

# Onboard IP router for critical aeronautical communications in a heterogeneous environment

PhD Defense

**Alexandre TRAN NGUYEN**

Janvier 2021

*Jury Members*

President: André-Luc BEYLOT

Examiners: Hakima CHAOUCHI  
Isabelle CHRISTMENT  
Cong Duc PHAM  
Nader MBAREK

Supervisors : Alain PIROVANO  
Nicolas LARRIEU  
Stéphane PELLESCI  
Alain BROSSARD



# Outline



- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

- 1 The evolution of aeronautical communications
  - From voice to datalink communication
  - The aeronautical applications
  - Evolution of the communication means
  - Towards the ATN/IPS network
  
- 2 Managing Aircraft IP mobility
  
- 3 Multilink: A method to estimate the link quality
  
- 4 Conclusion and perspectives

- 1 The evolution of aeronautical communications
  - From voice to datalink communication
  - The aeronautical applications
  - Evolution of the communication means
  - Towards the ATN/IPS network
  
- 2 Managing Aircraft IP mobility
  
- 3 Multilink: A method to estimate the link quality
  
- 4 Conclusion and perspectives

# to respond to the air traffic growth

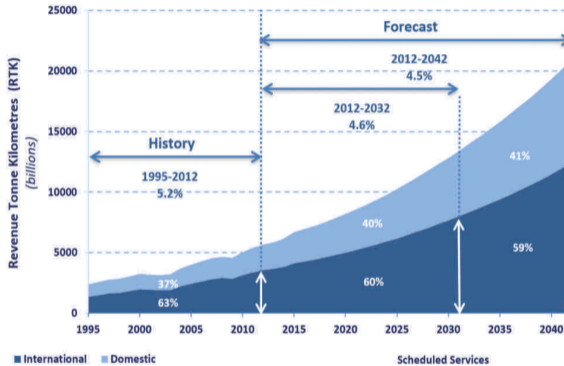


Figure: ICAO air traffic history and prediction (July 2016 report)

## Consequences:

- voice channel congestion
- decrease aircraft separation standard

## Solution

- need for new datalink communication systems to improve the safety

- 1 The evolution of aeronautical communications
  - From voice to datalink communication
  - The aeronautical applications
  - Evolution of the communication means
  - Towards the ATN/IPS network
  
- 2 Managing Aircraft IP mobility
  
- 3 Multilink: A method to estimate the link quality
  
- 4 Conclusion and perspectives

# Different types of onboard applications (ICAO annex 10)

## Non safety-related applications

- APC (Aircraft Passenger Communications): video, calls , ...
- AAC (Airlines Administrative Communications): crew/passenger information

## Safety-related applications

- ATSC (Air Traffic Services Communications): for air traffic control, navigation, weather forecast, aircraft location.
- AOC (Airlines Operational Communications): related to aircraft operability, maintenance, so on.

# Different types of onboard applications (ICAO annex 10)

## Non safety-related applications

- APC (Aircraft Passenger Communications): video, calls , ...
- AAC (Airlines Administrative Communications): crew/passenger information

## Safety-related applications

- **ATSC** (Air Traffic Services Communications): for air traffic control, navigation, weather forecast, aircraft location.
- **AOC** (Airlines Operational Communications): related to aircraft operability, maintenance, so on.



- 1 The evolution of aeronautical communications
  - From voice to datalink communication
  - The aeronautical applications
  - Evolution of the communication means
  - Towards the ATN/IPS network
  
- 2 Managing Aircraft IP mobility
  
- 3 Multilink: A method to estimate the link quality
  
- 4 Conclusion and perspectives

# ATN<sup>2</sup> subnetworks

Systems	Capacity	Range/Coverage
VDLm2 <sup>1</sup>	31.5 kbps per station	200km
HFDL	1.8 kbps per station	2500 km
Iridium	2.4 kbps per aircraft	global
Inmarsat H+	100 kbps in total	latitude < 80°

**Table:** Characteristics of the current air-ground datalink subnetworks

Systems	Capacity	Range/Coverage
LDACS	2.3 Mbps per station	200 km
AeroMACS	30 Mbps per station	short range
Iridium Next	100 kbps in total	global
Inmarsat SBB	432 kbps in total	latitude < 80 °

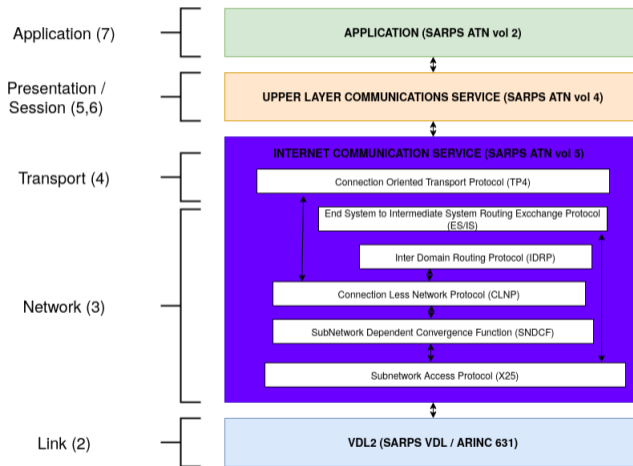
**Table:** Characteristics of the future air-ground datalink subnetworks in the ATN/IPS

