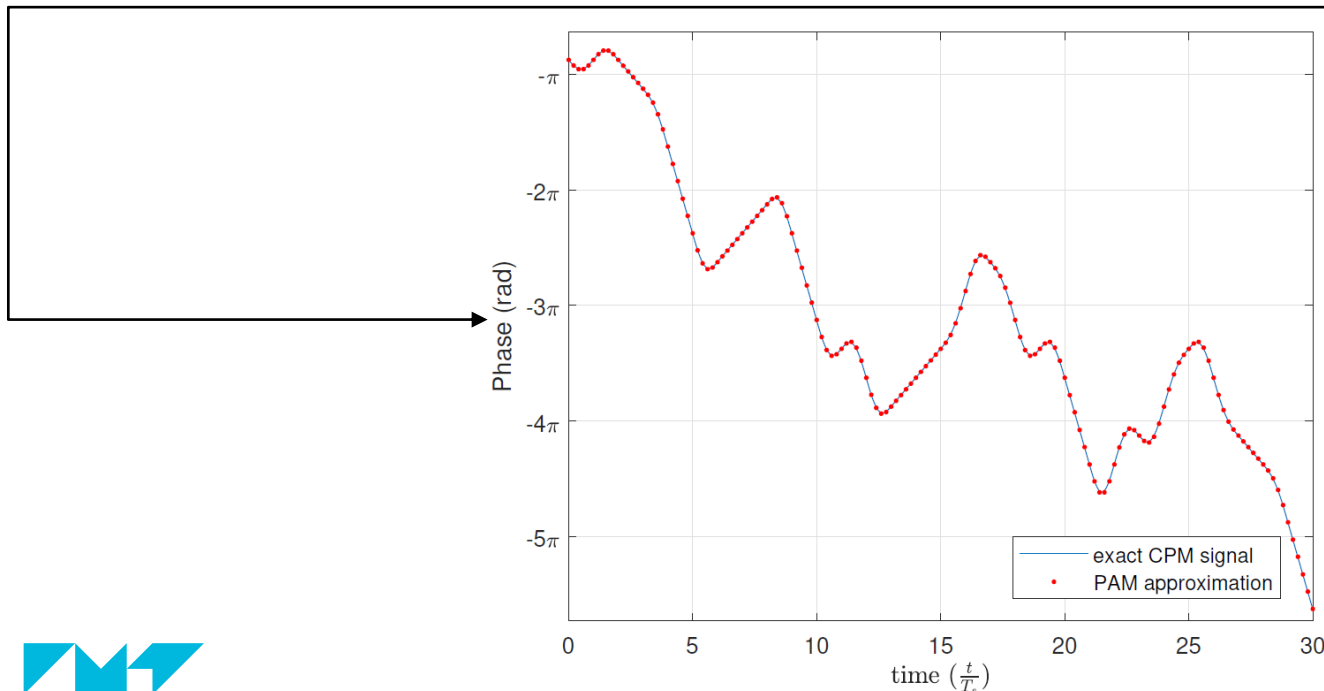
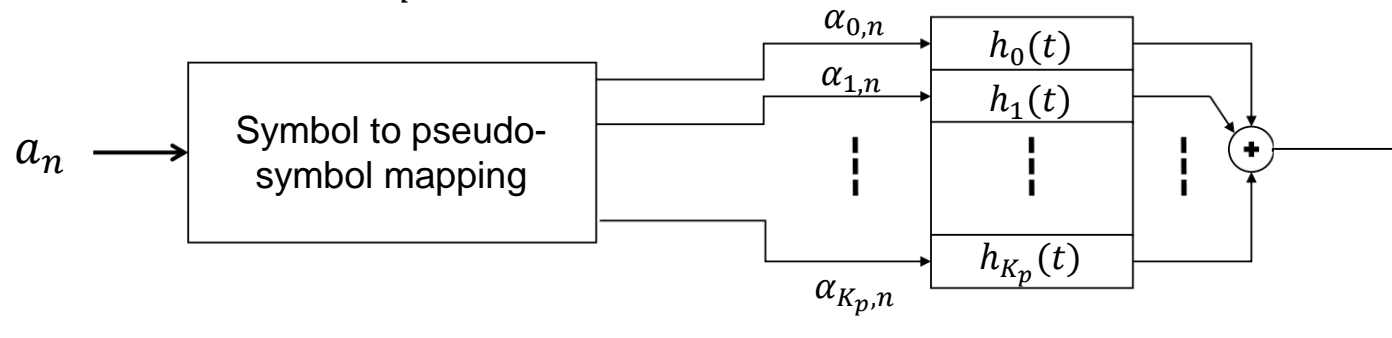
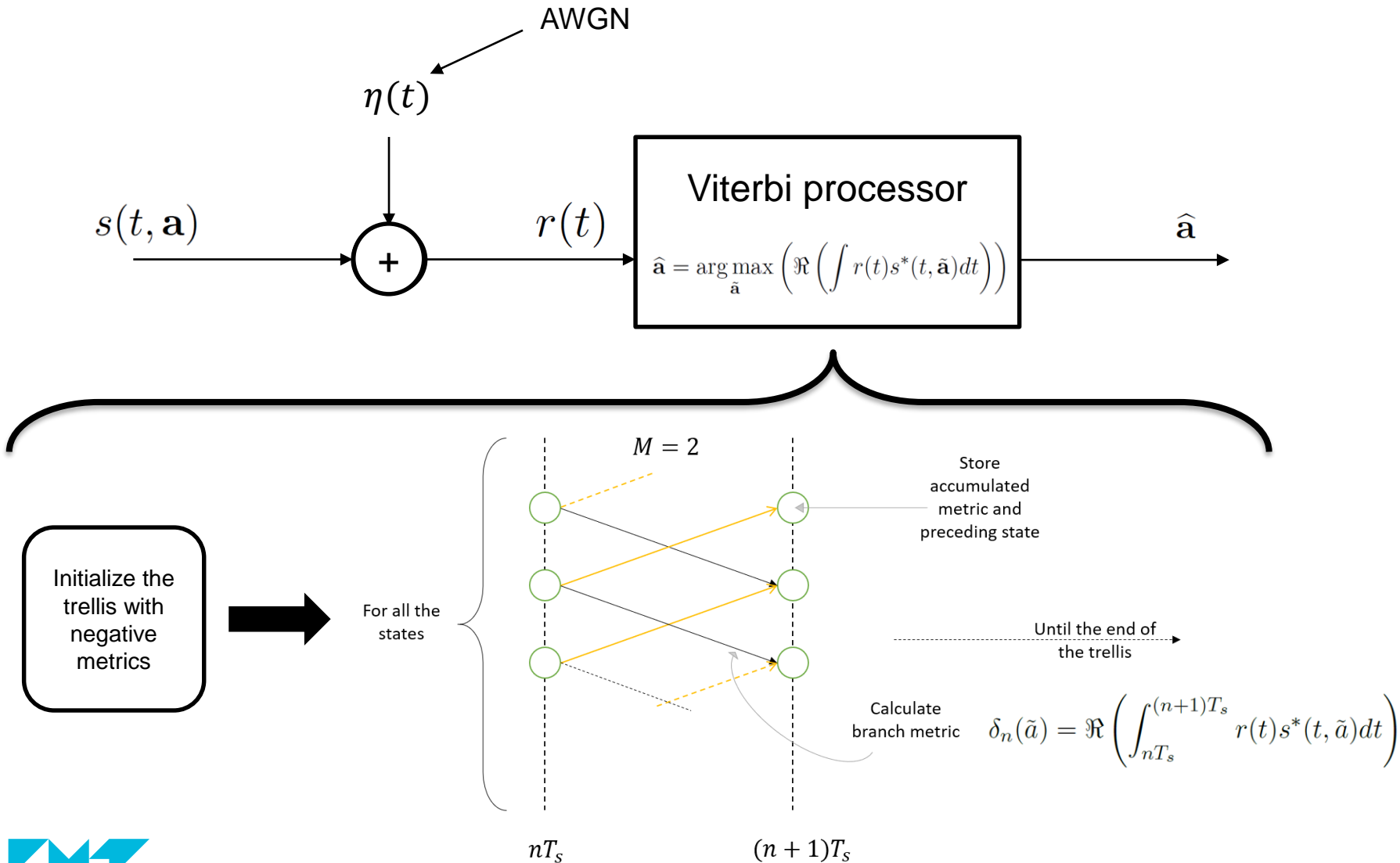


Figure : Comparison of the signal phase between the exact signal of the Quaternary 2RC with $h = 0.25$ and its linear approximation using only the first 3 main components

Coherent detection in AWGN

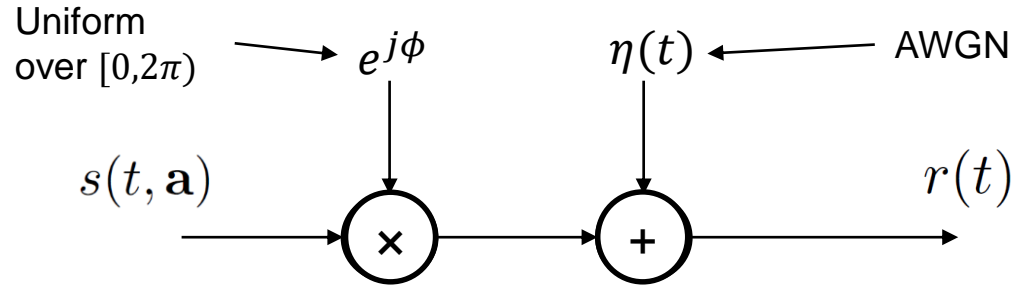


Coherent detection issues

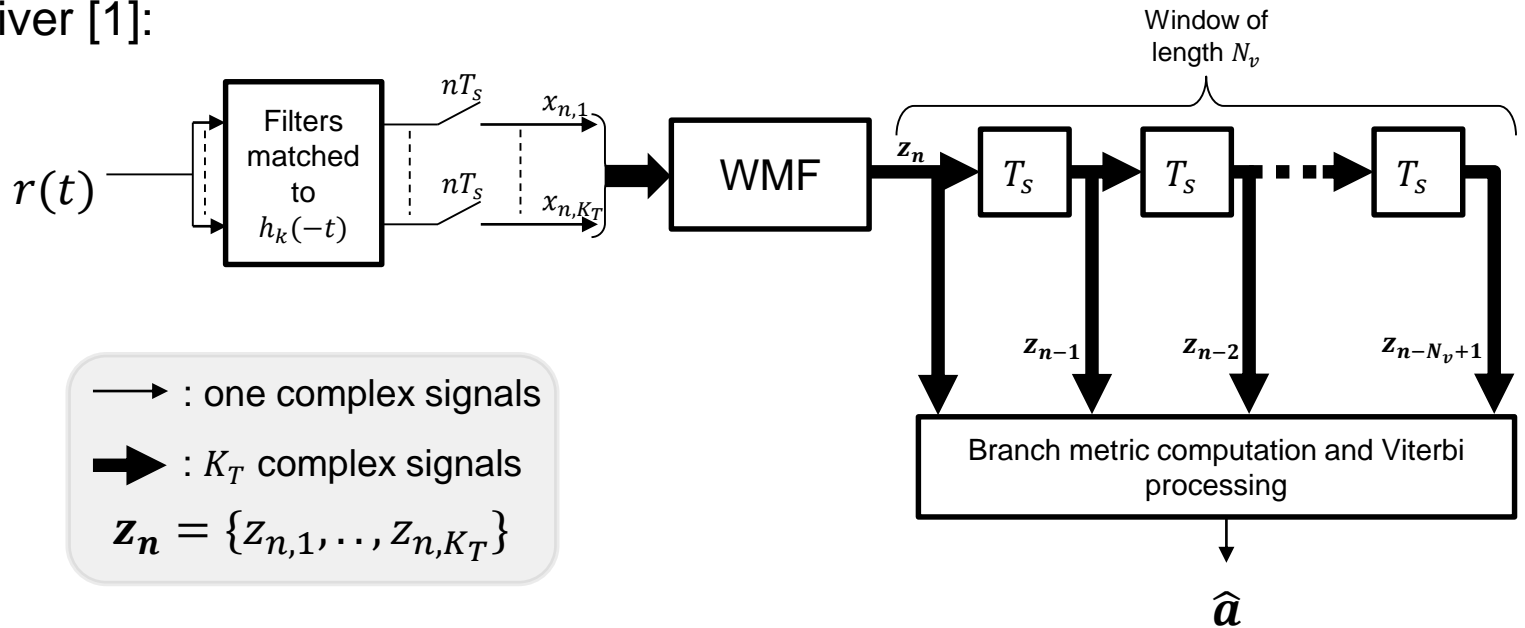
- Problems with coherent detection in this application :
 - ⚠ Highly sensitive to phase mismatch
 - ⚠ Highly sensitive to Doppler estimation errors
 - ⚠ Need to use pilot symbols
- Use non coherent detection approach !
 - ✓ Act on the detection criterion
 - ✓ Act on the received signal

State of the art on Non coherent Sequence Detection (NSD)

Transmission model :



The receiver [1]:



[1] G. Colavolpe and R. Raheli, "Noncoherent Sequence Detection of Continuous Phase Modulations," IEEE Transactions on Communications, vol. 47, no. 9, pp. 1303–1307, 1999.

