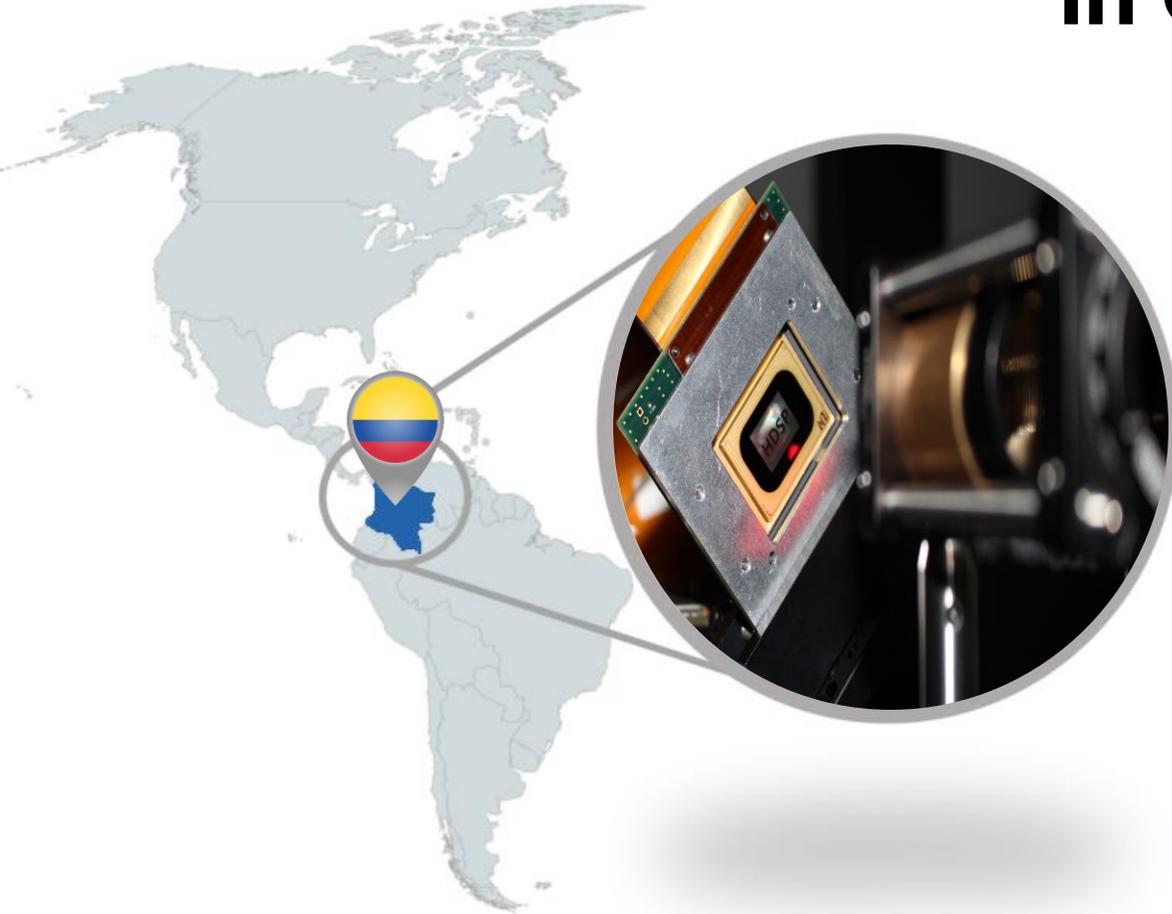
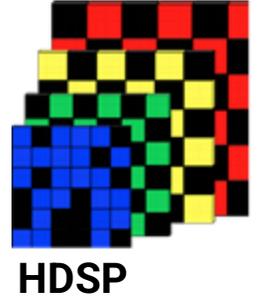


# Data-Driven Optical Coding Optimization in Computational Imaging



Henry Arguello, PhD

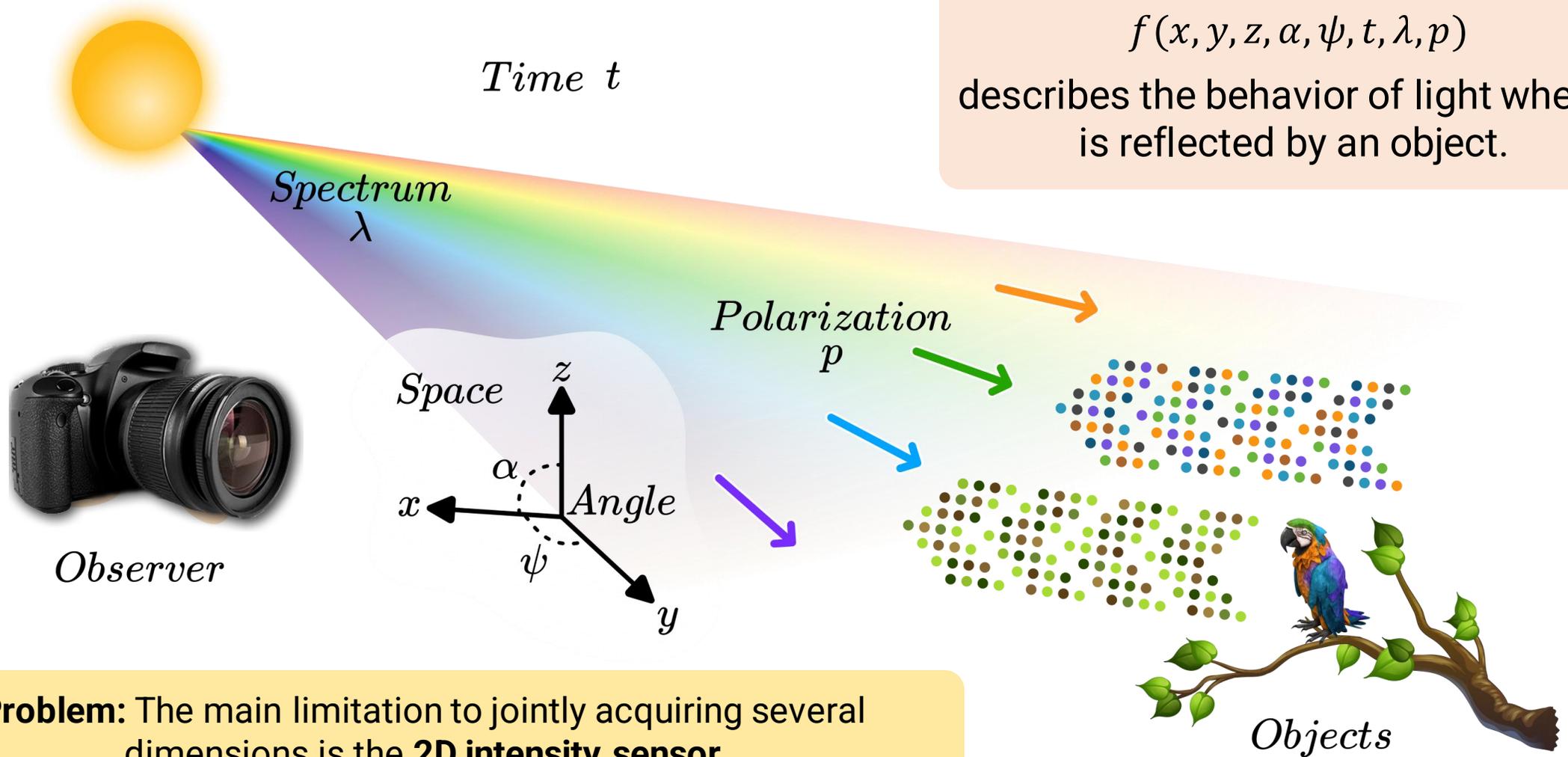
**Universidad Industrial de Santander**

Department of Computer Science  
Bucaramanga, Colombia

[www.hdspgroup.com](http://www.hdspgroup.com)  
[henarfu@uis.edu.co](mailto:henarfu@uis.edu.co)