<sup>2</sup>Aeronautical Telecommunication Network

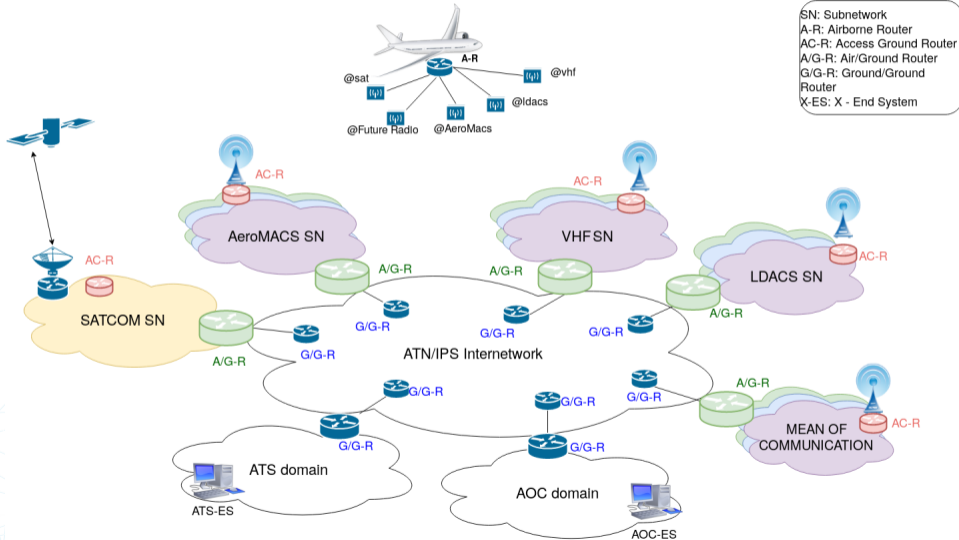
<sup>1</sup>VHF Datalink mode 2

- 1 The evolution of aeronautical communications
  - From voice to datalink communication
  - The aeronautical applications
  - Evolution of the communication means
  - Towards the ATN/IPS network
  
- 2 Managing Aircraft IP mobility
  
- 3 Multilink: A method to estimate the link quality
  
- 4 Conclusion and perspectives

# The ATN/OSI network (from the 2000s)



# The ATN/IPS network (from end of the 2020s)



# Challenges in the new ATN/IPS

## Addressing

To assign a unique global **@IPv6** to the aircraft bind to its **@ICAO**.

## IP Mobility

To handle seamlessly the aircraft reachability while it is moving between subnetworks.

## Multilink

To be able to use the simultaneous air/ground links to improve the QoS.

## Security

To provide different layers of security for the end-to-end communication.

# Challenges in the new ATN/IPS

## Addressing

To assign a unique global @IPv6 to the aircraft bind to its @ICAO.

## IP Mobility

To handle seamlessly the aircraft reachability while it is moving between subnetworks.

## Multilink

To be able to use the simultaneous air/ground links to improve the QoS.

## Security

To provide different layers of security for the end-to-end communication.

# Challenges in the new ATN/IPS

## Addressing

To assign a unique global @IPv6 to the aircraft bind to its @ICAO.

## IP Mobility

To handle seamlessly the aircraft reachability while it is moving between subnetworks.

## Multilink

To be able to use the simultaneous air/ground links to improve the QoS.

## Security

To provide different layers of security for the end-to-end communication.



# Challenges in the new ATN/IPS

## Addressing

To assign a unique global @IPv6 to the aircraft bind to its @ICAO.

## IP Mobility

To handle seamlessly the aircraft reachability while it is moving between subnetworks.

## Multilink

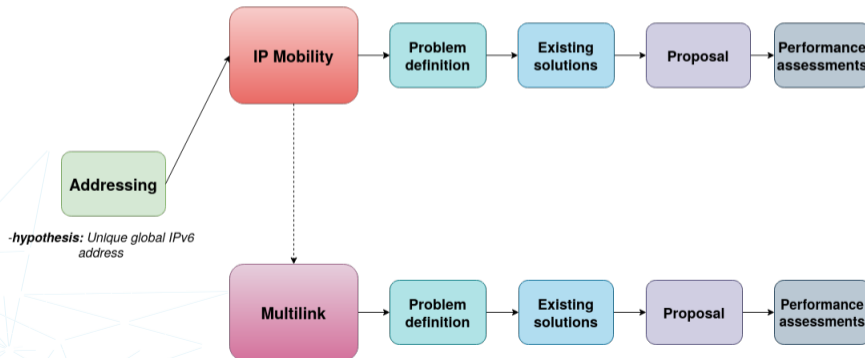
To be able to use the simultaneous air/ground links to improve the QoS.

## Security

To provide different layers of security for the end-to-end communication.

# Organization of the research

## The ATN/IPS challenges



- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - Comparison of different IP mobility solutions
  - Description of the P-LISP solution
  - Performance assessments
  - Conclusions
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - Comparison of different IP mobility solutions
  - Description of the P-LISP solution
  - Performance assessments
  - Conclusions
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

# The ATN/IPS network: a heterogeneous environment

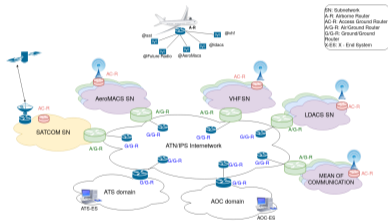


Figure: The ATN/IPS infrastructure





Type	Horizontal	Vertical
Intra-domain		
Inter-domain		

Table: Handover scenarios

## Challenge

To handle seamlessly the different handover scenarios by keeping the **same aircraft IPv6 address**.

- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - **Comparison of different IP mobility solutions**
  - Description of the P-LISP solution
  - Performance assessments
  - Conclusions
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

## Network IP mobility solutions assessment

Protocols	HIP	BGP	MIPv6	PMIPv6	G-LISP <sup>3</sup>
Session continuity	⊕	⊕	⊕	⊕	⊕
Inter-domain handover	⊕	⊕	⊕	⊖	⊕
Intra-domain handover	⊖	⊖	⊖	⊕	⊖
Multihoming	⊙	⊙	⊕	⊕	⊕
End-to-end delay	⊕	⊕	⊖	⊙	⊕
Scalability	⊙	⊖	⊕	⊕	⊕
Protocol overhead	⊕	⊕	⊖	⊕	⊕
Protocol signalling	⊙	⊖	⊕	⊕	⊕
Routing update	⊕	⊙	⊕	⊕	⊙
Deployment	⊖	⊕	⊕	⊕	⊕

**Table:** Candidate protocols to fulfill mobility requirements for the ATN/IPS (optimal ⊕, acceptable ⊕, average ⊙ and non compliant ⊖)

<sup>3</sup>Ground- Locator/Identifier Separation Protocol

## Proposed solution for ATN/IPS

Protocols	HIP	BGP	MIPv6	PMIPv6	G-LISP	P-LISP <sup>4</sup>
Session continuity	⊕	⊕	⊕	⊕	⊕	⊕
Inter-domain handover	⊕	⊕	⊕	⊖	⊕	⊕
Intra-domain handover	⊖	⊖	⊖	⊕	⊖	⊕
Multihoming	⊙	⊙	⊕	⊕	⊕	⊕
End-to-end delay	⊕	⊕	⊖	⊙	⊕	⊕
Scalability	⊙	⊖	⊕	⊕	⊕	⊕
Protocol overhead	⊕	⊕	⊖	⊕	⊕	⊕
Protocol signalling	⊙	⊖	⊕	⊕	⊕	⊕
Routing update	⊕	⊙	⊕	⊕	⊙	⊕
Deployment	⊖	⊕	⊕	⊕	⊕	⊕

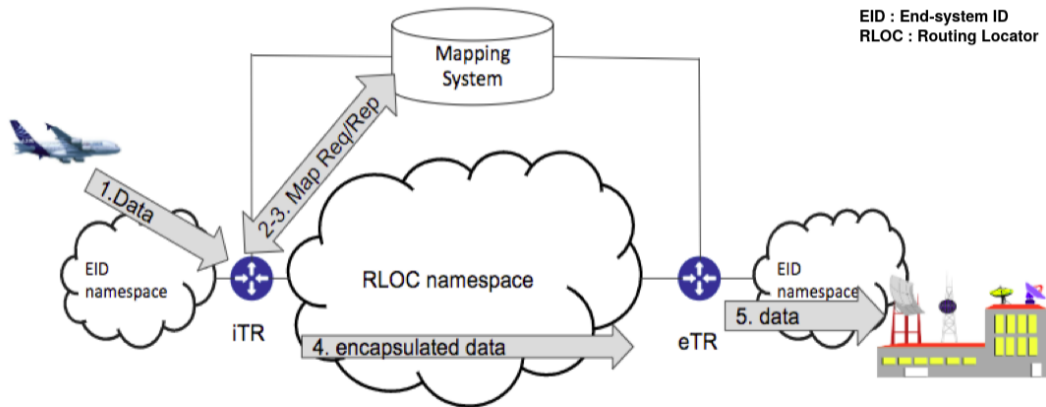
**Table:** Candidate protocols to fulfill mobility requirements for the ATN/IPS (optimal ⊕, acceptable ⊕, average ⊙ and non compliant ⊖)

<sup>4</sup>Proxy-LISP



- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - Comparison of different IP mobility solutions
  - **Description of the P-LISP solution**
  - Performance assessments
  - Conclusions
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

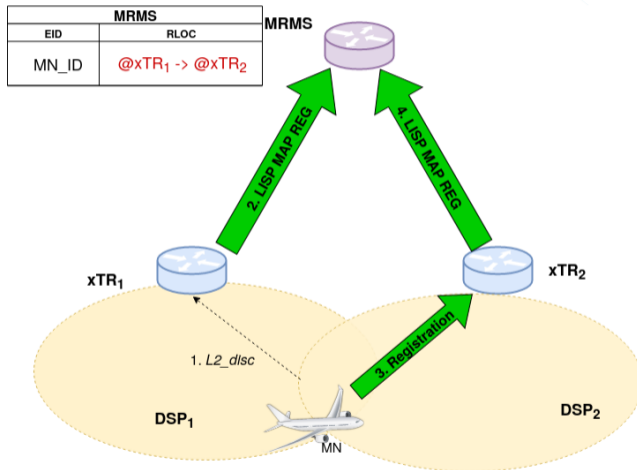
# P-LISP Packet Routing



# P-LISP inter-domain handover management

## Network entities

- **Mapping system (MRMS server)**: to **maintain the mapping** between the MN's RLOC and the MN's EID.  
**Publish/subscribe mechanism** added for EID-to-RLOC update announcement.
- **Tunnel Router (xTR)**: to inform the MRMS of the **MN location** and to **forward packets** from/to the MN by **UDP encapsulation**.