Performance of NSD receiver

- Influence of the size of the detection window :

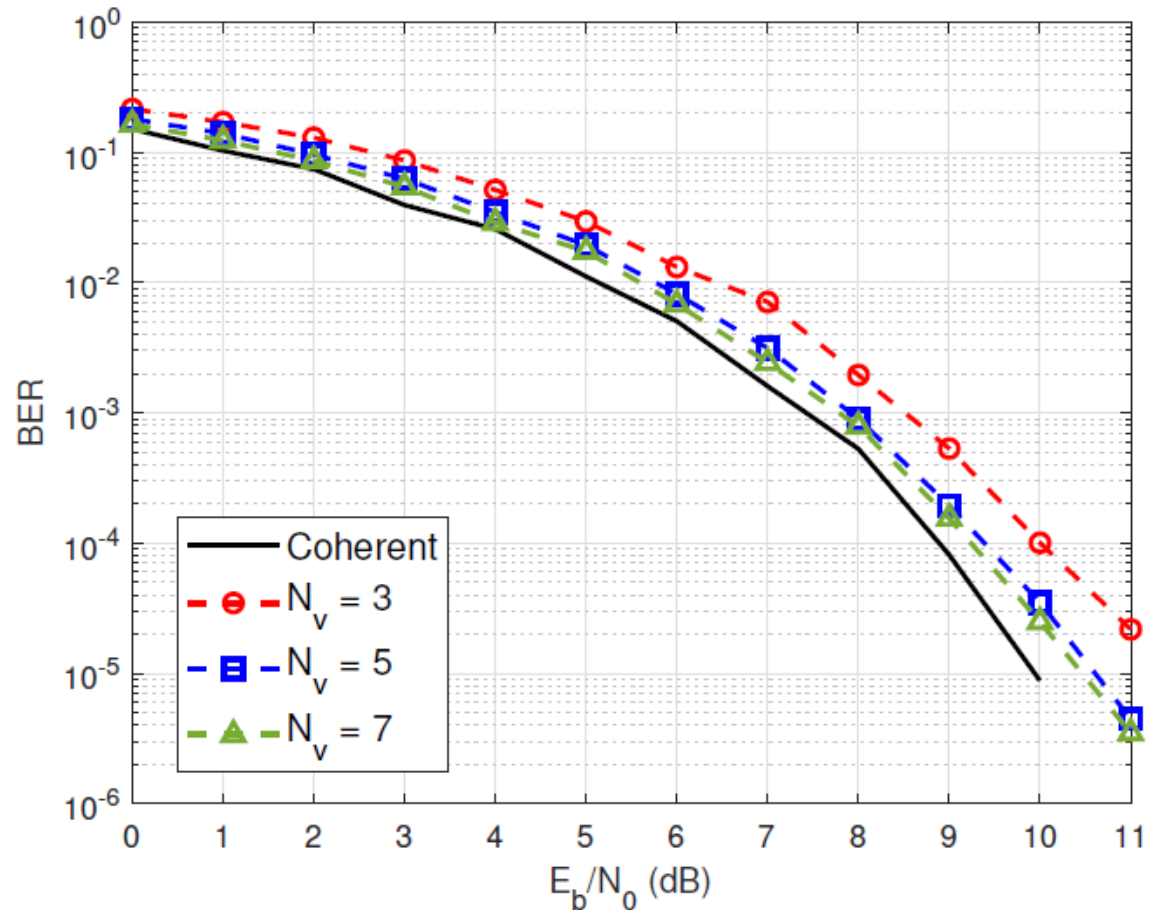


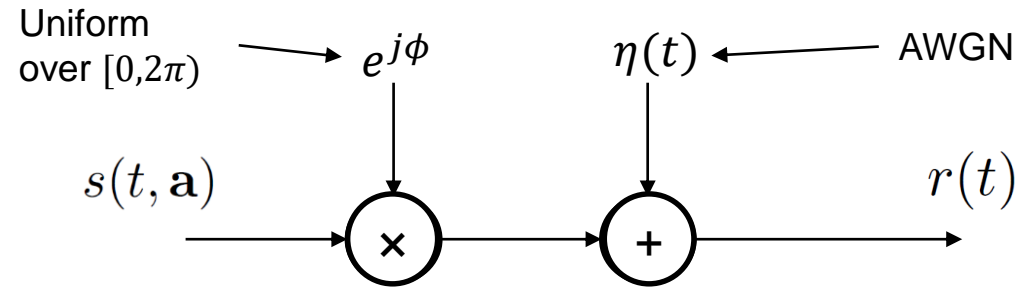
Figure : Performance of NSD receiver for the GMSK for different values of the estimation window compared to coherent detector on an AWGN channel

NSD receiver issues

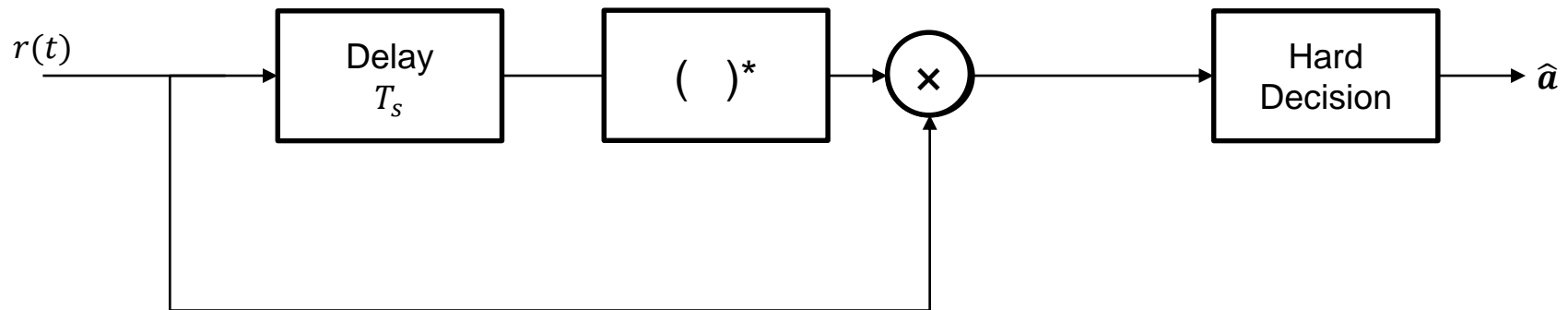
- Problem with NSD receiver in the Satellite IoT:
 - ⚠ Need to use pilot symbols to estimate the Doppler
 - ⚠ Pilot sequence length might be high
- This is a limitation in short frame IoT communications !
- Need to use a blind approach

State of the art on differential detection

Transmission model :



The receiver [1]:



[1] M. Simon and C. Wang, "Differential Versus Limiter - Discriminator Detection of Narrow-Band FM," IEEE Transactions on Communications, vol. 31, no. 11, pp. 1227–1234, 1983..

Performance of the differential receiver

→ significant performance degradation compared to coherent detection !

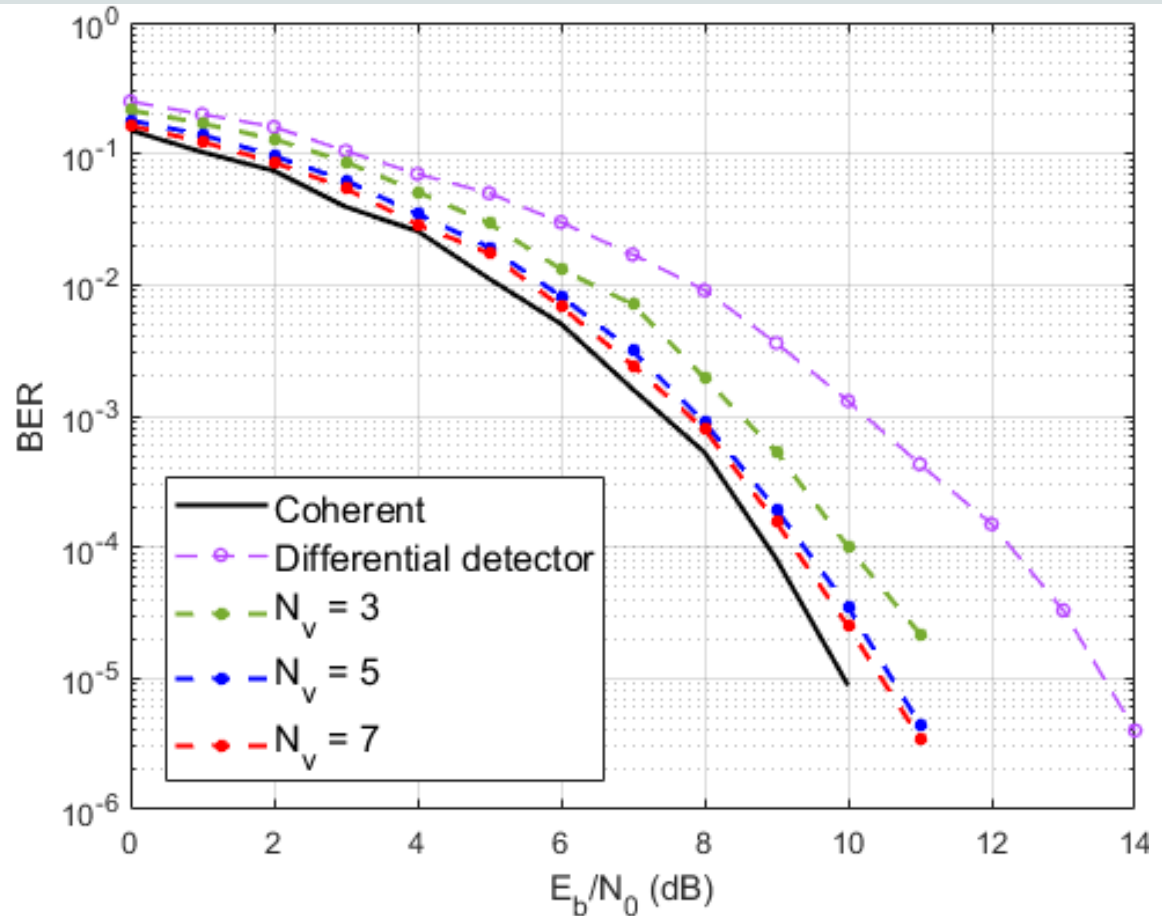


Figure : Performance of the differential receiver from [1] for GMSK on a AWGN channel

[1] M. Simon and C. Wang, "Differential Versus Limiter - Discriminator Detection of Narrow-Band FM," IEEE Transactions on Communications, vol. 31, no. 11, pp. 1227–1234, 1983.