# Light

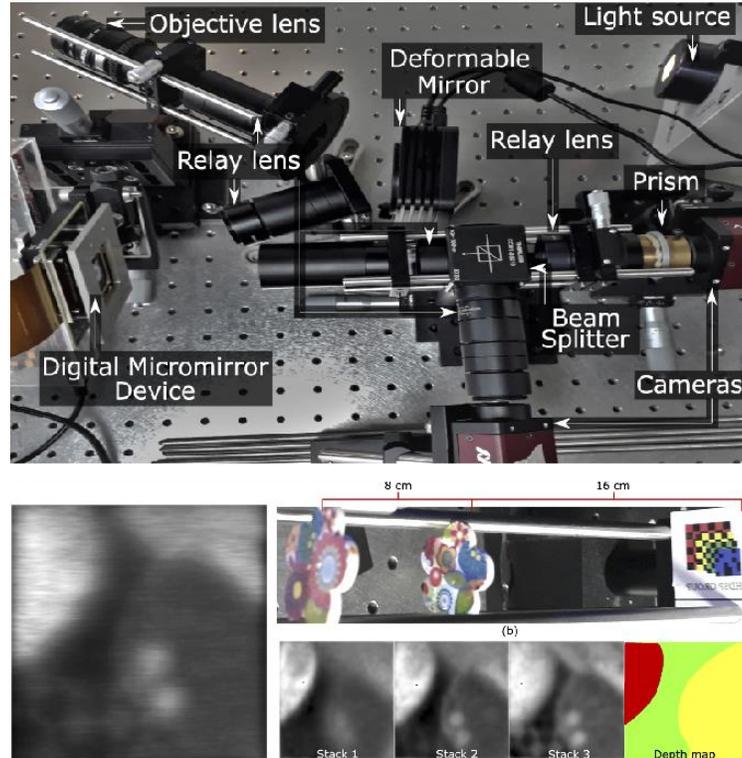


# Imaging Applications



Images acquired with specialized cameras

## Depth<sup>1</sup>



$$f(x, y, z)$$

## Light Field<sup>2</sup>



$$f(x, y, z, \alpha, \psi)$$



1. Marquez, et al. (2021). Snapshot compressive spectral depth imaging from coded aberrations. *Optics Express*.

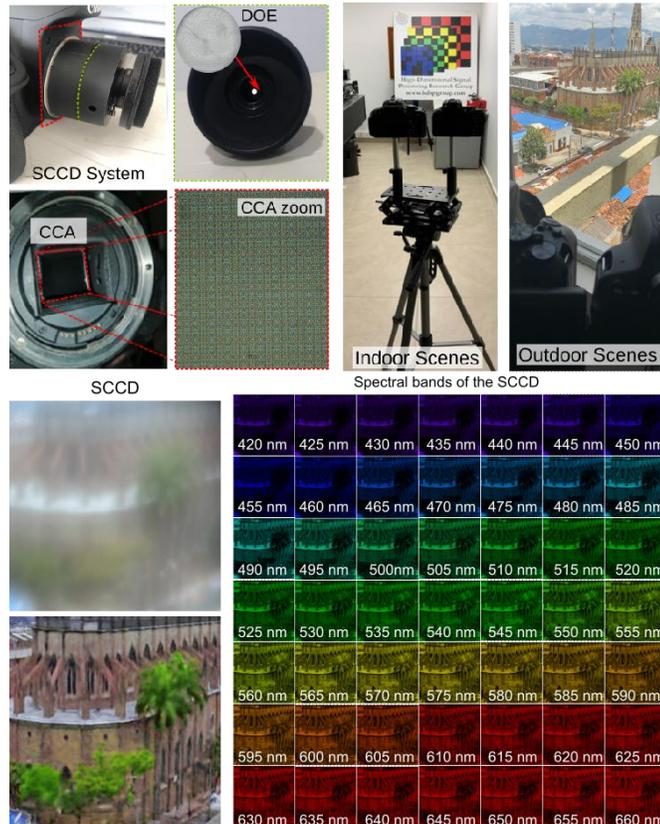
2. Vargas, et al. (2021). Time-Multiplexed Coded Aperture Imaging: Learned Coded Aperture and Pixel Exposures for Compressive Imaging Systems. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*.

# Imaging Applications



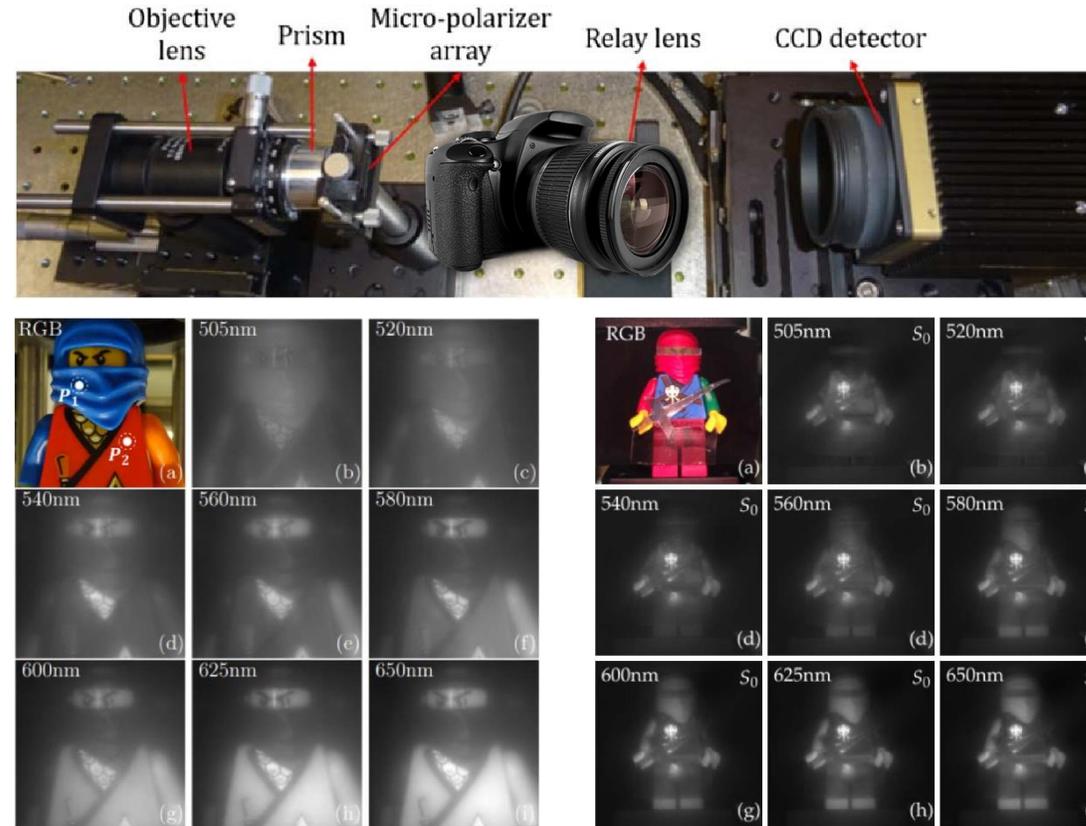
Images acquired with specialized cameras

## Spectral<sup>1</sup>



$$f(x, y, \lambda)$$

## Polarization<sup>2</sup>



$$f(x, y, p)$$

1. Arguello, et al. (2021). Shift-variant color-coded diffractive spectral imaging system. *Optica*.  
 2. Fu, et al. (2015). Compressive spectral polarization imaging by a pixelized polarizer and colored patterned detector. *JOSA A*.