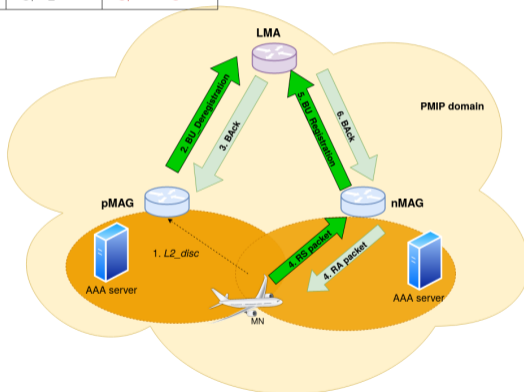


# P-LISP intra-domain handover management

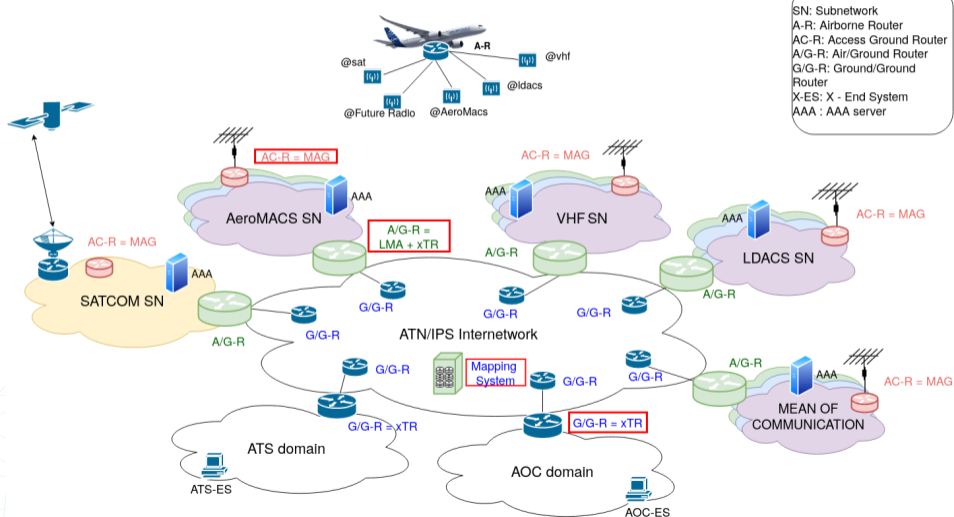
## Network entities

- **Local Mobility Anchor (LMA):**
  - forwards packets outside the domain and to the MN's attached MAG.
  - attributes a HNP (Home Network Prefix) to the aircraft and **share this information via multicast group.**
- **Mobile Access Gateways (MAGs):** interconnect the MN to the subnetwork and manage the MN's signalling.

LMA binding cache		
ID	HNP	pCoA
MN_ID	@pref_HNP	@pMAG -> @nMAG



# Deployment of the solution for the ATN/IPS



# Handover sequence diagrams for P-LISP

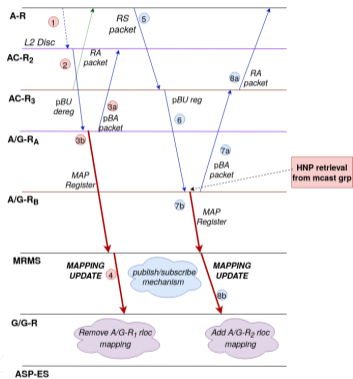


Figure: Inter-domain handover

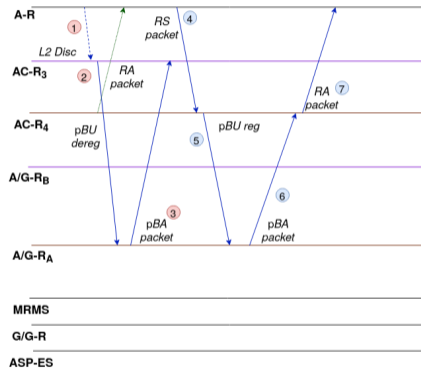


Figure: Intra-domain handover

- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - Comparison of different IP mobility solutions
  - Description of the P-LISP solution
  - **Performance assessments**
  - Conclusions
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

# Handover scenarios

## Simulation parameters

- Aircraft at speed 250 m/s
- 2 service providers
- overlapping area: 50km
- inter+intra-domain handover
- simulation time : 20min

## MIPv6/PMIPv6 comparison

- end-to-end delay
- handover delay

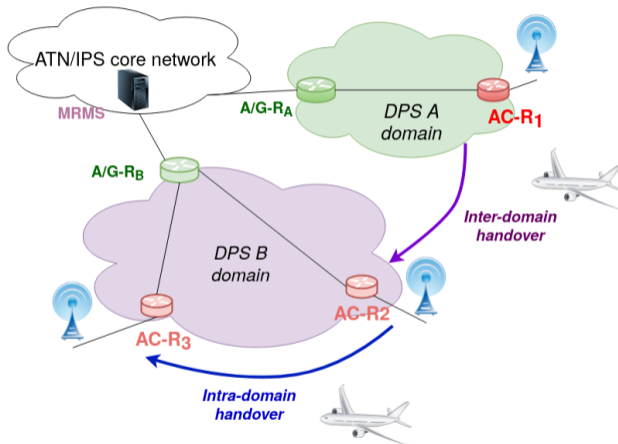


Figure: Test scenario



# Development of the framework in OMNeT++

## Protocol Development

- ATS traffic model from NASA study. <sup>5</sup>
- G-LISP for IPv6 based on ANSA framework
- PUSH mechanism for MRMS
- PMIPv6 based on xMIPv6 of the INET framework
- multicast group for HNP
- Layer 2 handover management
- simulation of Vdlm2-link delay

Application Layer	<b>ATS Traffic</b>
Transport Layer	UDP
Network layer	IPv6 / <b>P-LISP</b> / <b>PMIPv6</b>
Link layer	CSMA / <b>L2 handover manager</b>
Physical Layer	Ideal Radio

<sup>5</sup>Ribeiro and al. "A framework for dimensionning vdl-2 air-ground network"