The differential approach

- Differential detection for the Satellite IoT :
 - ✓ Easy to implement
- ⚠ Does not offer the best performance results !
- Need a solution to enhance performance
 - Use multiple symbols differential approach

CPM DETECTION WITH BLIND DOPPLER ESTIMATION

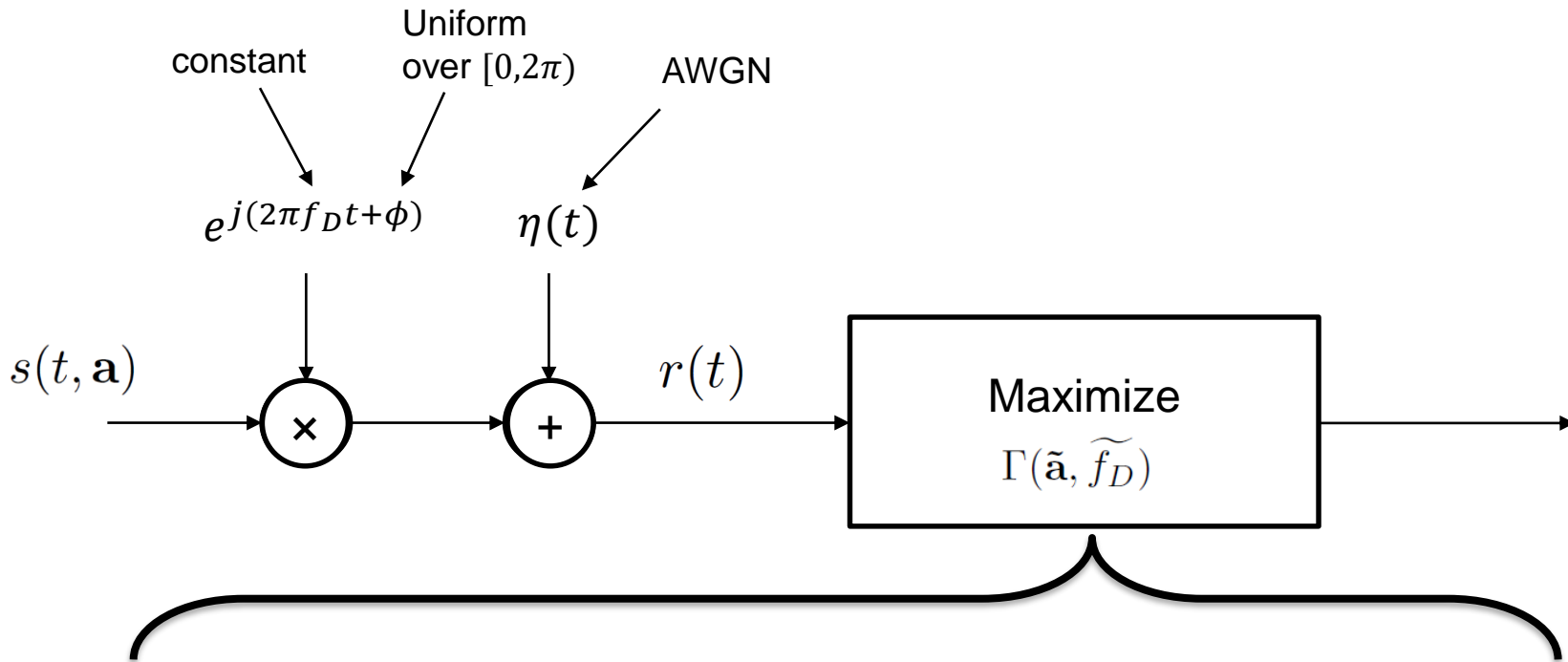


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CPM DETECTION WITH BLIND DOPPLER ESTIMATION

The new non coherent detection approach

21



The cost function :

$$\Gamma(\tilde{\mathbf{a}}, \tilde{f}_D) = \log I_0 \left(\frac{1}{N_0} \left| \int_{T_0} r(t, \mathbf{a}) s^*(t, \tilde{\mathbf{a}}) e^{-j2\pi \tilde{f}_D t} dt \right| \right) - \frac{1}{2N_0} \int_{T_0} |s(t, \tilde{\mathbf{a}})|^2 dt$$

The generalized likelihood method

- Let
 - \mathcal{A} : the set of possible symbol sequences
 - \mathcal{F} : the variation interval of f_D (depends on the Doppler profile)
- The generalized likelihood method [1]:

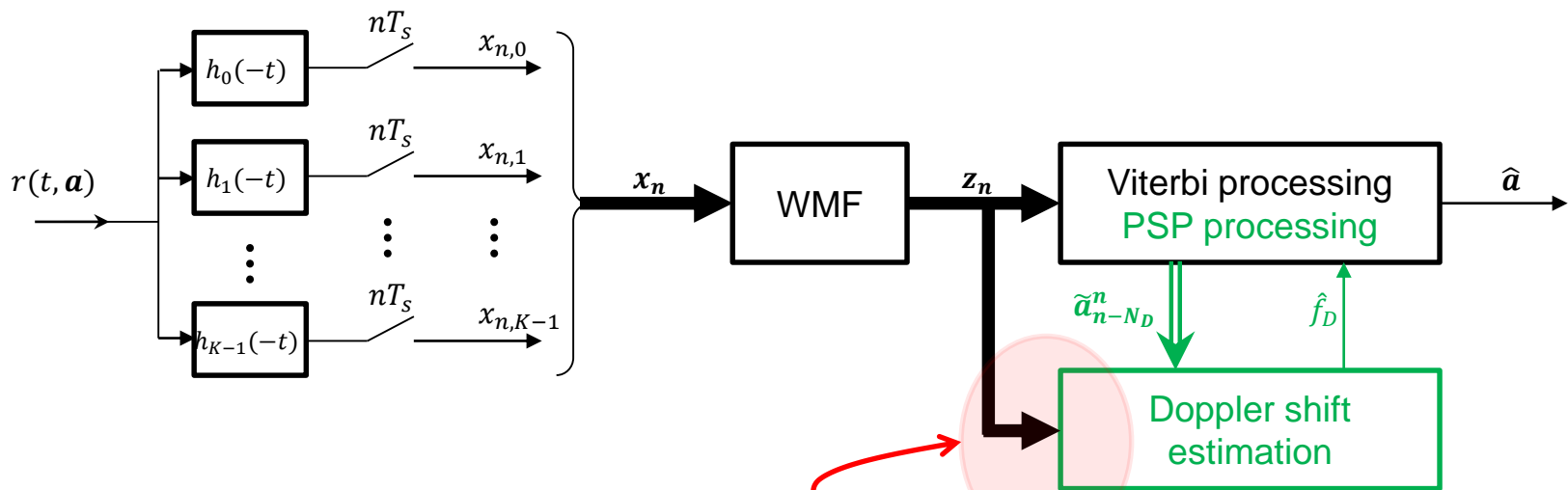
$$\left(\begin{array}{l} \text{Maximizing} \\ \Gamma(\tilde{\mathbf{a}}, \tilde{f}_D) \\ \text{over } \mathcal{A} \times \mathcal{F} \end{array} \right) \longleftrightarrow \left(\begin{array}{l} \max_{\tilde{\mathbf{a}} \in \mathcal{A}} \Gamma(\tilde{\mathbf{a}}, \hat{f}_D(\tilde{\mathbf{a}})) \\ \text{with } \hat{f}_D(\tilde{\mathbf{a}}) = \arg \max_{\tilde{f}_D \in \mathcal{F}} \left| \int_{T_0} r(t) s^*(t, \tilde{\mathbf{a}}) e^{-j2\pi \tilde{f}_D t} dt \right| \end{array} \right)$$

- Using this criterion, we'll derive two detectors
 - Detector A
 - Detector B

[1] H. L. V. Trees, Detection, estimation and modulation theory. New York: John Wiley & Sons, vol. I, 1968.

Detector A

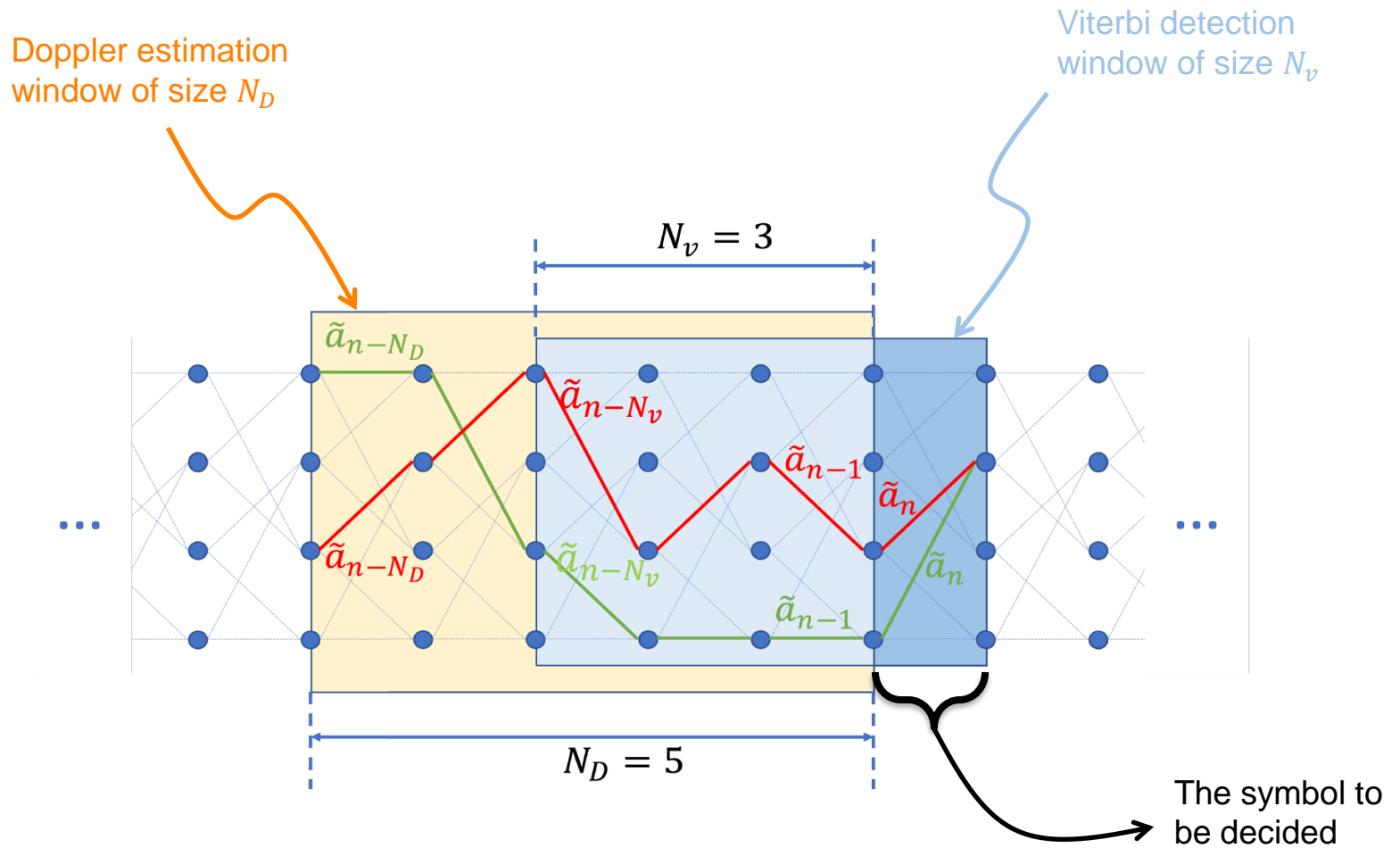
- Detector A is directly derived from the NSD detector
- Detector A architecture :



Accurate approximation only if frequency shift is moderate enough ($f_D T_s \ll 1$) [1]

[1] H. Meyr, M. Oerder, and A. Polydoros, "On sampling rate, analog prefiltering, and sufficient statistics for digital receivers," IEEE Trans. on Commun., vol. 42, no. 12, pp. 3208–3214, 1994.