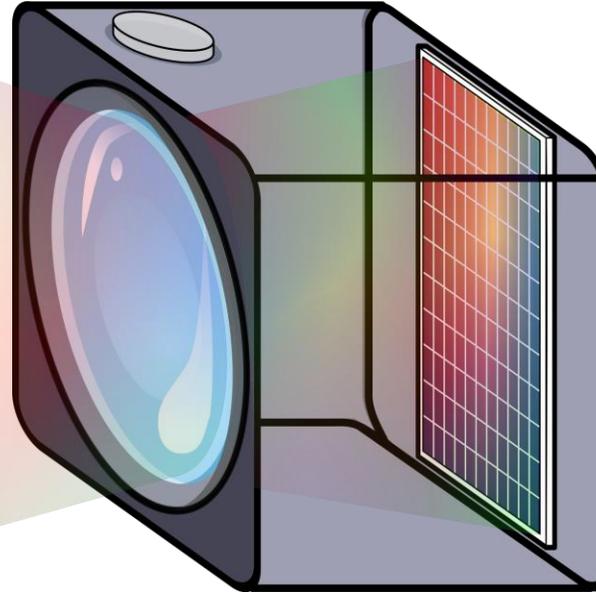
# Imaging Applications

Scene



$$f(x, y, z, \alpha, \psi, t, \lambda, p)$$

Optical System



Lens

2D Sensor

Image

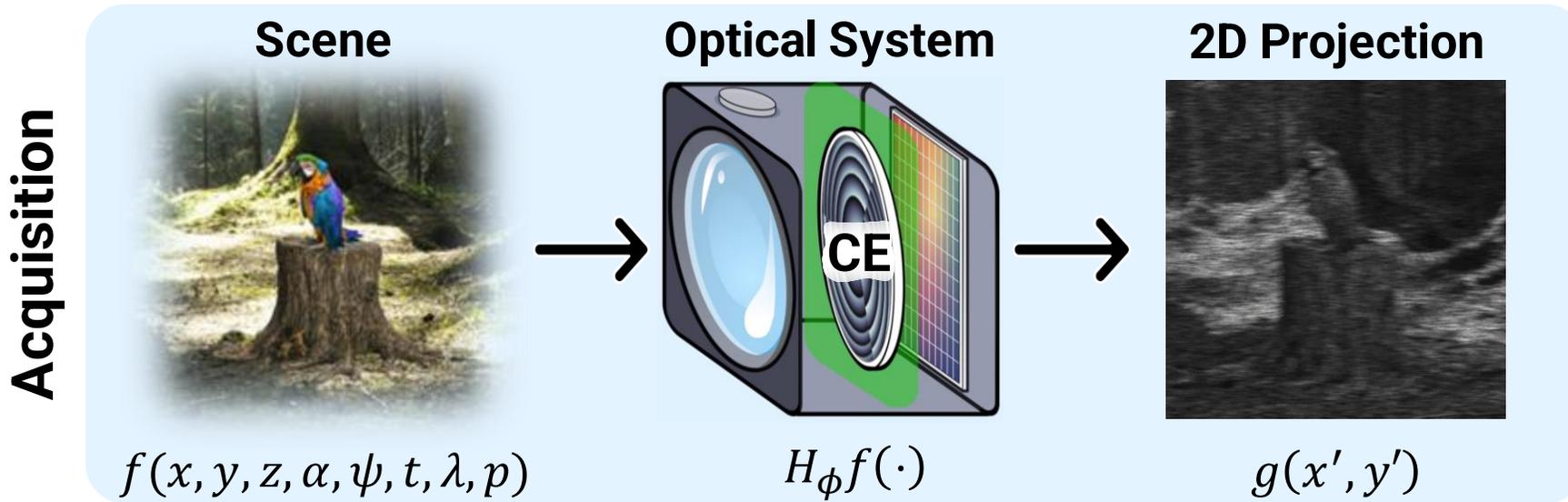


$$g(x', y') = \int_v f(\cdot) dv$$

Current 2D sensors acquire the **photon flux of the incoming light**. Therefore, it is necessary **expensive** setup to obtain 3D or higher dimensional signals.

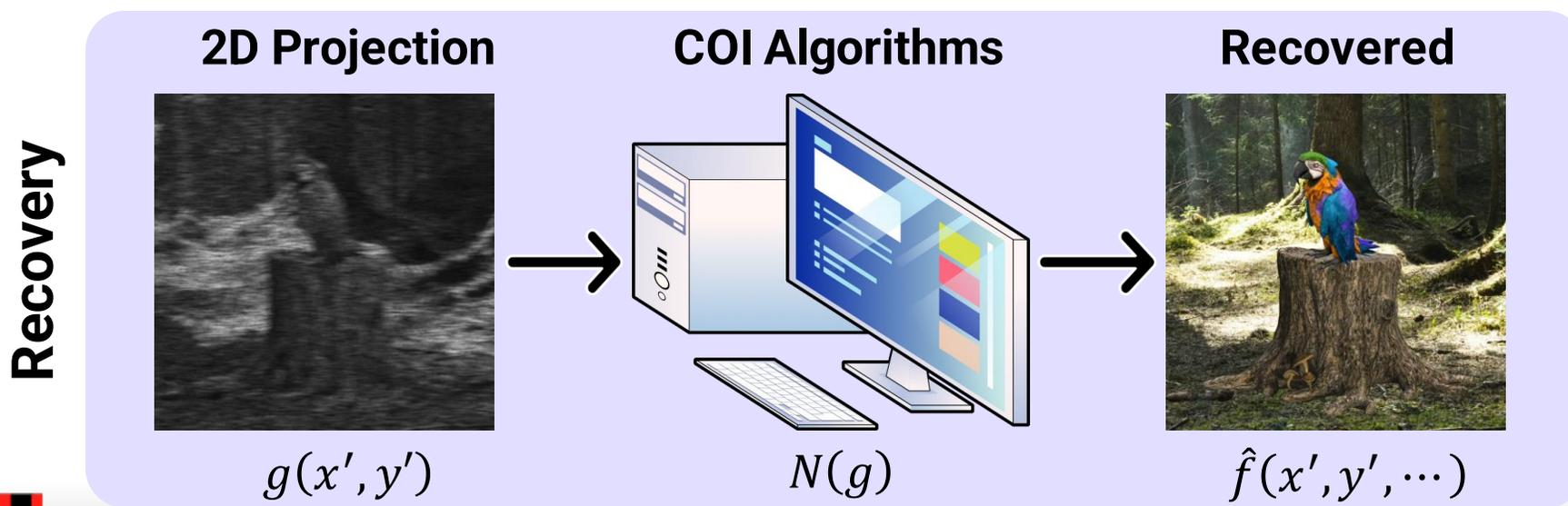


# Computational Optical Imaging



**CE**  
Coded Elements

Low-cost acquisition can be done through specialized cameras and recovered through computational optical algorithms



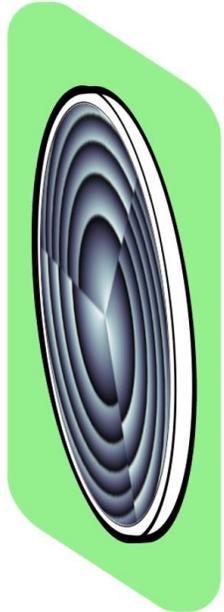
**COI**  
Computational Optical Imaging



# Computational Optical Imaging

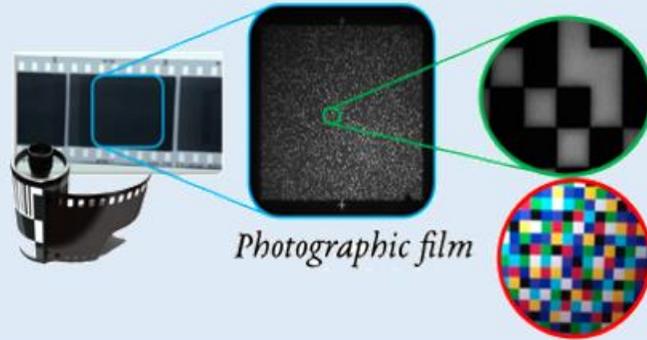
Some Computational imaging tasks can be achieved thanks to the **Coded Elements**:

## Coded Elements

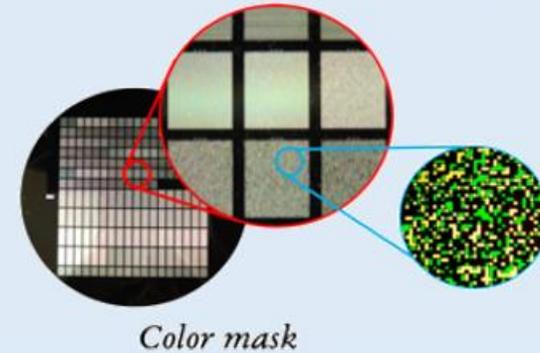


$\phi_j$

### Coded Aperture Imaging



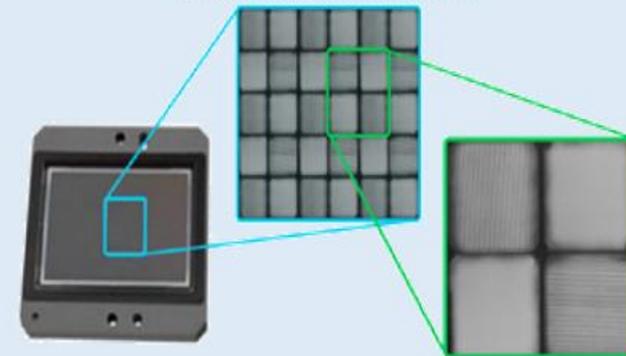
### X-ray coding Imaging



### Grating



### Micropolarizer



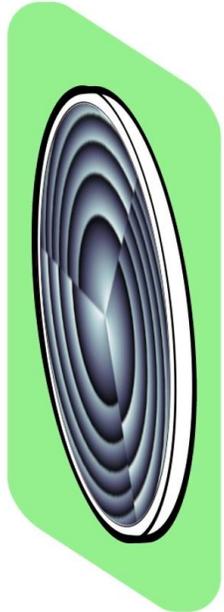
1,2,3

1. Rueda, et al. (2015) DMD-based implementation of patterned optical filter arrays for compressive spectral imaging. JOSA.
2. Rueda, et al. (2016) Compressive spectral testbed imaging system based on thin-film color-patterned filter arrays. Appl. Opt.
3. Pinilla, et al. (2018) "Coded diffraction system in X-ray crystallography using a boolean phase coded aperture approximation. Opt. Comm.