# End-to-end delay

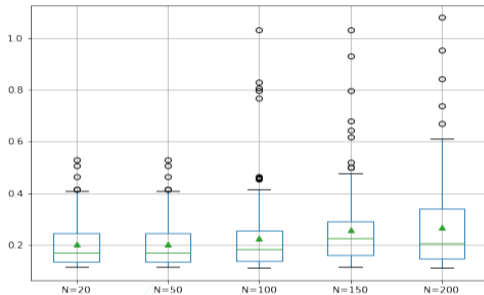


Figure: E2E delay (s) wrt cell load for P-LISP

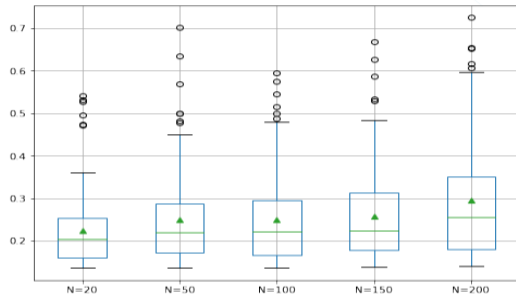
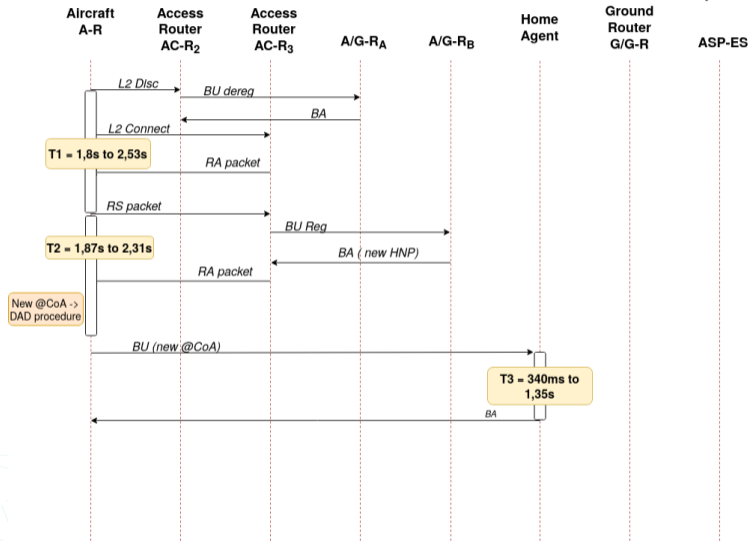


Figure: E2E delay (s) wrt cell load for MIP/PMIP

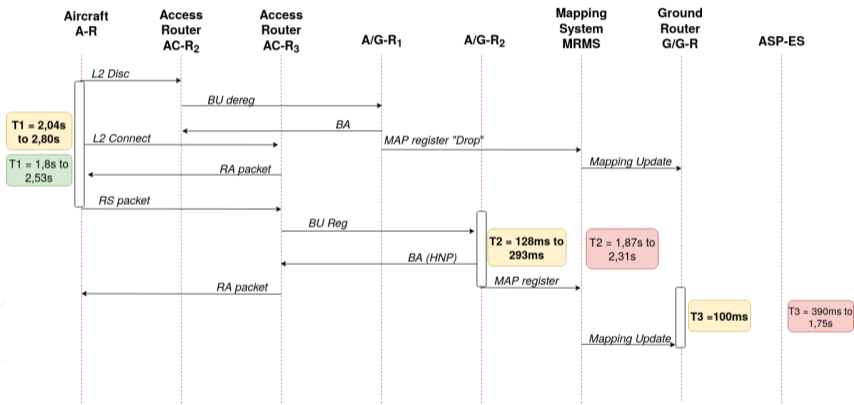
## Results

- Packets are forwarded via an **optimal route** instead of the MIP/PMIP solution.

# Inter-domain handover delay for the MIPv6/PMIPv6



# Inter-domain handover delay for the P-LISP



## Comparison with standard requirements

### End-to-end delay

- Delay respected in best case scenarios (aircraft and CN in same continent)

Service	Delay (in s)	Msg exchanged	e2e delay P-LISP (in s)	e2e delay MIP/PMIPv6 (in s)
ACL	3.0	4	0.78	0.86
COTRAC	5.0	7	1.37	1.51
FLTPLAN	30	18	3.51	8.87

**Table:** End-to-end delay comparison with the service requirements

### Handover delay

- P-LISP reduces by twice the **handover delay** (from 6.2s to 3.15s)
- For almost all types of applications, the delay is respected even after a handover. (COTRAC:  $1.37s + 3.15s = 4.52s$ )

## Comparison with standard requirements

### End-to-end delay

- Delay respected in best case scenarios (aircraft and CN in same continent)

Service	Delay (in s)	Msg exchanged	e2e delay P-LISP (in s)	e2e delay MIP/PMIPv6 (in s)
ACL	3.0	4	0.78	0.86
COTRAC	5.0	7	1.37	1.51
FLTPLAN	30	18	3.51	8.87

Table: End-to-end delay comparison with the service requirements

### Handover delay

- P-LISP reduces by twice the **handover delay** (from 6.2s to 3.15s)
- For almost all types of applications, the delay is respected even after a handover. (COTRAC:  $1.37s + 3.15s = 4.52s$ )

- 1 The evolution of aeronautical communications
- 2 **Managing Aircraft IP mobility**
  - Problem definition
  - Comparison of different IP mobility solutions
  - Description of the P-LISP solution
  - Performance assessments
  - **Conclusions**
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

## Conclusions

### Development of an IP mobility solution for ATN/IPS

- 1 P-LISP based on the G-LISP enhanced with the PMIPv6 and routing update mechanism.
- 2 No major flaws:
  - adequate for both intra and inter-domain handovers.
  - signalling is minimum over the radio links.
  - no need for additional protocols onboard.
- 3 Development of a simulation model under OMNeT++.
- 4 Performs better than a MIPv6/PMIPv6 approach.



- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 Multilink: A method to estimate the link quality
  - Problem definition
  - Link quality information: how to gather ?
  - Proposal: Active link probing
  - Statistical tools for link quality estimation
  - Performance assessments
  - Conclusions
- 4 Conclusion and perspectives

- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 Multilink: A method to estimate the link quality
  - Problem definition
  - Link quality information: how to gather ?
  - Proposal: Active link probing
  - Statistical tools for link quality estimation
  - Performance assessments
  - Conclusions
- 4 Conclusion and perspectives

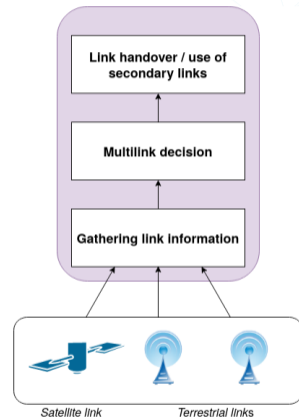
## Multilink properties

### Why multilink is necessary ?

- To offload the traffic when congestion occurs (use of secondary links).
- To avoid the delay introduced by the link establishment when a vertical handover occurs.
- To better manage the overall network resource.