Detector A



Detector A

- Define a trellis with branch metric :

The branch metric defines the trellis size

$$\lambda_n(\tilde{\mathbf{a}}_n) = \left| \sum_{k=0}^{K_c-1} \sum_{i=0}^{N_v-1} z_{k,n-i} \tilde{y}_{k,n-i}^* e^{-j2\pi(n-i)\hat{f}_D(\tilde{\mathbf{a}}_{n-N_D}^n)T_s} \right|$$

$$- \left| \sum_{k=0}^{K_c-1} \sum_{i=1}^{N_v-1} z_{k,n-i} \tilde{y}_{k,n-i}^* e^{-j2\pi(n-i)\hat{f}_D(\tilde{\mathbf{a}}_{n-N_D}^n)T_s} \right|$$

$$- \left| \sum_{k=0}^{K_c-1} \tilde{y}_{k,n} \right|^2$$

Use the same estimation of \hat{f}_D [1]

Instead of $\hat{f}_D(\tilde{\mathbf{a}}_{n-N_D-1}^n)$

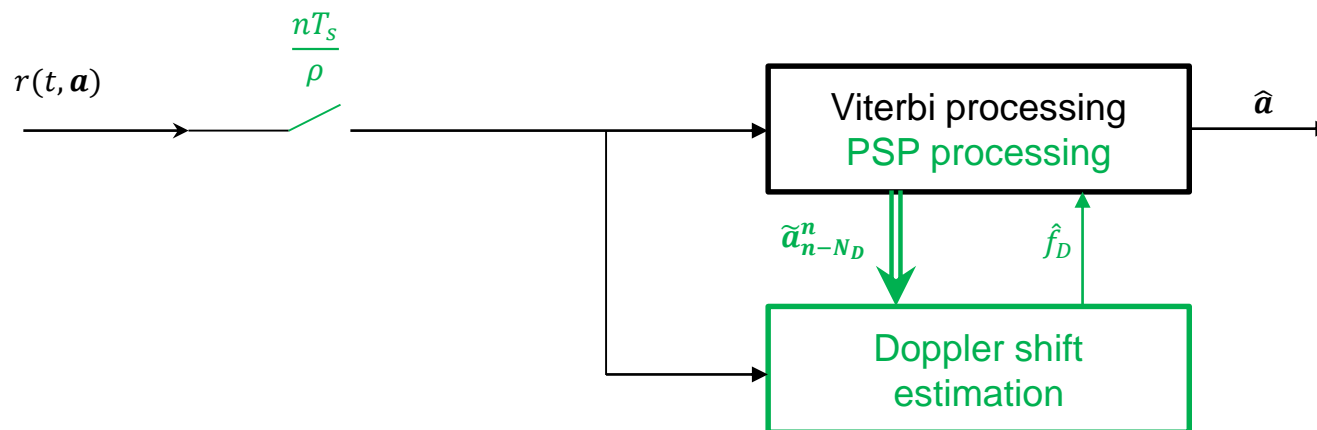
- The estimation of \hat{f}_D is updated through *data-aided* (DA) frequency estimation algorithms using $(\tilde{\mathbf{a}}_{n-N_D}^n)$

Detector A

- Detector A
 - ✓ Adjustable trellis
 - ✓ Uses few signal samples for detection
 - ⚠ Depends on linear decomposition
 - ⚠ Depends on WMF
- Need a more flexible solution !

Detector B

- Detector B focuses on resisting much larger Doppler orders
 - Use the exact expression of CPM signal !
- Detector B architecture :



Detector B

- Using the same principles of windowing
 - For Viterbi detection
 - For Doppler estimation
- The branch metrics becomes :

$$\lambda_n(\tilde{\mathbf{a}}) = \left| \int_{(n-N_v)T_s}^{nT_s} v_n(t, \tilde{\mathbf{a}}) s^*(t, \tilde{\mathbf{a}}) dt \right| - \left| \int_{(n-N_v)T_s}^{(n-1)T_s} v_n(t, \mathbf{a}) s^*(t, \tilde{\mathbf{a}}) dt \right|$$

- Where $v_n(t, \tilde{\mathbf{a}}) = r(t, \mathbf{a}) e^{-j2\pi \underbrace{\hat{f}_D(\tilde{\mathbf{a}}_{n-N_D}^n)}_{} t}$

Use the same PSP approach for the estimation

Detector B

- Detector B
 - ✓ Generic architecture
 - ✓ theoretically offers the best Doppler robustness
 - ⚠ More calculation operations due to oversampling

Simulation

Simulation parameters :

Frame length	120
Symbol time (ms)	0.1
Oversampling factor	8
Viterbi detection window length N_v	5
Doppler estimation window length N_D	8
Frequency estimation algorithm	Rife & Boorstyn (ML estimation [1])
Number of FFT points N_{FFT} for frequency estimation	$N_{FFT} = 32$ (detector A) $N_{FFT} = 256$ (detector B)

[1] D. C. Rife and R. R. Boorstyn, "Single tone parameter estimation from discrete-time observations," IEEE Trans. Inf. Theory, vol. 20, pp. 591–598, 1974.

NON COHERENT CPM DETECTION IN PRESENCE OF DOPPLER

Performance comparison between detector A and B

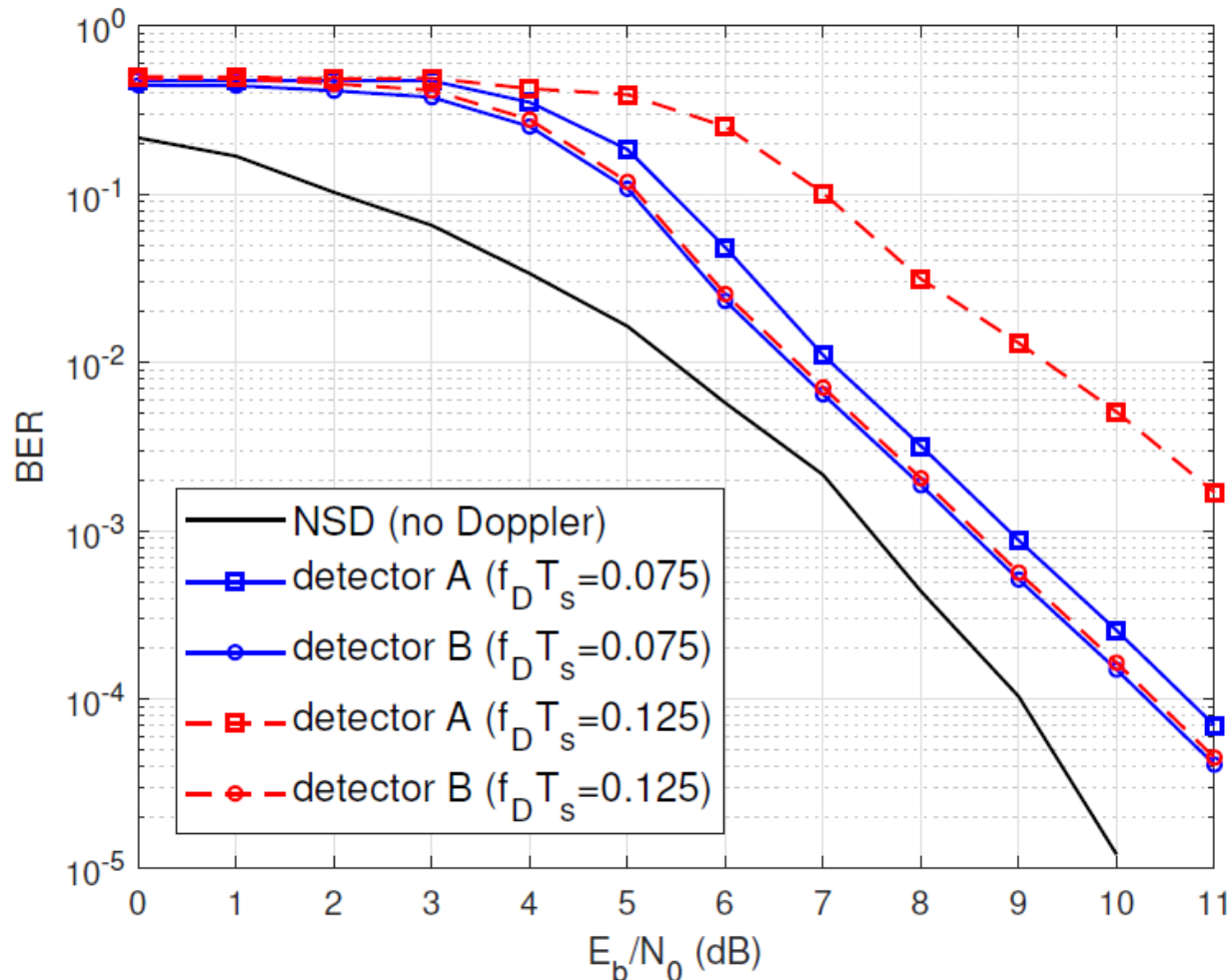


Figure : BER comparison between detectors A ($\rho = 1, N_{FFT} = 32$) and B ($\rho = 8, N_{FFT} = 256$) for 3RC with $h = 0.75, N_v = 5$ and $N_D = 8$

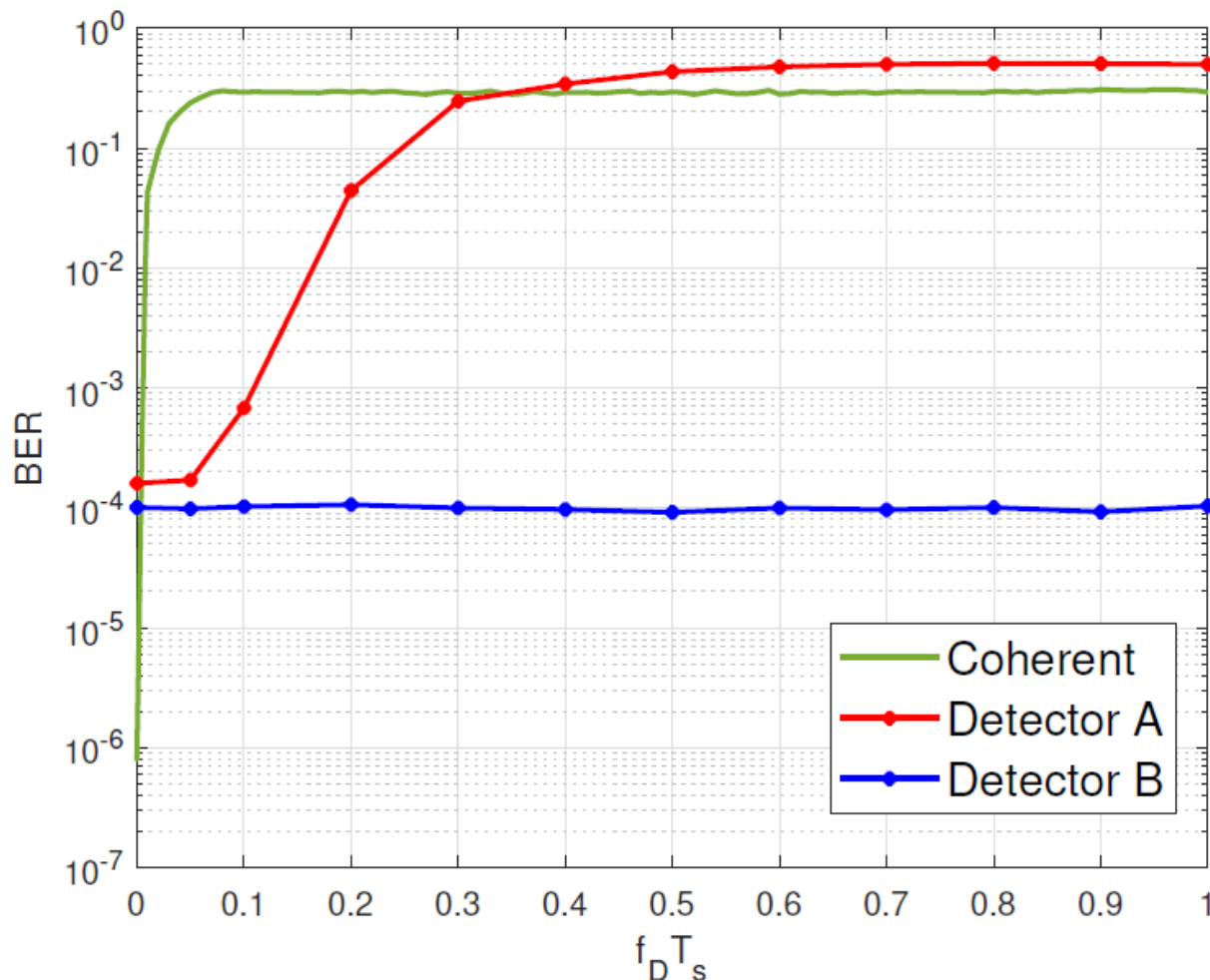


Figure : BER evolution with $f_D T_s$ for detector A and B for the GMSK with $N_v = 5$ and $N_D = 8$ at 11 dB

The Doppler rate

- Doppler can vary at a rate of 250 Hz/s !
- ⚠ If the frame is long enough, the Doppler can not be considered constant
- ✓ Detector A and B solve this problem by using narrow sliding Doppler estimation window !
 - Up to 8 symbols of few ms