# Computational Optical Imaging

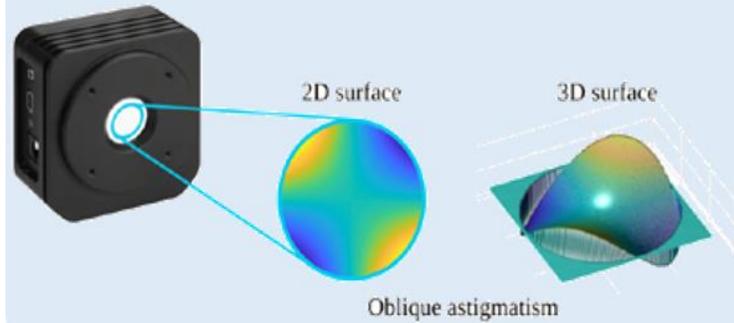
Some Computational imaging tasks can be achieved thanks to the **Coded Elements**:

## Coded Elements

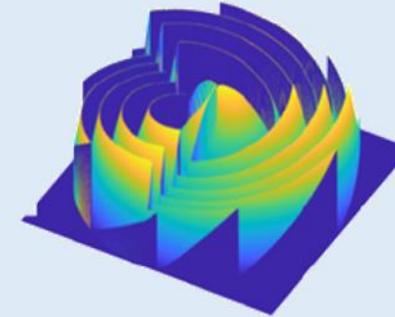


$\phi_j$

### Deformable mirror



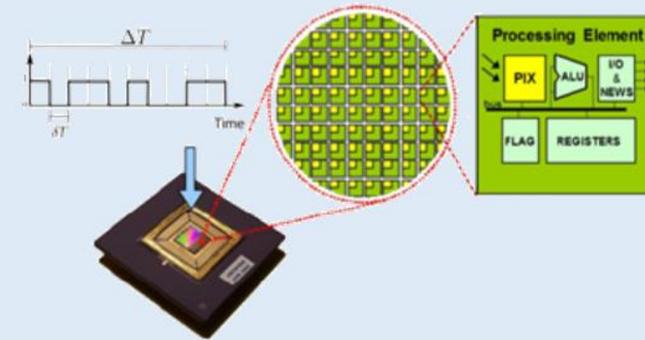
### Diffractive Optical Element



### Spatial Light Modulator



### SIMP Processor Array



1,2

# Coded Elements

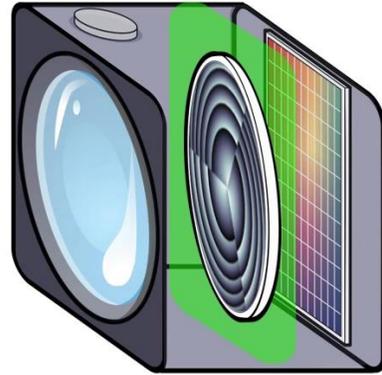
Non-Designed

Scene



$$f(x, y, z, \alpha, \psi, t, \lambda, p)$$

Optical System



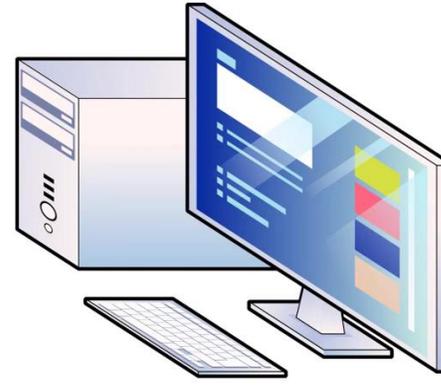
$$H_{\phi}f(\cdot)$$

2D Projection



$$g(x', y')$$

COI Algorithms



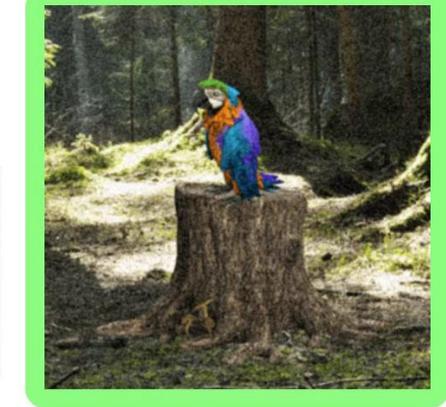
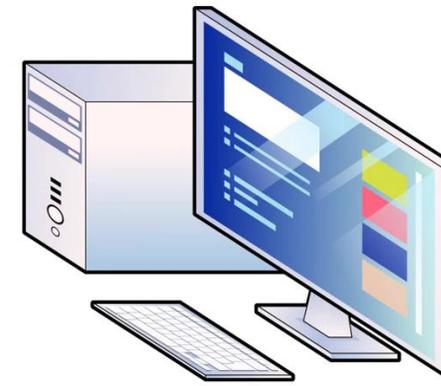
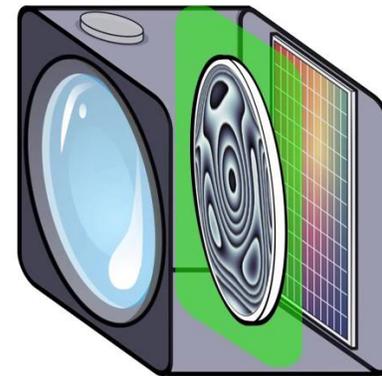
$$N(g)$$

Recovered



$$\hat{f}(x', y', \dots)$$

Designed



If the coded element is **designed**, the quality of the reconstruction **increases**.



# Designed Coded Elements

## Without data

$$\mathcal{R}(\mathbf{H}_{\phi_1}) = \|\mathbf{H}_{\phi_1}^\top \mathbf{H}_{\phi_1} - \mathbf{I}_n\|_2^2$$

Depends on its own CE

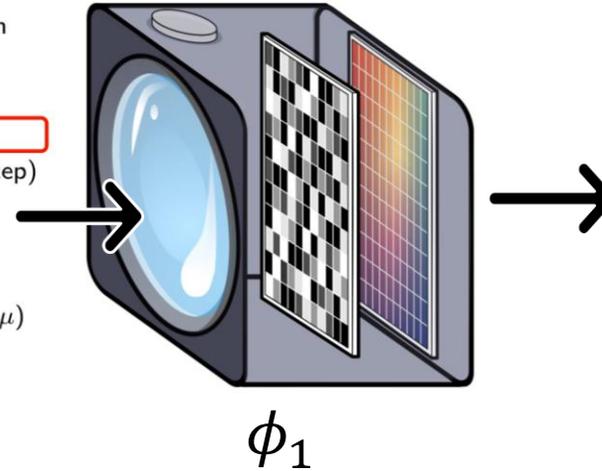
## Algorithm

**Step 0** Constants  $\gamma, \gamma_1 \in (0, 1)$ ,  $T$  maximum number of iterations, and  $\mu_0 > 0$ .

**Step 2 (Main loop)**  
**For**  $i = 0 : T - 1$  **do**  
 $\mathbf{H}_{i+1} = \mathbf{H}_i - \alpha_i \partial g_{\mu_i}(\mathbf{H}_i)$  (update step)  
**if**  $\|\partial g_{\mu_i}(\mathbf{H}_{i+1})\|_F \geq \gamma \mu_i$  **then**  
 $\mu_{i+1} = \mu_i$   
**else**  
 $\mu_{i+1} = \gamma_1 \mu_i$  (Key step: decreasing  $\mu$ )  
**end if**  
**end for**

**Step 3 (Return)**  $\mathbf{H}_T$

## Optical System



## Recovery



## Data Driven

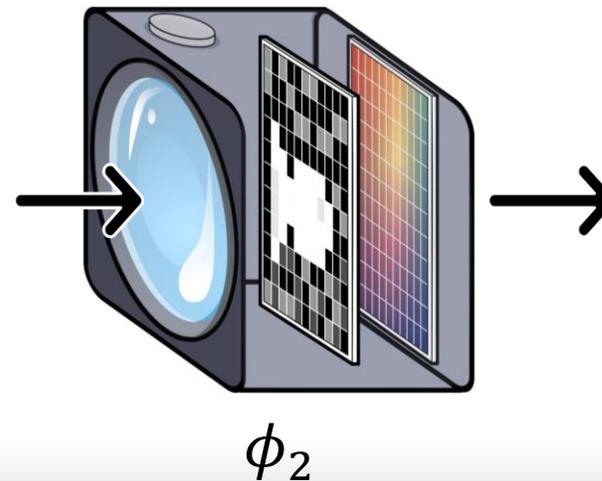
$$\mathcal{R}(\mathbf{H}_{\phi_2} \mathbf{f}_k) = \|\mathbf{f}_k - \mathbf{H}_{\phi_2}^\top \mathbf{H}_{\phi_2} \mathbf{f}_k\|_2^2$$