### Multilink (ML) algorithm

- **Step 1:** Retrieves link information for the decision algorithm
- **Step 2:** Decision algorithm (fuzzy logic, MADM, Game theory, ...)
- **Step 3:** Handover/Offload execution (mobility protocols)



- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 **Multilink: A method to estimate the link quality**
  - Problem definition
  - **Link quality information: how to gather ?**
  - Proposal: Active link probing
  - Statistical tools for link quality estimation
  - Performance assessments
  - Conclusions
- 4 Conclusion and perspectives

# Gathering link quality information

## In the literature

- the 802.21 Media Independent Handover (MIH)
- the Access Network Discovery and Selection Function (ANDSF)

## Link information available in aeronautical networks

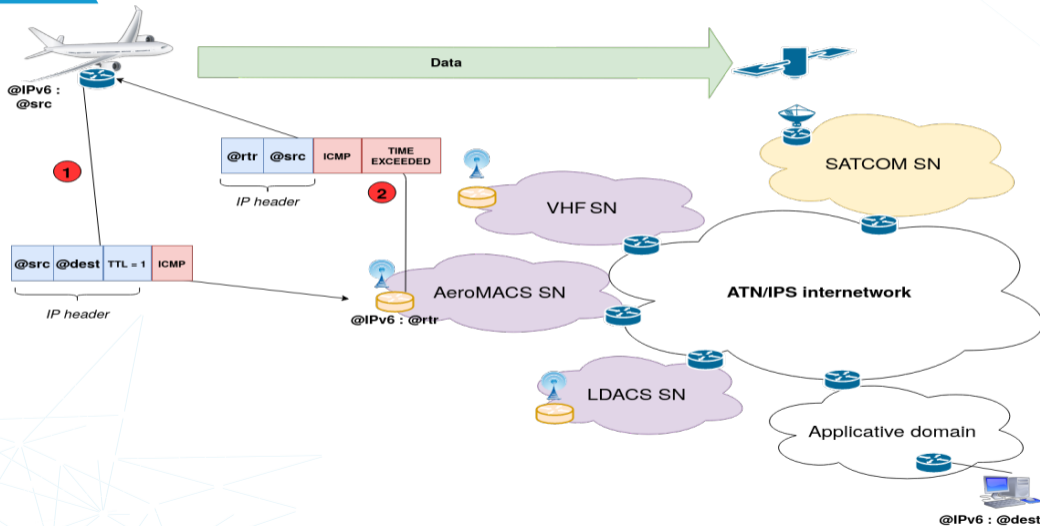
- VDLm2: **SQP parameter** (signal quality)
- others (HF, Satcom): **Link status** (ON/OFF)

## Requirements for the ATN/IPS

- Dynamic link selection taking into account the quality of the subnetwork access.
- Compatible with all the existing and the future subnetwork accesses.
- With a low cost implementation onboard.

- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 **Multilink: A method to estimate the link quality**
  - Problem definition
  - Link quality information: how to gather ?
  - **Proposal: Active link probing**
  - Statistical tools for link quality estimation
  - Performance assessments
  - Conclusions
- 4 Conclusion and perspectives

# Retrieve the state of the radio link via the RTT



- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 **Multilink: A method to estimate the link quality**
  - Problem definition
  - Link quality information: how to gather ?
  - Proposal: Active link probing
  - **Statistical tools for link quality estimation**
  - Performance assessments
  - Conclusions
- 4 Conclusion and perspectives



## 3 methods to determine the link quality

**Goal:** predict link quality in terms of the used capacity (GOOD-MEDIUM-BAD)

**Input :** past values of the RTTs

### Hidden Markov Model (HMM)

- learning parameters: matrix A and B, initial probability
- learning method: forward algorithm

### Threshold based algorithm

- 2 features: a current value and a historical value of the RTTs
- learning parameters : feature(min,max) through link technology knowledge

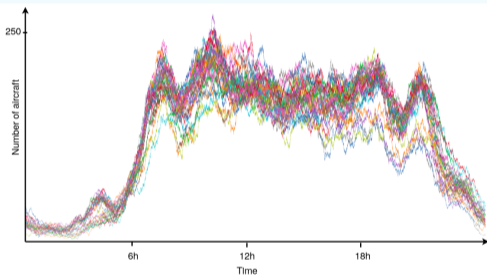
### Long Short Term Memory Neural Network(LSTM)

- learning method: supervised learning
- architecture: a single layer for 1 step prediction, and a 2-layer for multiple step prediction

- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 **Multilink: A method to estimate the link quality**
  - Problem definition
  - Link quality information: how to gather ?
  - Proposal: Active link probing
  - Statistical tools for link quality estimation
  - **Performance assessments**
  - Conclusions
- 4 Conclusion and perspectives

# Simulation scenario

## Based on air traffic replays



**Figure:** Air traffic in february 2020 over the Maastricht region

## Link quality assessment

- Cell's used capacity is directly linked to the number of aircraft
- daily scenario
- **SAPIENT framework:** collaboration with University of Pisa
- Link technology assessment: LDACS and VDLm2
- Supervised training: label data from link access technology

## Probe inter-arrival time dimensioning

Traffic type	Packet per sec	packet size (B)	throughput (B/s)	% of bandwidth at PIAC
ATS	0.008	44	0.35	0.3
AOC	0.004	286	1.14	1
Burst	0.24	286	68.64	62
Ping	0.05	64	3.2	<b>3</b>

Table: LDACS scenario

Traffic type	Packet per sec	packet size (B)	throughput (B/s)	% of bandwidth at PIAC
ATS	0.008	44	0.35	2
AOC	0.004	286	1.14	7.2
Burst	0.04	286	11.44	72.6
Ping	0.017	64	1.08	<b>6.8</b>