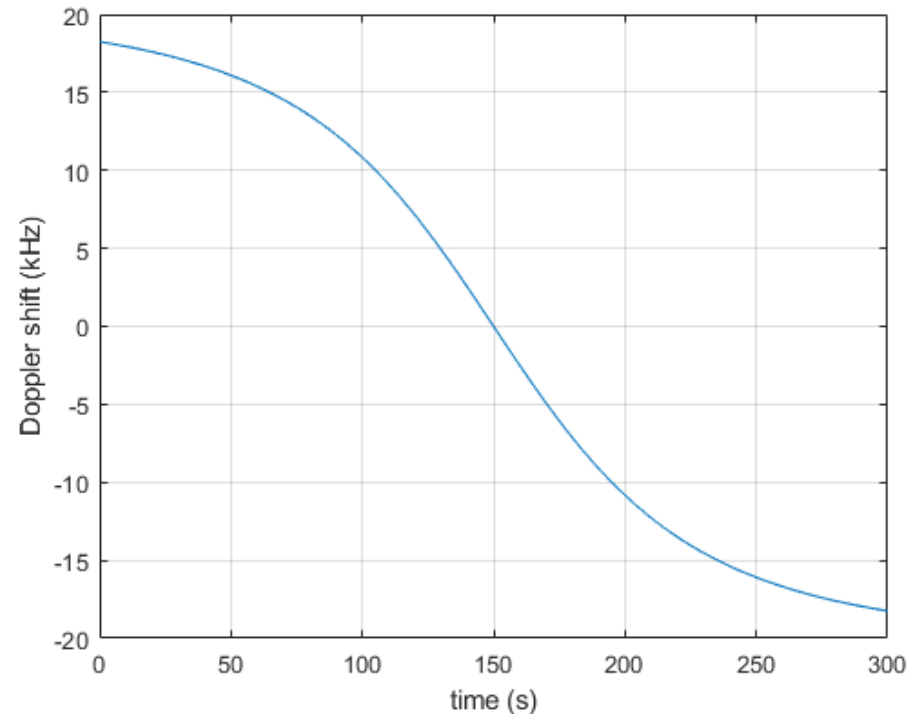


Figure : example of Doppler shift profile received from a LEO satellite when it gets close to the object with 868 MHz carrier at an altitude of 550 km

CPM DETECTION WITH BLIND DOPPLER ESTIMATION

Performance in presence of Doppler rate

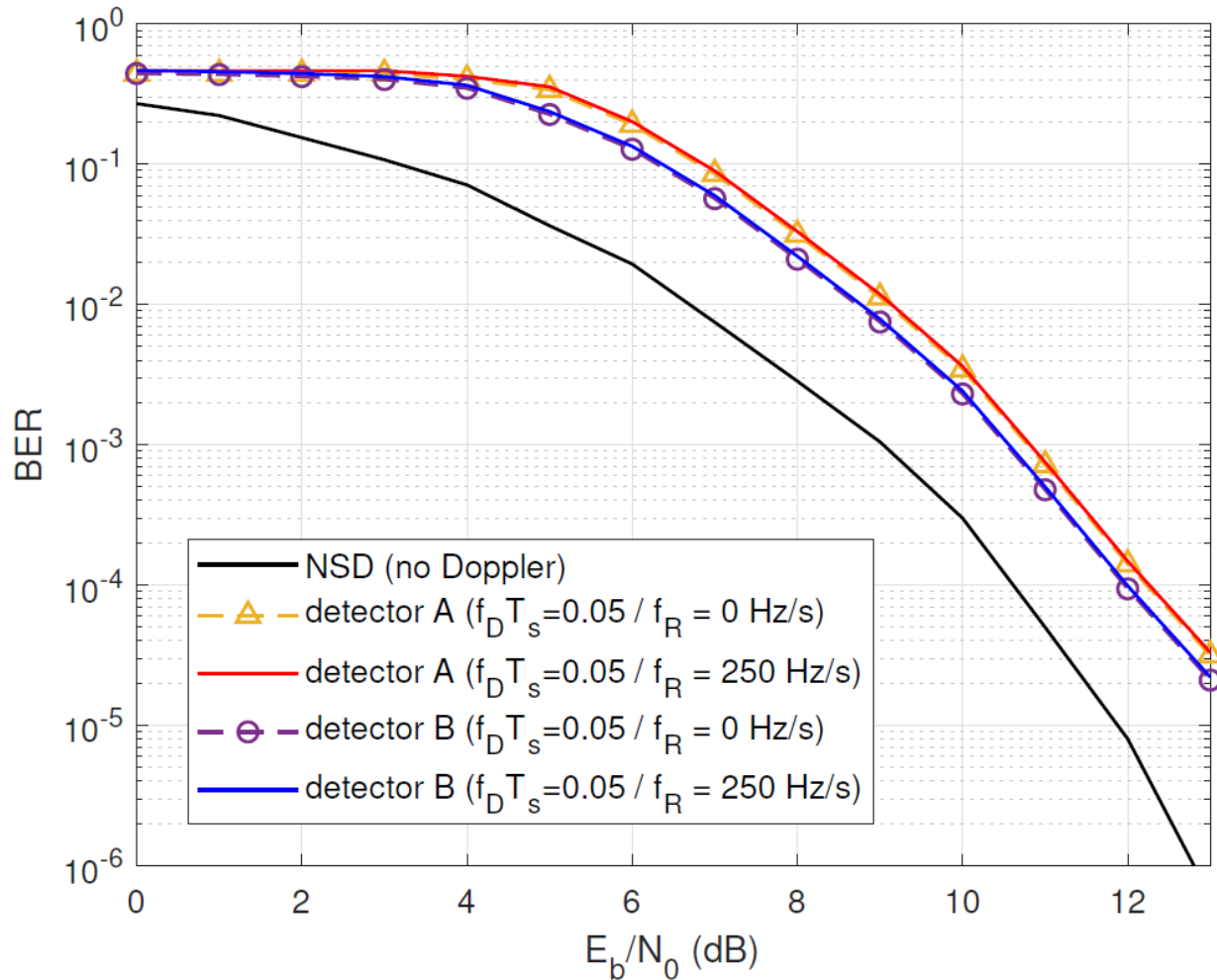


Figure : BER comparison between detector A and B for binary 4RC with $h = \frac{2}{3}$ where $f_D T_s = 0.05$ in presence of Doppler rate $f_R = 250$ Hz/s with $N_v = 5$ and $N_D = 8$

Conclusion

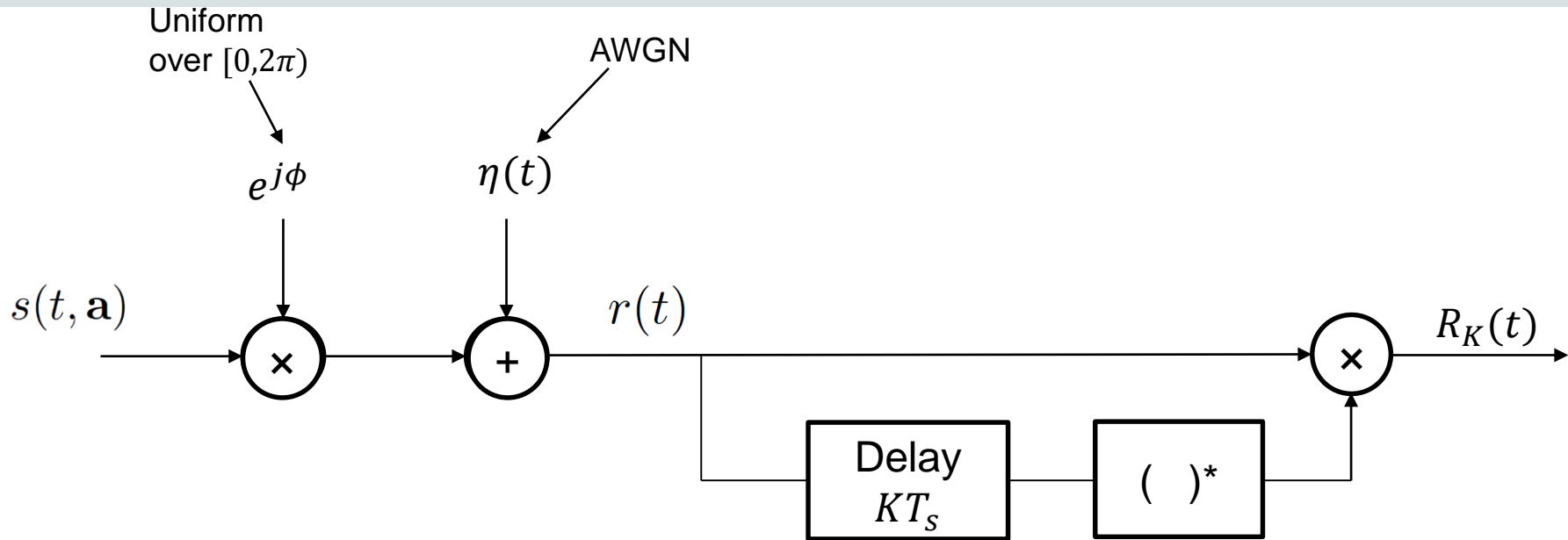
- Detector A and B for the Satellite IoT :
 - ✓ Robustness to Doppler shift
 - ✓ Robustness to Doppler rate
 - ✓ Detection in a blind way
- ⚠ Still suffer from few drawbacks !
- Exploit a different detection approach

OPTIMIZED DELAY DIFFERENTIAL CPM DETECTION



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Transmission model



- Differential operation :

$$R_K(t) = \frac{1}{2} r(t) r^*(t - KT_s) = S_K(t, \mathbf{a}) + N_K(t)$$

Noise is assumed to follow a Gaussian distribution [1]

- With

$$S_K(t, \mathbf{a}) = \frac{1}{2} s(t, \mathbf{a}) s^*(t - KT_s, \mathbf{a}) = \frac{E_s}{2T_s} e^{j\Theta_K(t, \mathbf{a})}$$

The new signal phase :
 $\Theta_K(t, \mathbf{a}) = \theta(t, \mathbf{a}) - \theta(t - KT_s, \mathbf{a})$

[1] D. Makrakis and K. Feher, "Multiple Differential Detection of Continuous Phase Modulation signals," IEEE Transactions on Vehicular Technology, vol. 42, no. 2, pp. 186–196, 1993,

The detection strategy

- ML Detection :
 - maximize the correlation between $R_K(t)$ and all possible realizations of $S_K(t)$

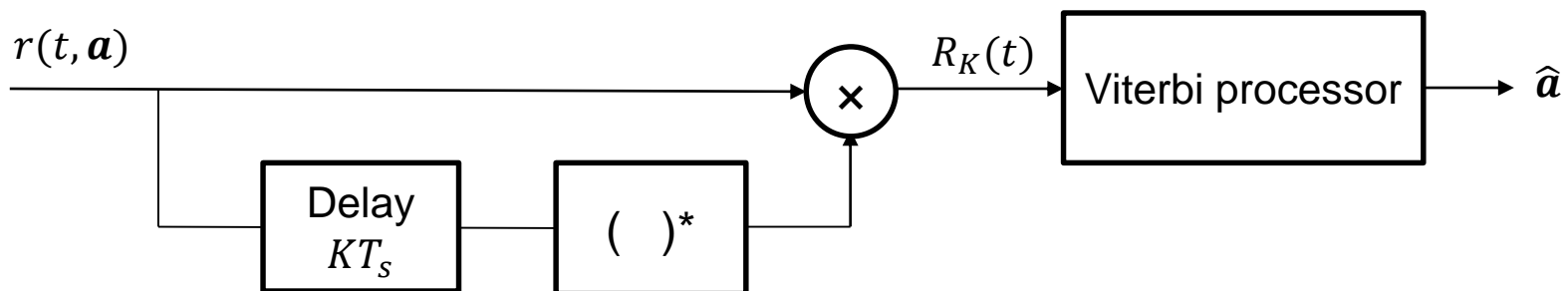
The cost function :

$$\Gamma_N(\tilde{\mathbf{a}}) = \text{Re} \left[\int_0^{NT_s} R_K(t) S_K^*(t, \tilde{\mathbf{a}}) dt \right]$$

- Use Viterbi algorithm on the trellis defined by $\Theta_K(t, \mathbf{a})$

- The branch metric :
- $$\Lambda_n(\tilde{\mathbf{a}}) = \text{Re} \left[\int_{(n-1)T_s}^{nT_s} R_K(t) S_K^*(t, \tilde{\mathbf{a}}) dt \right]$$

- The full architecture :