Depends on data

## Dataset



## Optical System



## Recovery



## Non-Designed

### Random

$$\mathbf{H}_{\phi_{ij}} = \text{Bernoulli}(0,5)$$



Random pattern produces low reconstruction performance

## Designed

### Without Data

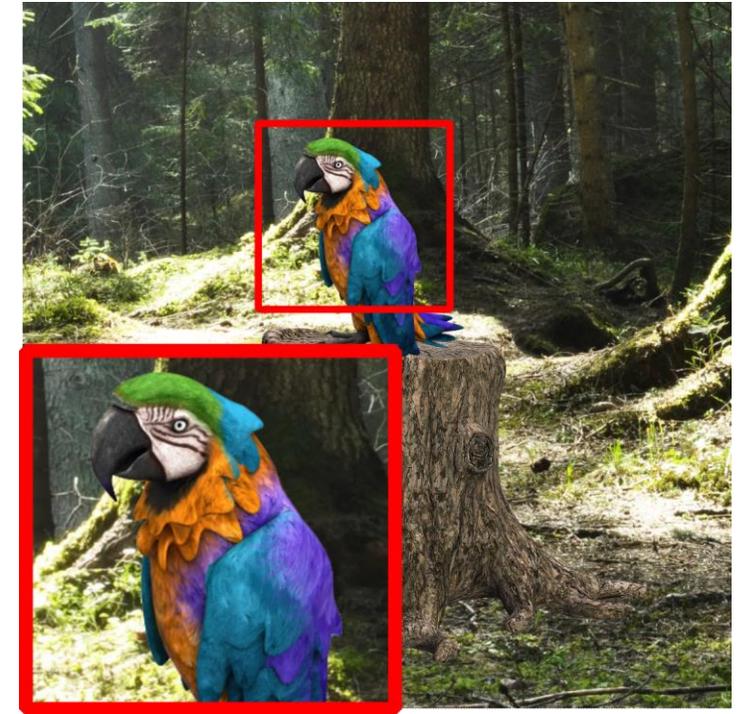
$$\mathcal{R}(\mathbf{H}_{\phi}) = \|\mathbf{H}_{\phi}^{\top} \mathbf{H}_{\phi} - \mathbf{I}_n\|_2^2$$



Without data, the design improves random patterns

### Data-Driven

$$\mathcal{R}(\mathbf{H}_{\phi} \mathbf{f}_k) = \|\mathbf{f}_k - \mathbf{H}_{\phi}^{\top} \mathbf{H}_{\phi} \mathbf{f}_k\|_2^2$$



Data-driven designed pattern provides the **best** reconstruction performance

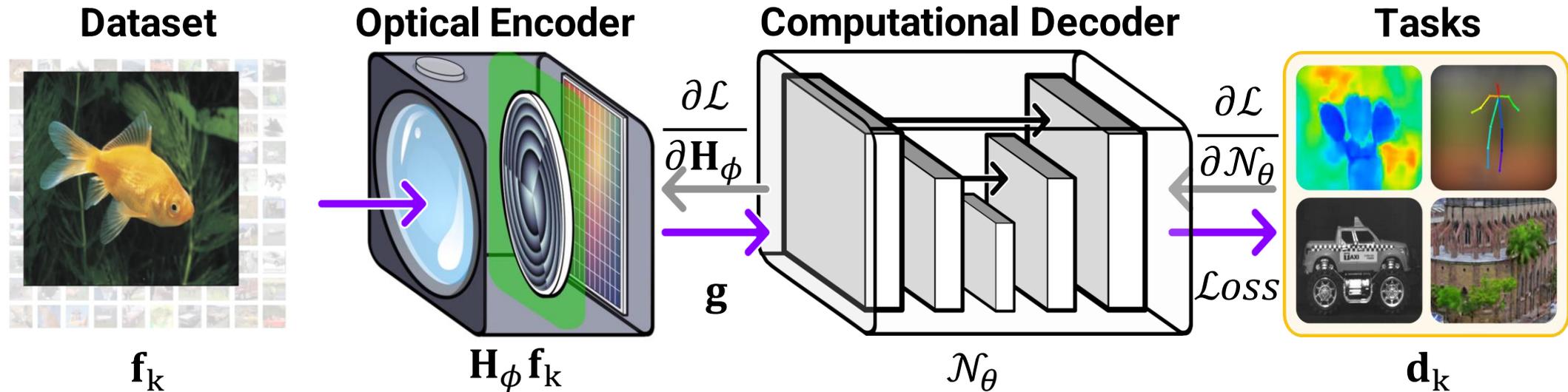




# Data-Driven Optical Coding Optimization

# End-to-end approach

The optical system has to be modeled as a layer



$$\{\phi^*, \theta^*\} = \arg \min_{\phi, \theta} E [\mathcal{L}_{task}(\mathcal{N}_{\theta}(\mathbf{H}_{\phi} \mathbf{f}_k), \mathbf{d}_k) + \rho \mathcal{R}(\phi) + \tau \mathcal{R}(\mathbf{H}_{\phi} \mathbf{f})]$$

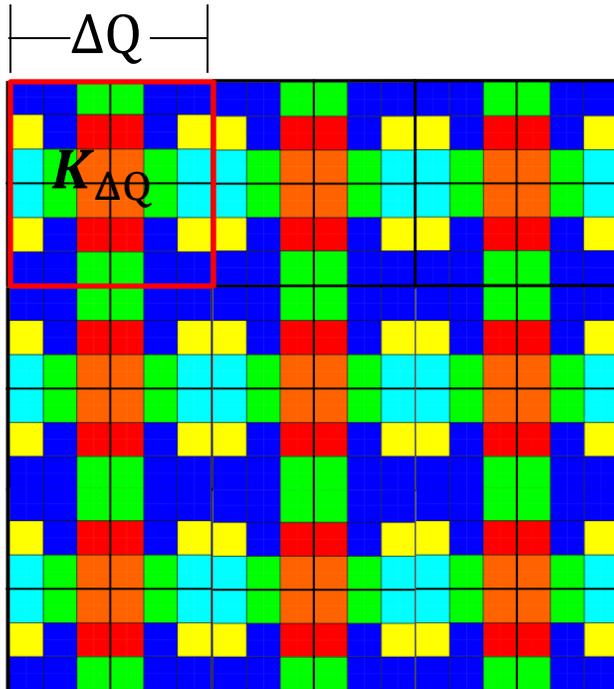
- $\mathcal{L}_{task}(\cdot)$  is the **loss-function** of a determined COI task,  $\mathbf{d}_k$  is the desired output of the training image  $\mathbf{f}_k$
- $\mathcal{R}(\cdot)$  represents the **physical constraints** in the optical encoder  $\phi$ .
- The **tasks** can be depth, privacy, super resolution, spectral imaging, among as.

## Limitations

- **Physical constraints** reduce the degrees of freedom of the CE
- Fewer encoder layers compared with decoder layers produce **gradient vanishing**

# Coding Element Parameterization

## Colored coded aperture



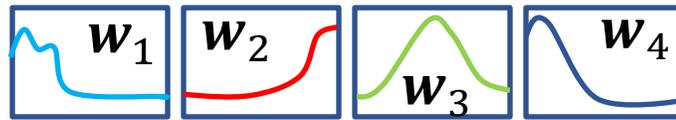
### Spatial

$$K_{\Delta Q} = J_{\Delta Q} K_{\Delta Q} J_{\Delta Q} \text{ (Symmetry)}$$

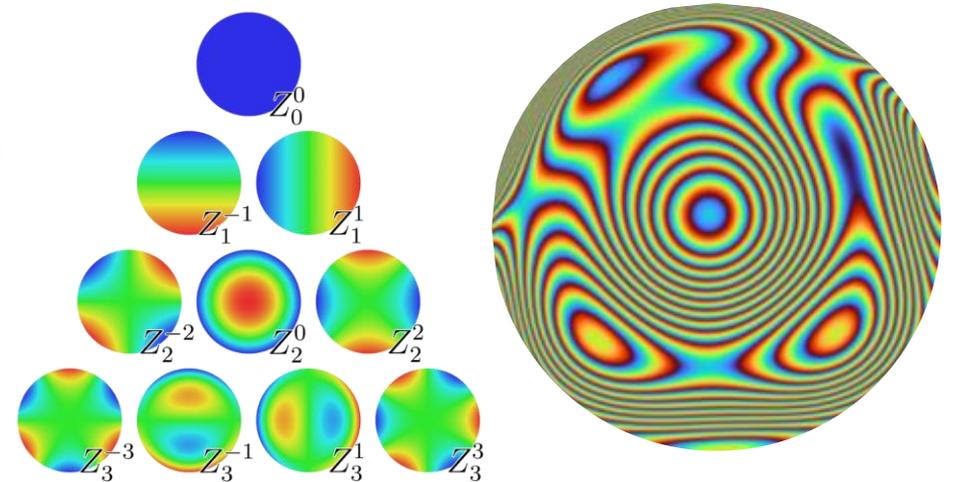
$$\phi = \mathbf{1} \otimes K_{\Delta Q} \text{ (Periodicity)}$$

### Spectral

$$K_{\Delta Q} = \sum_n \beta_n w_n$$



## Diffractive optical element



$$\phi = \sum_n \beta_n Z_n$$

- Instead of learning directly  $\phi$ , the **trainable parameters** are  $\beta$
- Parameterizations allow **reducing** the number of trainable parameters and addressing **implementation constraints**



# 1. End-to-End Regularization Strategy

$$\{\phi^*, \theta^*\} = \arg \min_{\phi, \theta} \mathbb{E} [\mathcal{L}_{task}(\mathcal{N}_{\theta}(\mathbf{H}_{\phi} \mathbf{f}), \mathbf{f}) + \rho \mathcal{R}(\phi)]$$