Table: VDLm2 scenario

# The HMM method: results

## Link state autocorrelation

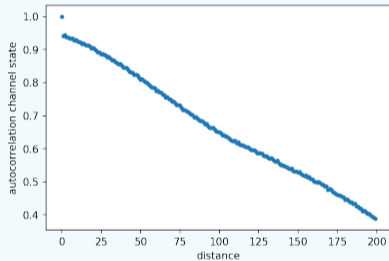


Figure: VDLm2 case

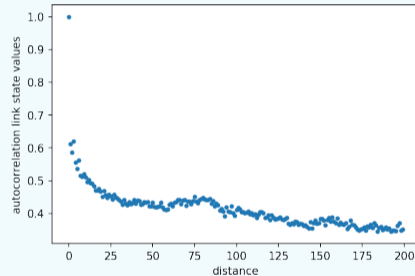


Figure: LDACS case

Not able to predict the quality of the link in both scenarios.

# Threshold algorithm: VDLm2 result

## Classification over 3 link states (GOOD - MEDIUM - BAD)

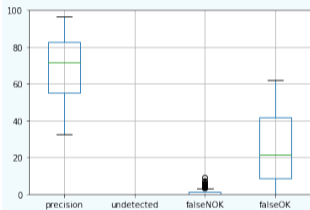


Figure: Average RTT vs RTT

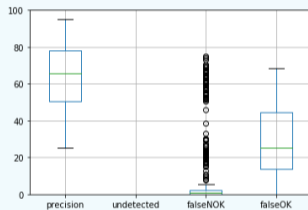


Figure: Exponential Weighted average ( $\alpha = 0.1$ ) vs RTT

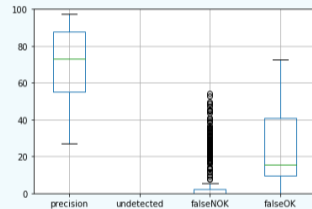


Figure: Rolling standard deviation vs RTT

The Rolling Standard deviation gets the best overall results.

# Comparison with the LSTM model

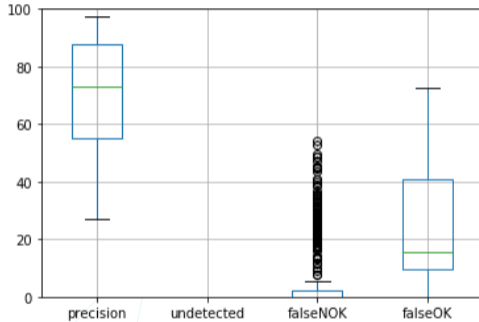


Figure: Threshold-based result

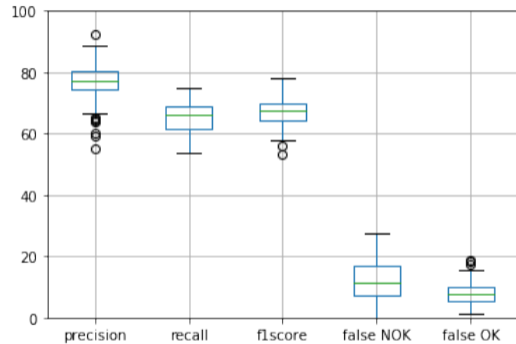


Figure: LSTM result

# Threshold algorithm: LDACS results

1NZQTH: metric based on the history of RTT

- $$\sigma(RTT) = \begin{cases} \frac{RTT(ms) - 180}{60} & \text{if } RTT(ms) > 180 \\ 0 & \text{else.} \end{cases} \quad (1)$$
- 1NZQTH : first quantile of  $\sigma(RTT)_i$  with a non zero value.