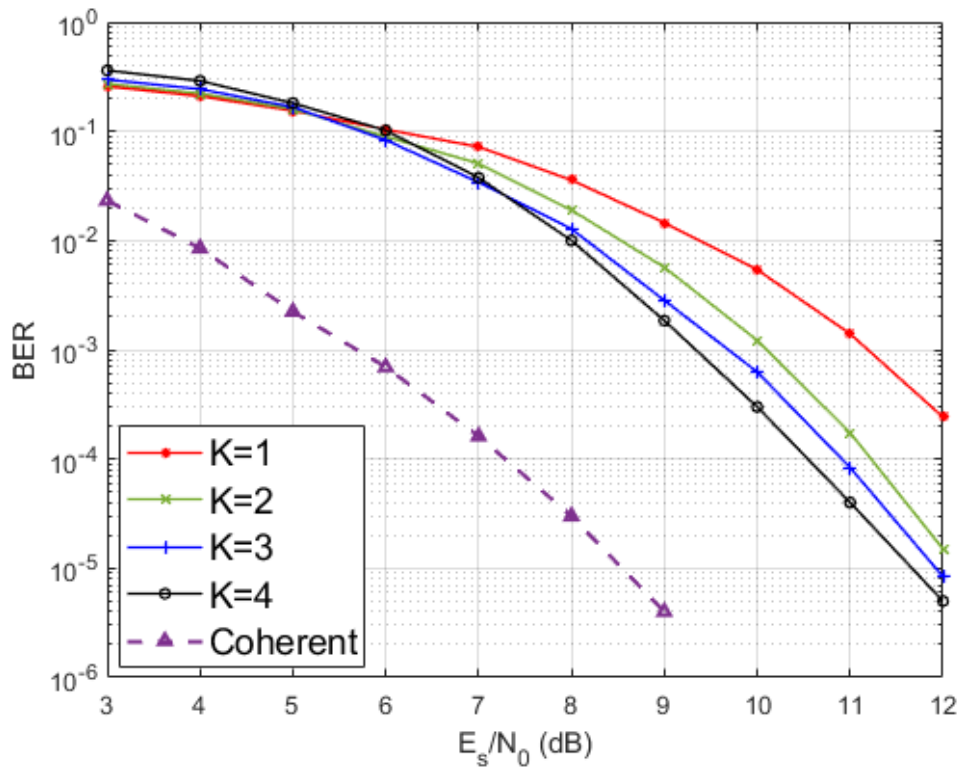


Simulation parameters :

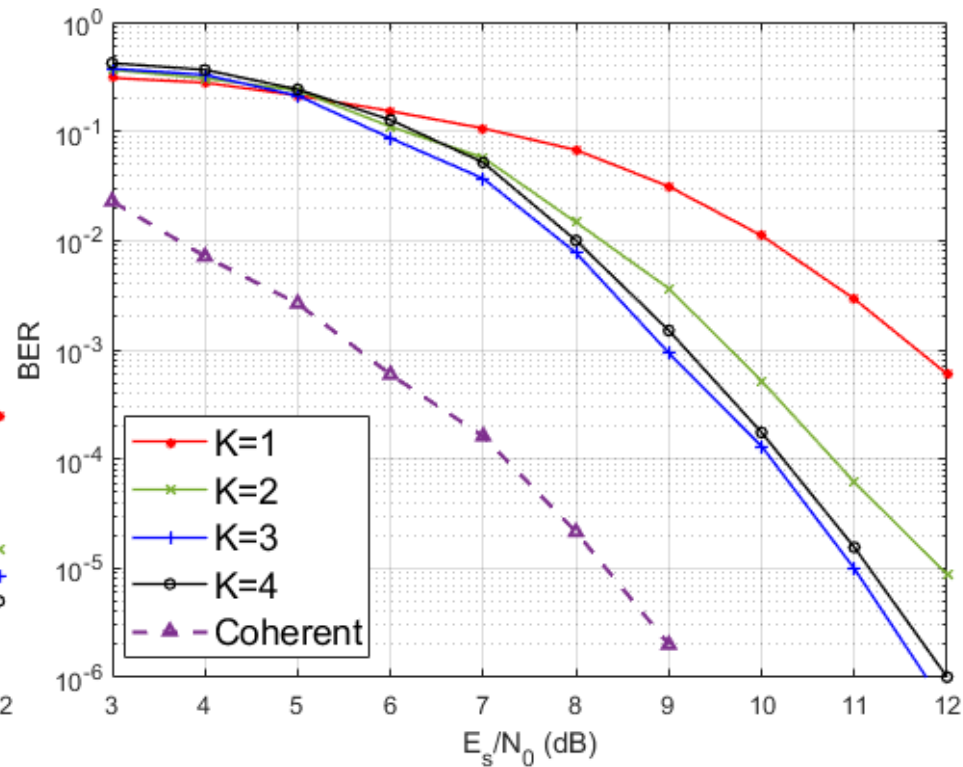
Frame length	120
Symbol time (ms)	0.1
Oversampling factor	8
Delay values K	1,2,3,4

OPTIMIZED DELAY DIFFERENTIAL CPM DETECTION

Numerical results : influence of the delay



(a) 5RC with $h = 0.75$



(b) 3REC with $h = 0.75$

Figure : BER of differential detection for two binary CPM formats for different values of delay K

The differential approach

- Multiple symbol differential detection

- ✓ Shows performance improvement

⚠ Increasing the delay does not always enhance the performance !

➤ Need to find the optimized delay value !

The delay optimization strategy

- At high SNR :

$$P_e \propto Q \left(\sqrt{\frac{4\varepsilon_b}{N_0^2 + 2A^2 N_0} d_{\min}^2(K)} \right)$$

$$\text{with } d_{\min}^2(K) = \min_{\substack{\mathbf{a}, \tilde{\mathbf{a}} \\ a_0 \neq \tilde{a}_0}} (d_K^2(\mathbf{a}, \tilde{\mathbf{a}}))$$

$$\text{and } d_K^2(\mathbf{a}, \tilde{\mathbf{a}}) = \frac{\log_2(M)}{T_s} \int_0^{NT_s} [1 - \cos(\Theta_K(t, \mathbf{e}))] dt$$

and $\mathbf{e} = \mathbf{a} - \tilde{\mathbf{a}}$ is the difference symbol sequence

- Finding the minimum Euclidean distance is done by searching over all possible pairs of sequences.
- These pairs are those whose respective paths on a phase tree diverge at time 0 and merge again as soon as possible.
- For each value of the delay K corresponds d_{\min}
- K_{opt} is the value that yields the highest d_{\min} !

OPTIMIZED DELAY DIFFERENTIAL CPM DETECTION

Numerical results : optimized delay values

Freq. pulse length L	Modulation index		
	$h = 1/3$	$h = 1/2$	$h = 3/4$
1	$K = 2$	$K = 2$	$K = 3$
3	$K = 3$	$K = 3$	$K = 3$
5	$K = 4$	$K = 4$	$K = 4$

(a) RC

Freq. pulse length L	Modulation index		
	$h = 1/3$	$h = 1/2$	$h = 3/4$
1	$K = 2$	$K = 2$	$K = 4$
3	$K = 4$	$K = 4$	$K = 3$
5	$K = 5$	$K = 5$	$K = 5$

(b) REC

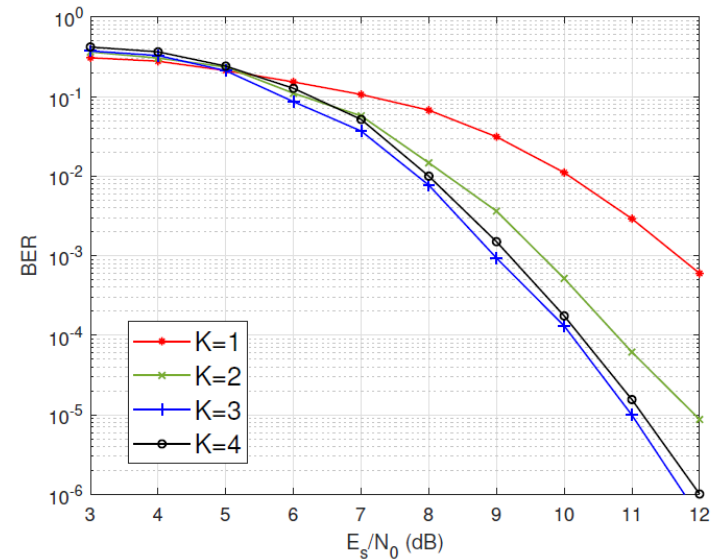
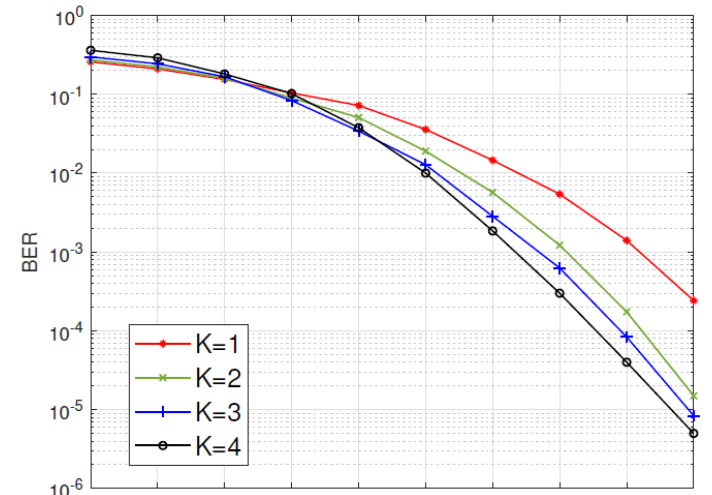


Table : Optimized values of K for some binary CPM schemes

The Doppler problem with differential detector

- In presence of Doppler shift, a constant phase term appears in $R_K(t)$
- The phase term depends on $f_D T_S$:
 - $\Psi = 2\pi K f_D T_S$
- In presence of Doppler rate, the phase term can still be considered constant when having short frames
- ✓ The optimized delay differential detector showed robustness to this phase term up to a certain order !

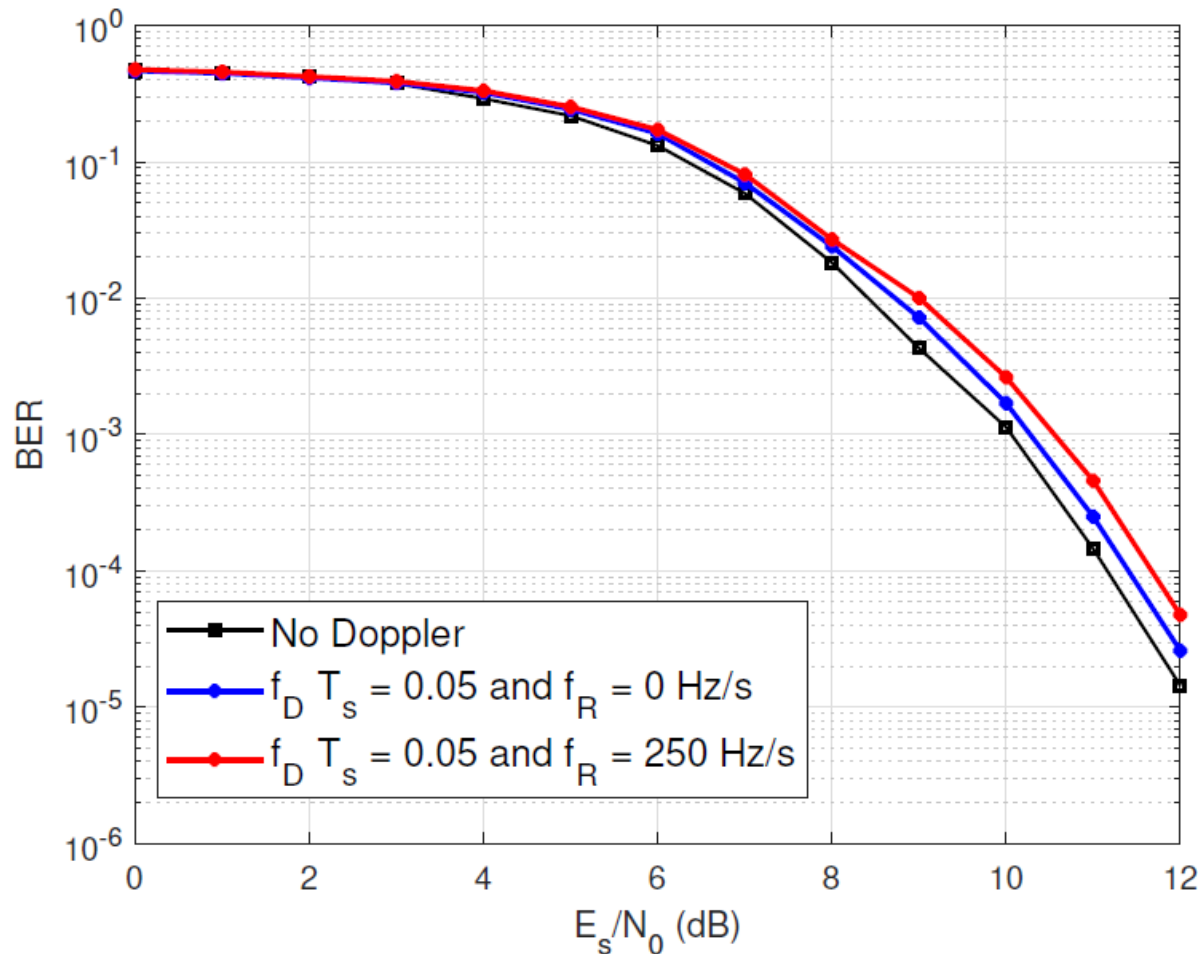


Figure : BER comparison of GMSK with the differential detector with $K_{opt} = 3$ in presence of no Doppler, Doppler shift only and Doppler shift and rate