Regularization **addresses physical constraints** for optimizing the Optical Design:

$$\phi^{i+1} = \phi^i - \alpha \left( \frac{\partial \mathcal{L}_{task}(\mathcal{N}_{\theta}(\mathbf{H}_{\phi} \mathbf{f}), \mathbf{f})}{\partial \phi} + \rho \frac{\partial \mathcal{R}(\phi)}{\partial \phi} \right)$$

## 1. Address physical constraints

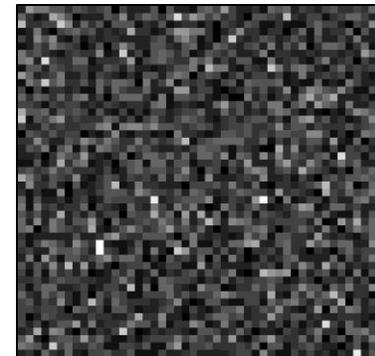
$\mathcal{R}(\phi)$

$\phi$



1.  $\frac{1}{n} \sum_{l=1}^n (\phi_l)^2 (\phi_l - 1)^2$
2.  $\sum_{j=1}^S \sqrt{\sum_{l=1}^n (\phi_l^j)^2}$
3.  $\left( \frac{\sum_{l=1}^n \phi_l}{n} - T_r \right)^2$

Real values



Hardly feasible

Binary values



Physically feasible



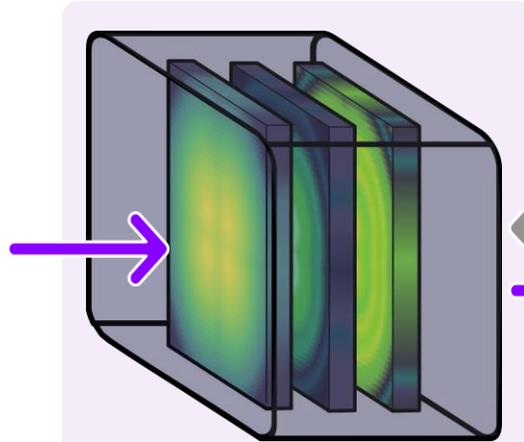
# 1. Regularization to address physical constraints

Dataset



$\mathbf{f}_k$

Optical Encoder

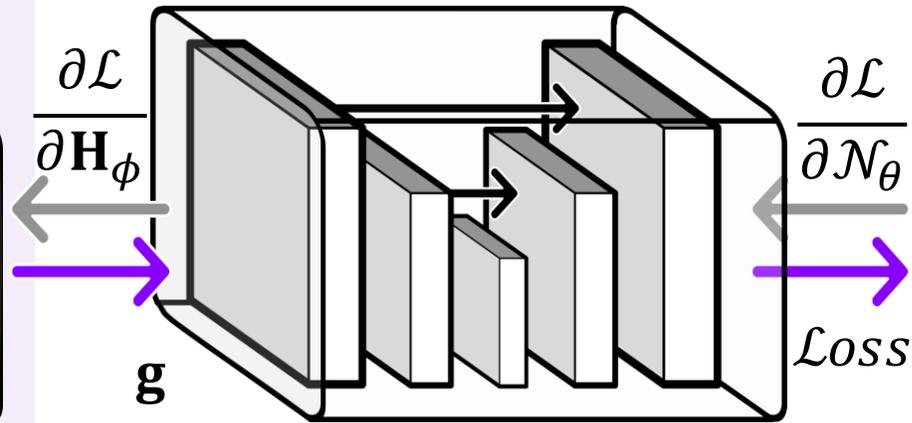


$\mathbf{H}_\phi \mathbf{f}_k$

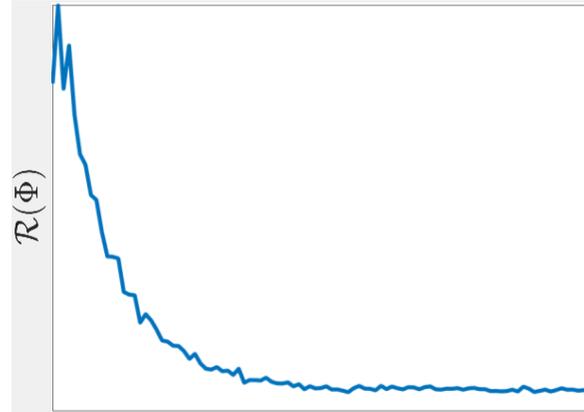


Binarization

Computational Decoder



$\mathcal{N}_\theta$



Iterations

Recovered



$\mathbf{d}_k$

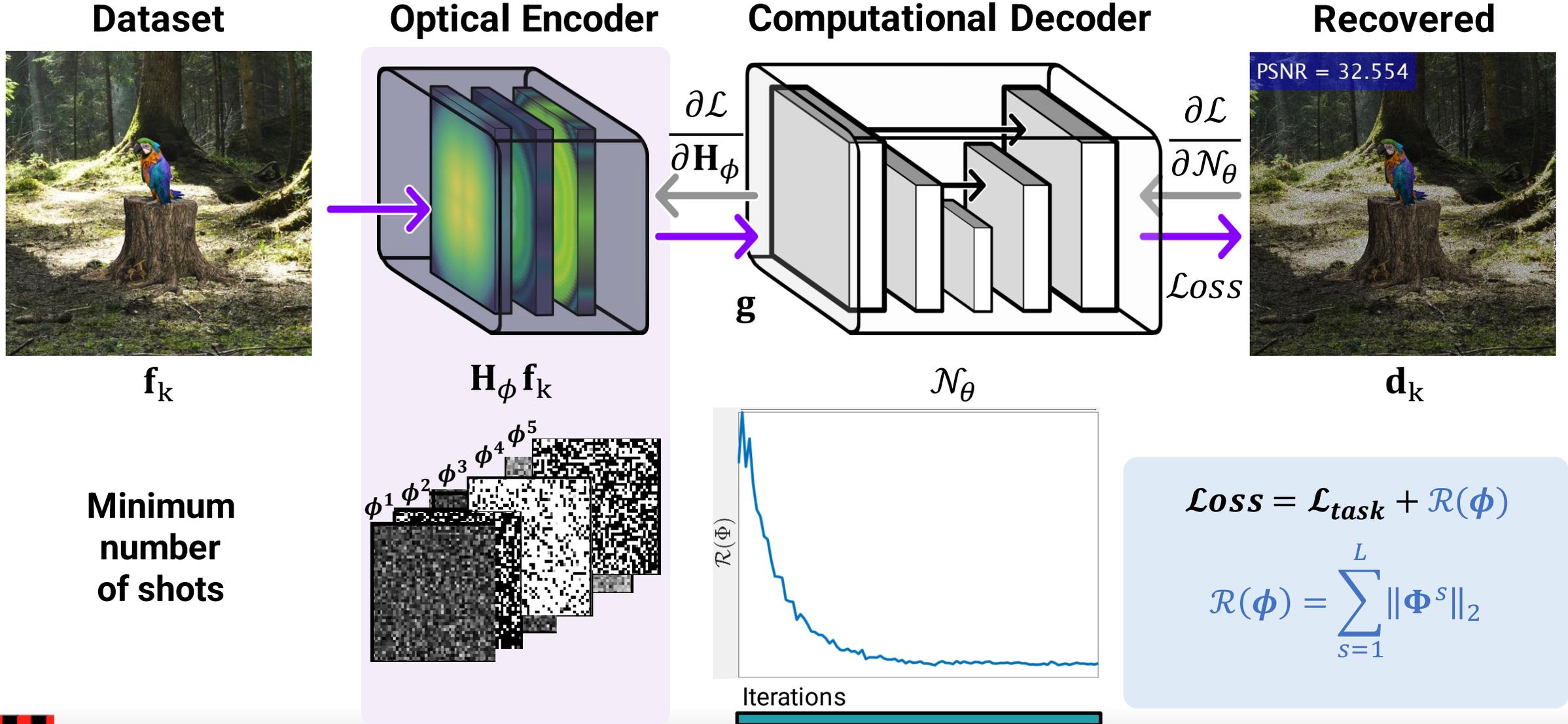
$$\text{Loss} = \mathcal{L}_{\text{task}} + \mathcal{R}(\phi)$$