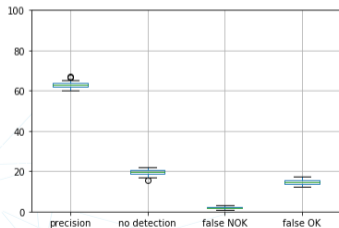


Figure: 1NZQTH vs RTT

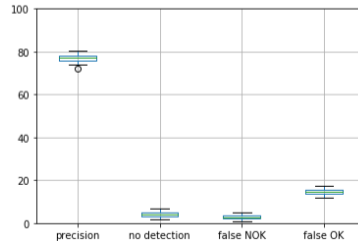


Figure: 1NZQTH vs average RTT



# Comparison with the LSTM model

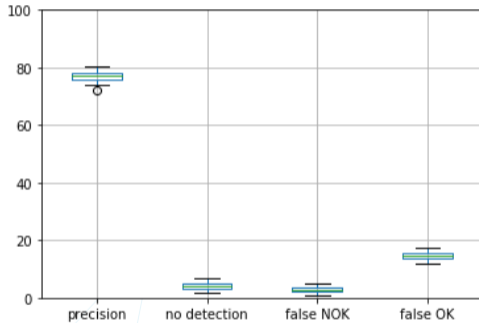


Figure: Threshold-based result

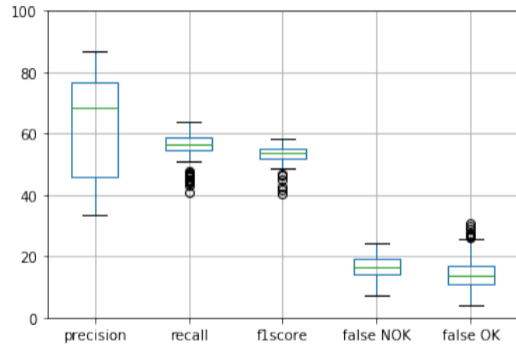


Figure: LSTM result

# LSTM for trend prediction

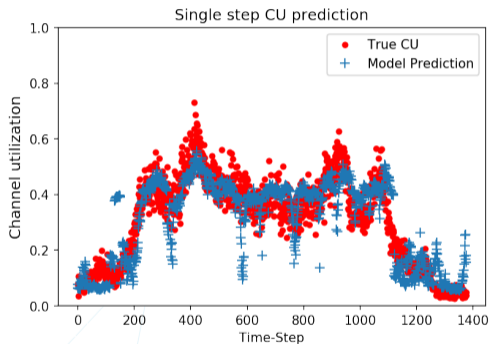


Figure: VDLm2 scenario

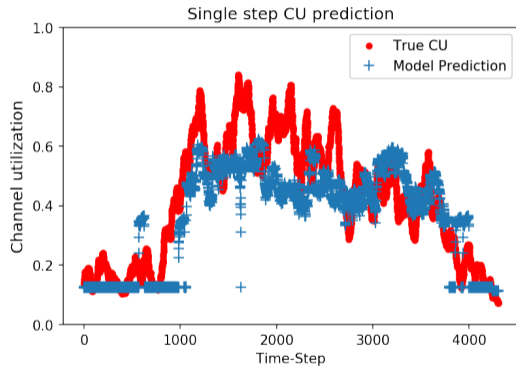
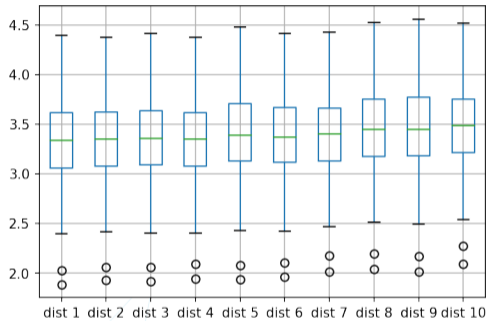
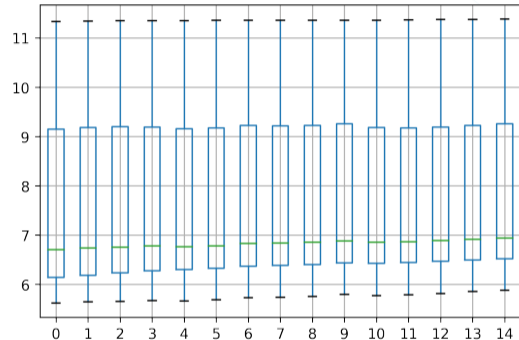


Figure: LDACS scenario

# LSTM for multi-step prediction results



**Figure:** Euclidian distance wrt step prediction (VDLm2 scenario)



**Figure:** Euclidian distance wrt step prediction (LDACS scenario)

Performances are stable regarding the step prediction. A small degradation for the LDACS scenario.

- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 **Multilink: A method to estimate the link quality**
  - Problem definition
  - Link quality information: how to gather ?
  - Proposal: Active link probing
  - Statistical tools for link quality estimation
  - Performance assessments
  - **Conclusions**
- 4 Conclusion and perspectives

## Conclusions

### Enable the aircraft to assess the quality of secondary links

- With an active link probing method (ICMP packets).
- Three methods for link quality prediction:
  - 1 HMM: not suited due to the high data correlation.
  - 2 Threshold-based: good single prediction except for BAD link quality.
  - 3 LSTM: single prediction not so good but is able to predict the trend and over future states.
- **Recommendation for the ATN/IPS:** method 2 onboard the aircraft for its accuracy and low cost implementation.

- 1 The evolution of aeronautical communications
- 2 Managing Aircraft IP mobility
- 3 Multilink: A method to estimate the link quality
- 4 Conclusion and perspectives

## Aircraft IP mobility

- **P-LISP solution:** global solution for managing aircraft IP mobility with no major flaws.
- Implementation of mobility protocols in OMNeT++ dedicated framework.
- Better performances than the standard MIPv6/PMIPv6 for handover and end-to-end delay.

## Aircraft IP mobility

- **P-LISP solution:** global solution for managing aircraft IP mobility with no major flaws.
- Implementation of mobility protocols in OMNeT++ dedicated framework.
- Better performances than the standard MIPv6/PMIPv6 for handover and end-to-end delay.

## Aircraft multilink properties

- Propose a way to provide link quality information to the onboard router, independent from the subnetworks access.
- Assess three statistical methods to predict the link quality.
- Implement our solution in a dedicated framework.
- Obtain interesting results that can be used for a multilink decision algorithm.



## Further work

### Around aircraft IP mobility

- Virtual interface needed for the vertical inter-domain handover.
- To port the solution to the SAPIENT framework.
- To define other scenarios for the performance assessments.

## Further work

### Around aircraft IP mobility

- Virtual interface needed for the vertical inter-domain handover.
- To port the solution to the SAPIENT framework.
- To define other scenarios for the performance assessments.

### Around the multilink opportunities

- To extend our dataset with others air traffic data.
- A ground centralized approach to collect and share link information.
- Multilink decision algorithm with our link quality information.
- HMM of higher order for link prediction.

## Contributions

### International conferences

- **A. Tran**, A. Pirovano, N. Larrieu, A. Brossard and S. Pelleschi, "IP Mobility in Aeronautical Communications", Nets4 13th International Workshop on Communication Technologies for Vehicles Nets4Aircraft and UAV session ser. Communication Technologies for Vehicles – 13th International Workshop Nets4Cars|Nets4Trains/Nets4Aircraft 2018
- **A. Tran**, A. Pirovano and N. Larrieu, "Managing aircraft mobility in a context of the ATN/IPS network," 2019 IEEE/AIAA 38th Digital Avionics Systems Conference (DASC), San Diego, CA, USA, 2019

### Journal paper

- **A. Tran**, A. Pirovano and N. Larrieu, "Air-ground link quality prediction in the ATN/IPS network", (submitted in *Journal of Aerospace Information systems*, AIAA), Jan 2021)