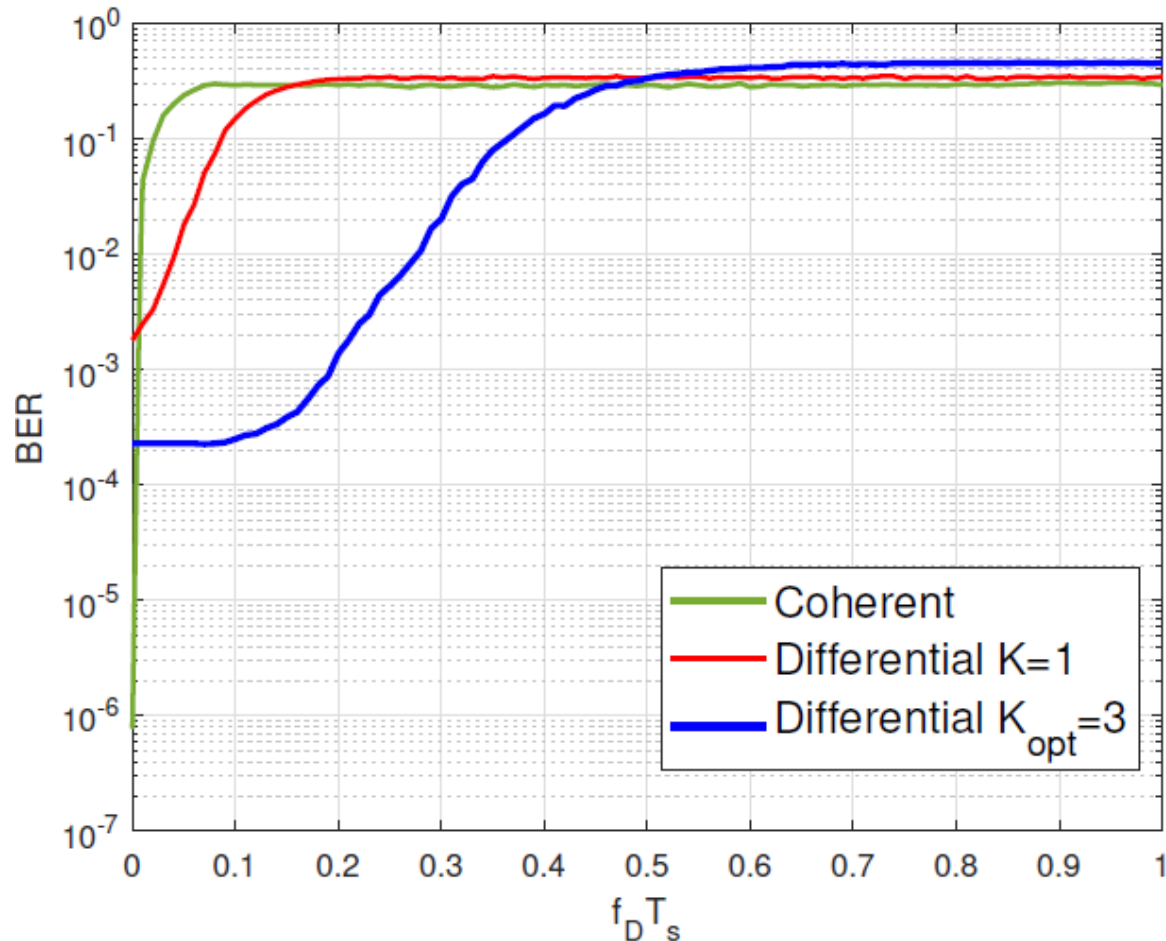


Figure : Comparison of BER evolution with $f_D T_s$ for GMSK for the coherent detector, the differential detector for $K = 1$ and $K_{opt} = 3$ at 11 dB of SNR

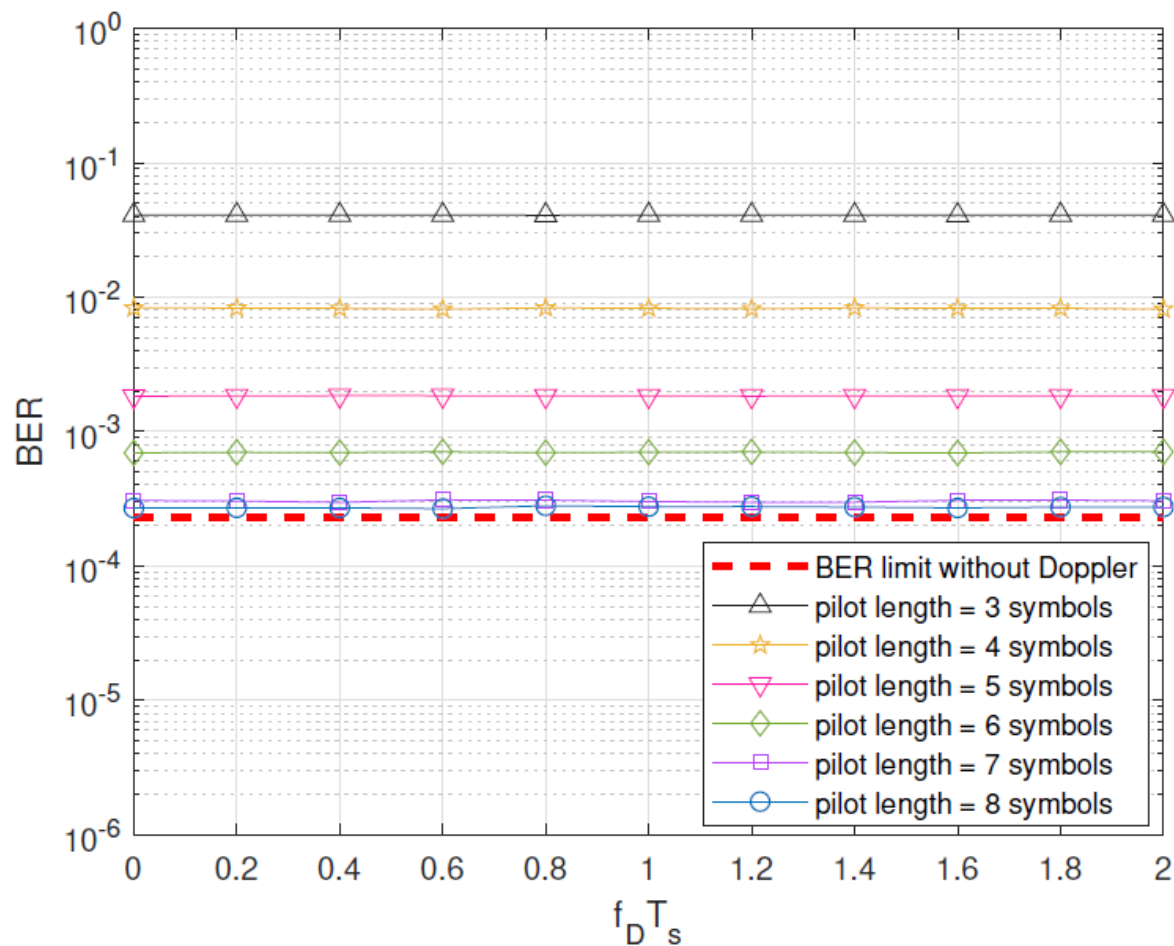


Figure : Comparison of BER evolution with $f_D T_s$ for GMSK for the differential detector with $K_{opt} = 3$ at 11 dB of SNR using pilot sequence for frequency estimation

Conclusion

- Optimized delay differential detector for the Satellite IoT :
 - ✓ Better performance than the conventional differential detection
 - ✓ Fair robustness to Doppler shift
 - ✓ Robustness to Doppler rate
- ⚠ Need to use few pilot symbols in very high Doppler order !

COMPARISON BETWEEN DETECTORS



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- Algorithm used for frequency estimation : Rife & Boorstyn
 - Involve usage of FFT
- Complexity is assessed through
 - number of trellis states S
 - number of multiplications for metric calculation per trellis section Q_M
 - number of multiplications for Doppler Shift estimation per trellis section Q_D

{ WMF (L_w)
 Estimation windows (N_v/N_D)

{ CPM memory (L)
 Estimation windows (N_v/N_D)

{ CPM memory (L)
 Optimized delay (K_{opt})

Label	Detector A	Detector B	Optimized delay differential detector
S	$M^{N_v+L_w-1}$	M^{N_v+L-2}	$M^{K_{opt}+L-1}$
Q_M	$(L_w + 1)K^2 + N_vSM$	ρN_vSM	ρSM
Q_D	$\rho S(N_D + M - 1) + MS \frac{N_{FFT}}{2} \log_2(N_{FFT})$	none	none

Table : Comparison of the detectors in terms of complexity figures S , Q_M and Q_D

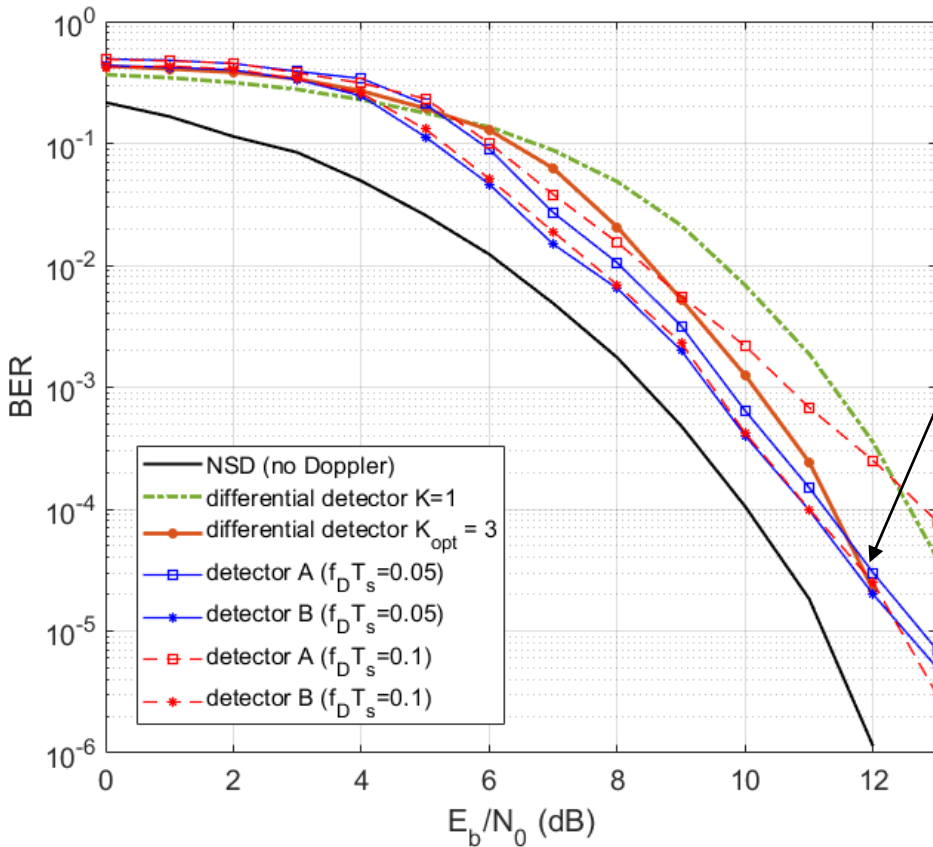
- With ρ : number of samples per symbol time ($\rho=1$ for detector A)

Simulation parameters :

Frame length	120
Symbol time (ms)	0.1
Oversampling factor	8
Viterbi detection window length N_v	5
Doppler estimation window length N_D	8