$$\mathcal{R}(\phi) = \frac{1}{n} \sum_{l=1}^n (\phi_l)^2 (\phi_l - 1)^2$$

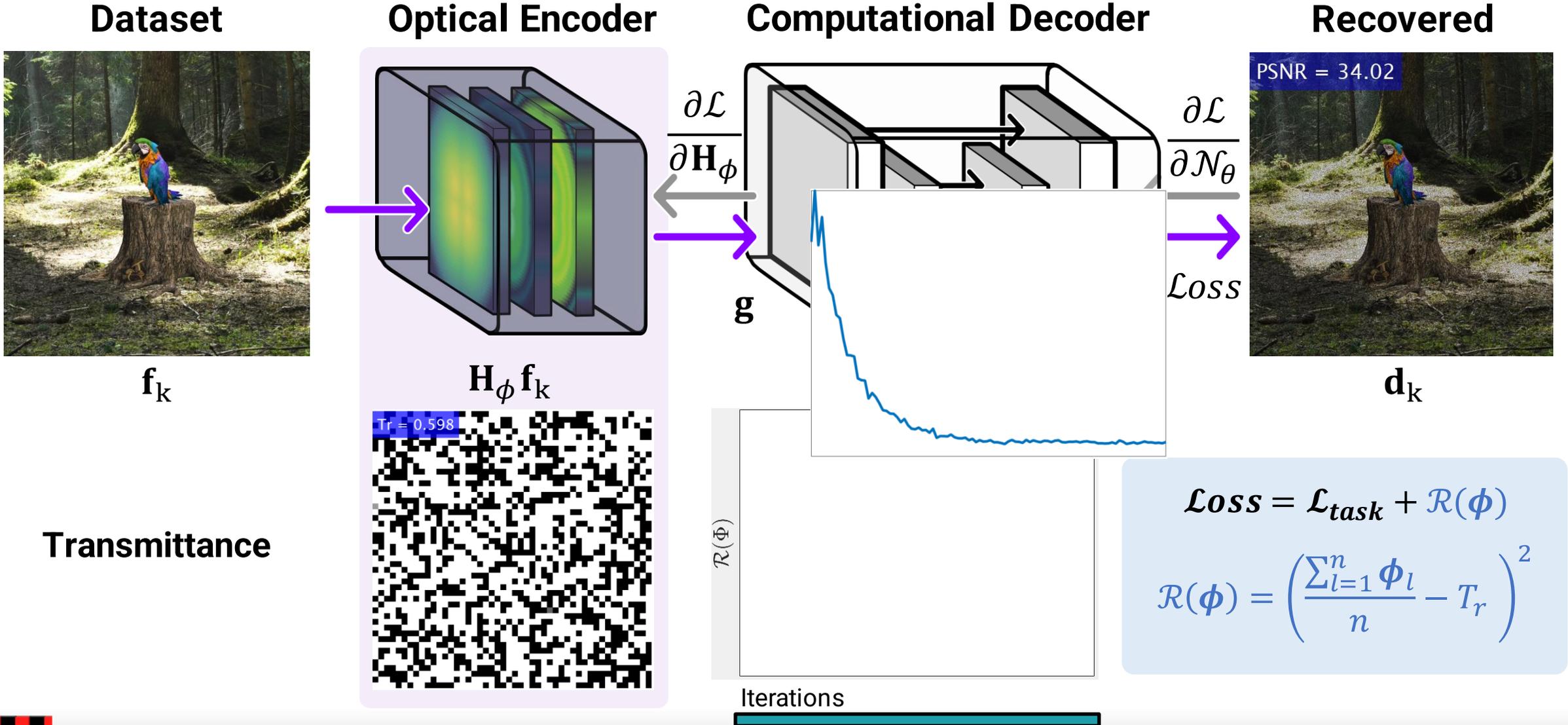
$$\mathcal{R}(\phi) = \frac{1}{n} \sum_{l=1}^n (\sin(\phi_l))^2 (\sin(\phi_l) - 1)^2$$



# 1. Regularization to address physical constraints



# 1. Regularization to address physical constraints



## 2. End-to-End Regularization Strategy

$$\{\phi^*, \theta^*\} = \arg \min_{\phi, \theta} \mathbb{E} [\mathcal{L}_{task}(\mathcal{N}_{\theta}(\mathbf{H}_{\phi} \mathbf{f}), \mathbf{f}) + \rho \mathcal{R}(\phi) + \tau \mathcal{R}(\mathbf{H}_{\phi})]$$

Regularization **improves performance** by inducing properties in the Optical Design:

$$\phi^{i+1} = \phi^i - \alpha \left( \frac{\partial \mathcal{L}_{task}(\mathcal{N}_{\theta}(\mathbf{H}_{\phi} \mathbf{f}), \mathbf{f})}{\partial \phi} + \rho \frac{\partial \mathcal{R}(\phi)}{\partial \phi} + \tau \frac{\partial \mathcal{R}(\mathbf{H}_{\phi})}{\partial \phi} \right)$$

### 2. Improve performance

$\mathcal{R}(\mathbf{H}_{\phi})$

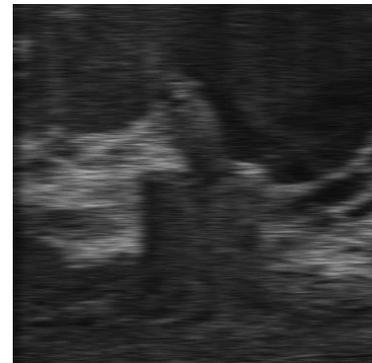
$\mathbf{H}_{\phi}$



$$\mathbf{G}_{\phi} = \mathbf{H}_{\phi}^{\top} \mathbf{H}_{\phi}$$

1.  $\|\mathbf{f}_k - \mathbf{G}_{\phi} \mathbf{f}_k\|_2^2$
2.  $\|\mathbf{f}_k - (\mathbf{G}_{\phi} + \gamma \mathbf{I})^{-1} \mathbf{G}_{\phi} \mathbf{f}_k\|_2^2$
3.  $\sum_j \sum_i \left( \frac{\|\mathbf{H}_{\phi}(\mathbf{f}_i - \mathbf{f}_j)\|_2}{\|\mathbf{f}_i - \mathbf{f}_j\|_2} - 1 \right)^2$
4.  $D_{\text{KL}}(q_{\phi}(\mathbf{H}_{\phi} \mathbf{f}_k | \mathbf{f}_k) \parallel p(\mathbf{H}_{\phi} \mathbf{f}_k))$

Non-Optimized



Bad conditioned

Optimized



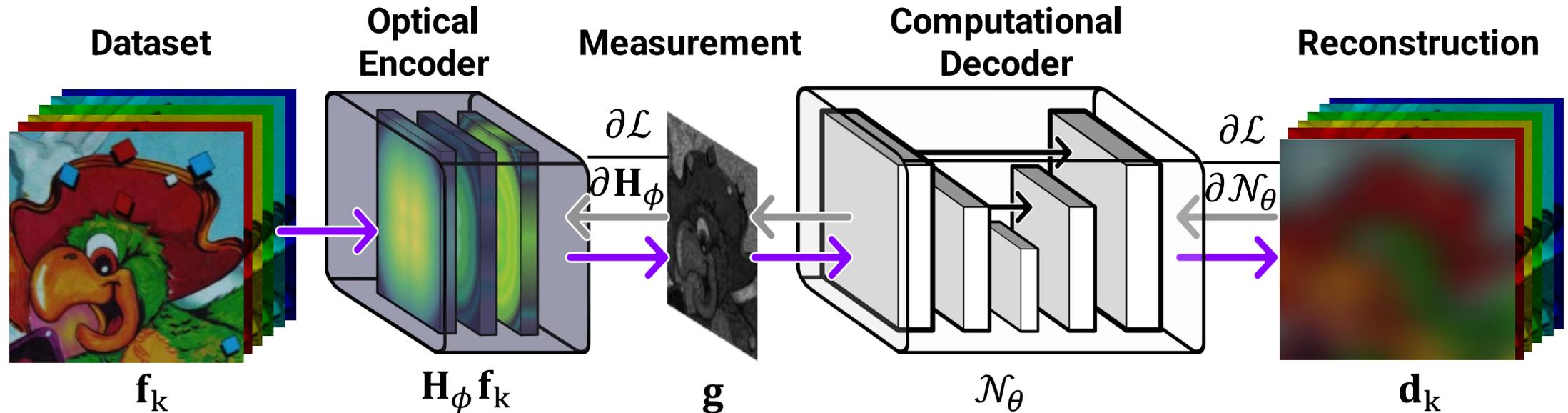
Well conditioned



## 2. Regularization to improve the performance

Data-driven conditionality

$$\mathcal{R}(\mathbf{H}_\phi \mathbf{f}_k) = \|\mathbf{f}_k - \mathbf{H}_\phi^T \mathbf{H}_\phi \mathbf{f}_k\|_2^2$$