COMPARISON BETWEEN DETECTORS

BER performance



Detectors A and B and differential detector crossing point at $f_D T_s = 0.05$

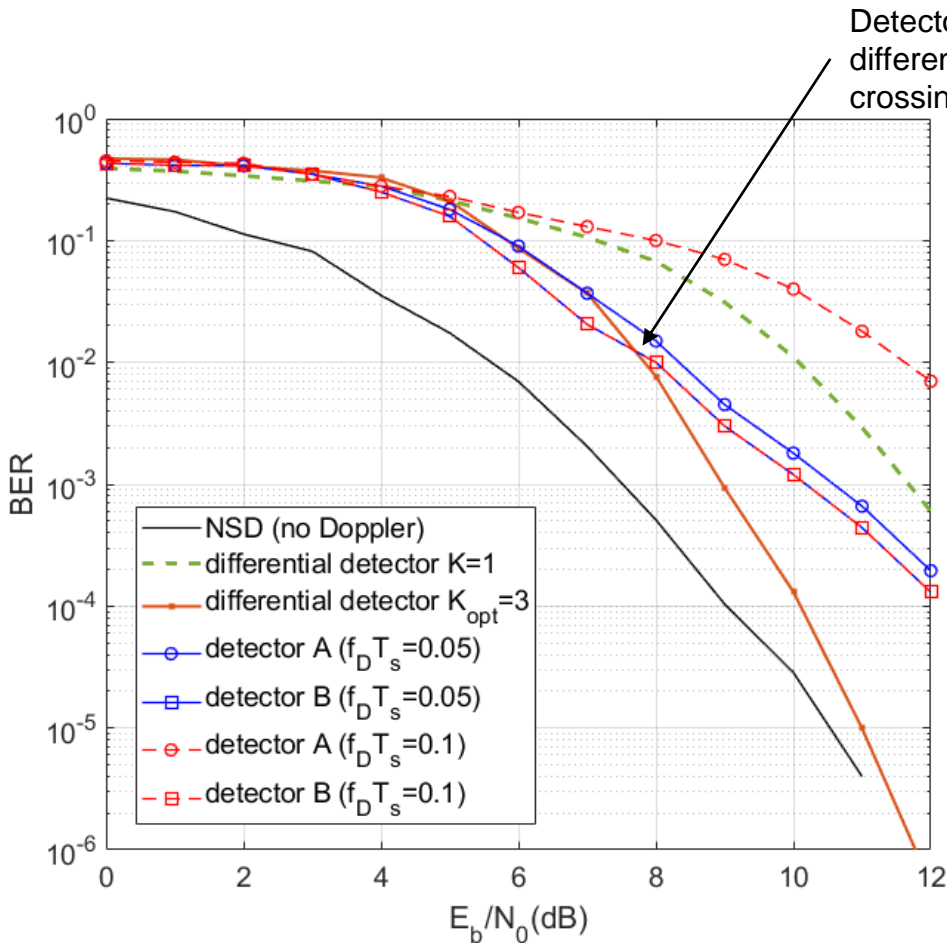
Label	Detector A ($N_{FFT}=32$)	Detector B ($N_{FFT}=256$)	Optimized delay differential detector ($K_{opt} = 3$)
S	64	32	16
Q_M	643	2560	256
Q_D	10816	67840	NA

Table : Numerical values of S , Q_M and Q_D for GMSK

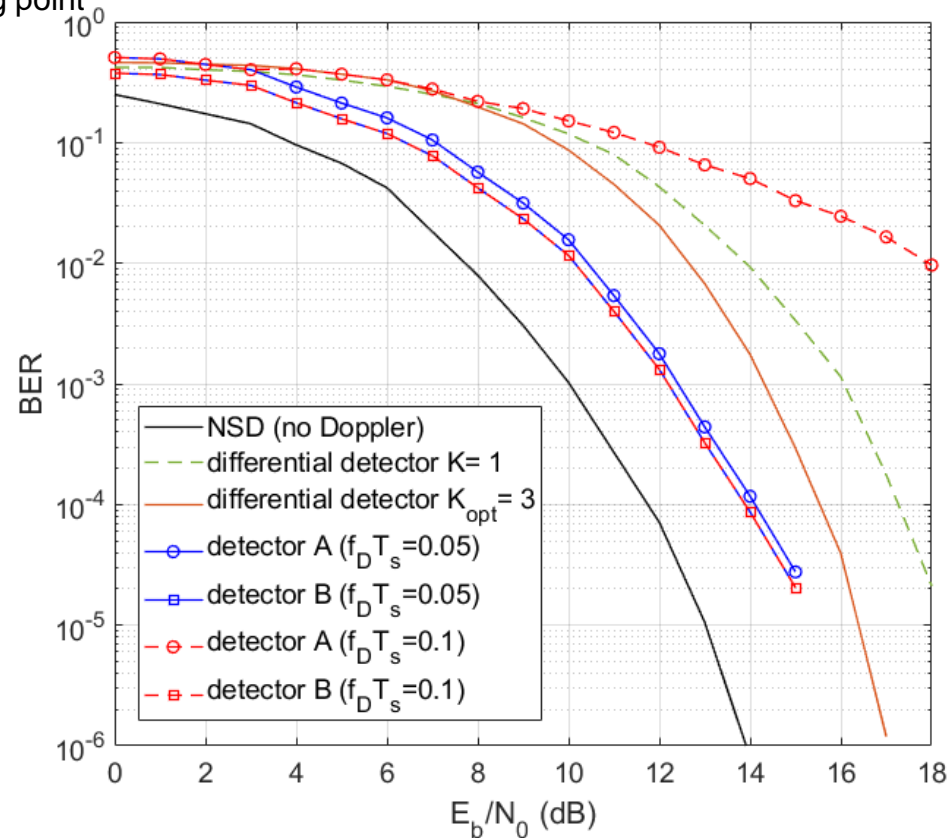
Figure : BER comparison between detectors A ($\rho=1$, $N_{FFT}=32$) and B ($\rho=8$, $N_{FFT}=256$) with optimized-delay differential detector for GMSK with $N_v=5$, $N_D=8$ in presence of Doppler

COMPARISON BETWEEN DETECTORS

BER performance



(a) Binary 3REC with $h = 0.75$



(b) Quaternary 2RC with $h = 0.25$

Figure : BER comparison between detector B ($\rho=8, N_{FFT}=256$) and optimized-delay differential detector with $N_v=5, N_D=8$ in presence of Doppler for two CPM schemes

Conclusion

- For the Satellite IoT context :

	Error rates without Doppler	Error rates in presence of Doppler	Robustness to Doppler shift	Robustness to Doppler rate	Complexity	Generic architecture
Detector A	★ ★ ★	★ ★	★ ★	★ ★ ★	★ ★	No
Detector B	★ ★ ★	★ ★	★ ★ ★	★ ★ ★	★	Yes
Optimized differential detector	★ ★	★ ★	★ ★	★ ★	★ ★ ★	Yes

- More stars means better !

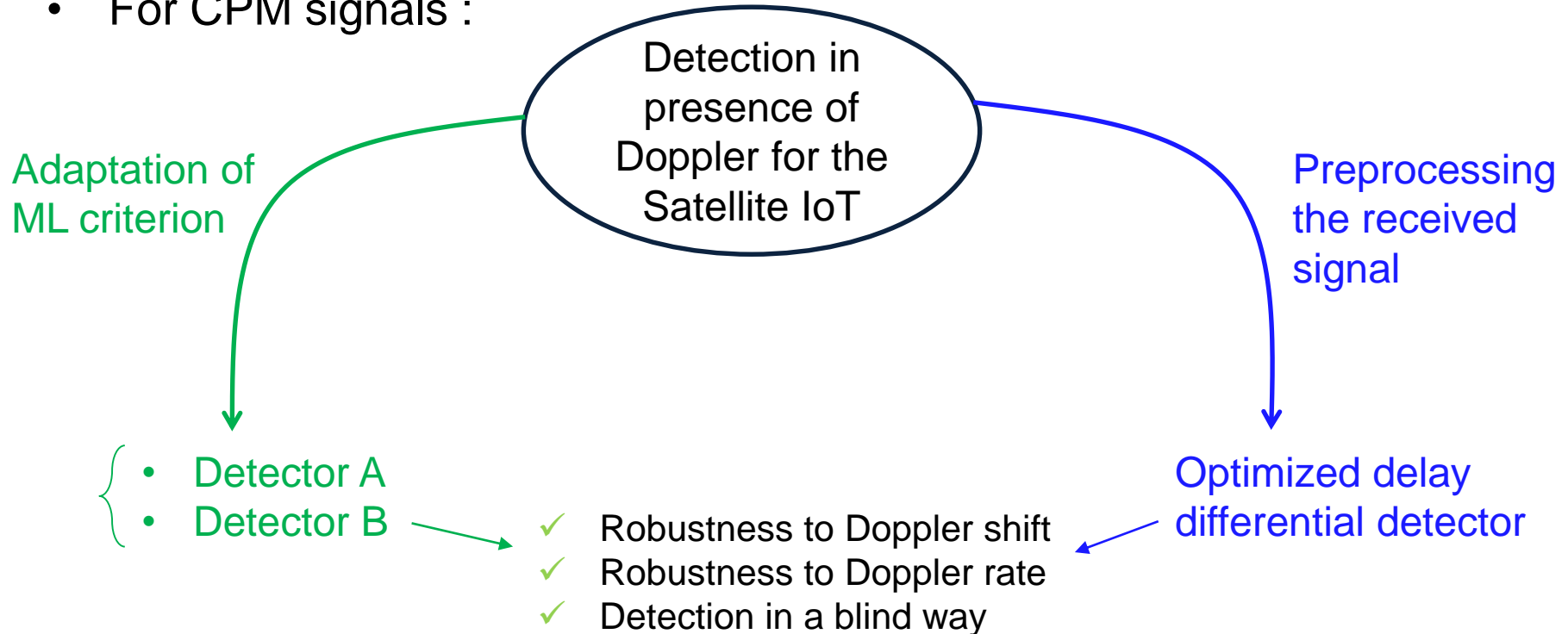
CONCLUSION & PERSPECTIVES



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Conclusion

- For CPM signals :



- Performances comparison depends on the selected CPM format !

Conclusion


PhD contributions :

- A novel CPM non-coherent detection based on the direct application of the generalized maximum likelihood principle with the insertion of blind Doppler estimation principle of [1] in the proposed algorithm as well as in the CPM non-coherent detection of [2].
- The extension of the usual CPM differential detection algorithm to consider a delay higher than one symbol period (including the description of the phase trellis and the derivation of the equations of the branch and cumulative metrics).
- A method to systematically determine an optimized delay value based on the application of the minimum Euclidean distance criterion between two CPM differential signals.
- The optimized delay values for different CPM formats (modulation index, frequency pulse length, frequency pulse type).

[1] G. Colavolpe, R. Raheli, and G. Picchi, "Detection of linear modulations in the presence of strong phase and frequency instabilities," in IEEE Int. Conf. on Communications., vol. 2, 2000, pp. 633–637.

[2] G. Colavolpe and R. Raheli, "Noncoherent Sequence Detection of Continuous Phase Modulations," IEEE Transactions on Communications, vol. 47, no. 9, pp. 1303–1307, 1999.

Perspectives

- Satellite IoT application :
 - Connection of a large number of objects
 - Channel access is mostly random
-  High risk of packet collision !!
- Next step :
 - ➔ Investigate a solution for multiuser detection
 - ➔ Focus on synchronization issues

International Journal

- A. Jerbi, K. Amis, F. Guilloud and T. Benaddi, "Delay Optimization of Conventional Non-Coherent Differential CPM Detection," in IEEE Communications Letters, vol. 27, no. 1, pp. 234-238, Jan. 2023, doi: 10.1109/LCOMM.2022.3220326.

International conference

- A. Jerbi, F. Guilloud, K. Amis and T. Benaddi, "Non-coherent CPM Detection under Gaussian Channel affected with Doppler Shift," 2022 IEEE 33rd Annual International Symposium on Personal, Indoor and Mobile Radio Communications (PIMRC), Kyoto, Japan, 2022, pp. 1338-1343, doi: 10.1109/PIMRC54779.2022.9978066.

National conference

- Anouar Jerbi, Karine Amis, Frédéric Guilloud, Tarik Benaddi. Détection non-cohérente des modulations CPM en présence d'un décalage Doppler. GRETSI'22 : 28ème colloque du Groupement de Recherche en Traitement du Signal et des Images, Sep 2022, Nancy, France, Sep 2022, Nancy, France. hal-03758421f

THANK YOU FOR YOUR
ATTENTION !



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