Without regularization ✗



$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

With regularization ✓



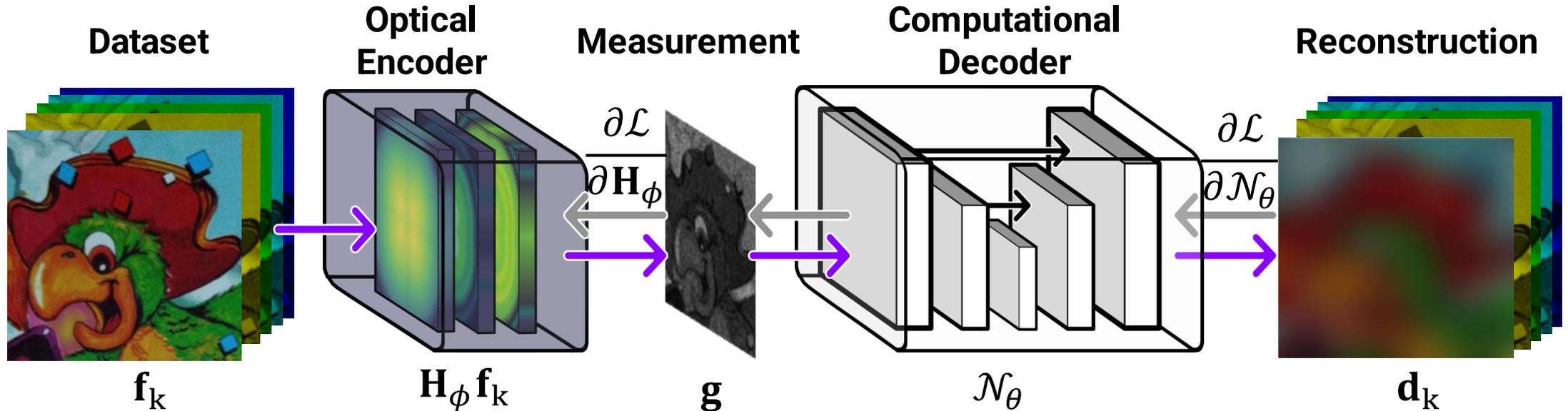
$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

## 2. Regularization to improve the performance

Inversion regularizer

$$\|\mathcal{R}(\mathbf{H}_\phi \mathbf{f}_k)\| = \left\| \mathbf{f}_k - (\mathbf{G}_\phi + \gamma \mathbf{I})^{-1} \mathbf{G}_\phi \mathbf{f}_k \right\|_2^2$$



Without regularization ✗



$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

With regularization ✓



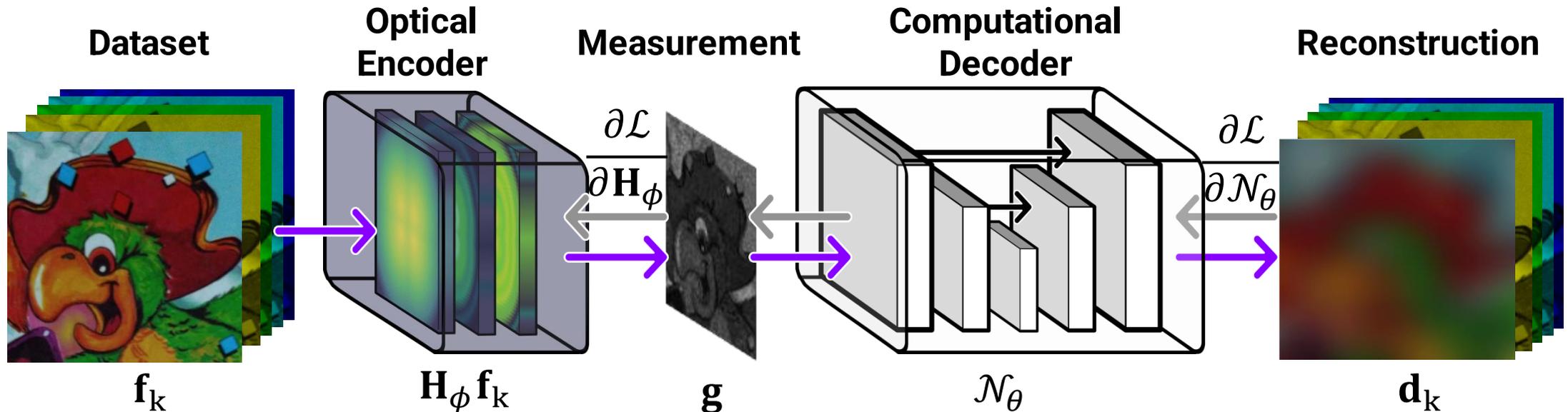
$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

## 2. Regularization to improve the performance

Restricted Isometry Property

$$\mathcal{R}(\mathbf{H}_\phi \mathbf{f}) = \sum_j \sum_i \left( \frac{\|\mathbf{H}_\phi(\mathbf{f}_i - \mathbf{f}_j)\|_2}{\|\mathbf{f}_i - \mathbf{f}_j\|_2} - 1 \right)^2$$



Without regularization ✘



$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

With regularization ✔



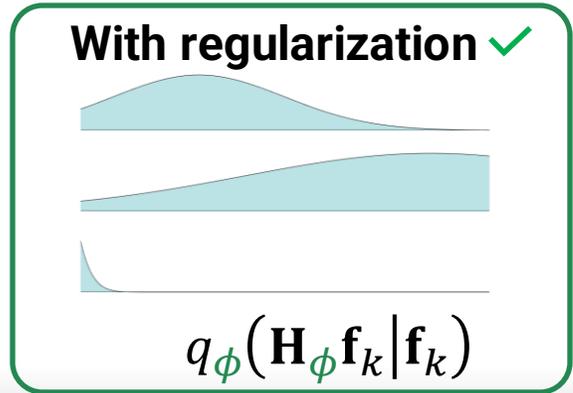
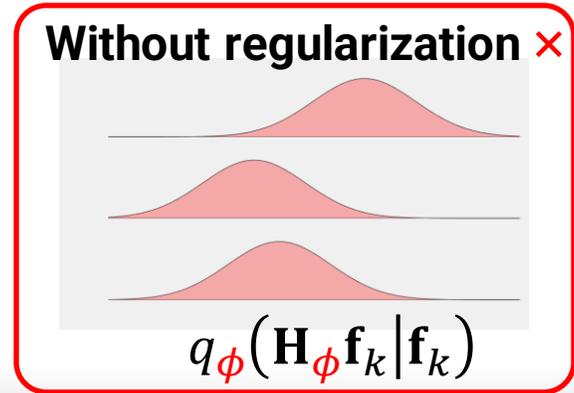
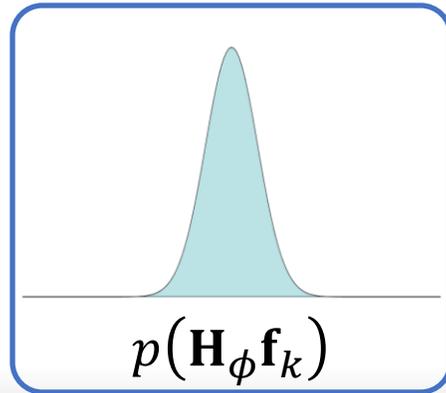
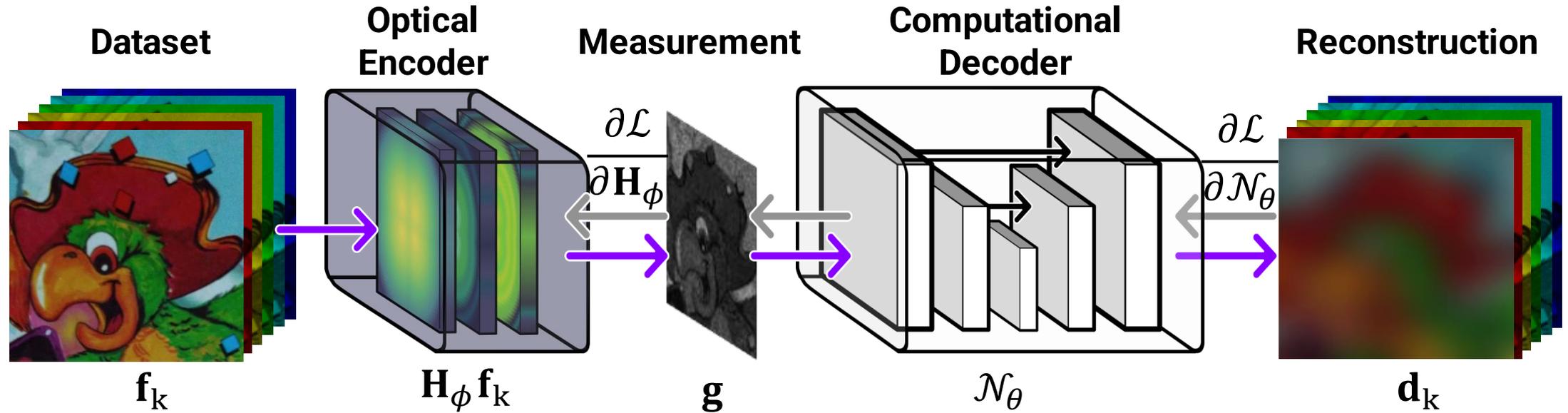
$\mathbf{G}_\phi \mathbf{f}_k$

$\mathcal{N}_\theta(\mathbf{H}_\phi \mathbf{f}_k)$

## 2. Regularization to improve the performance

Distribution regularization

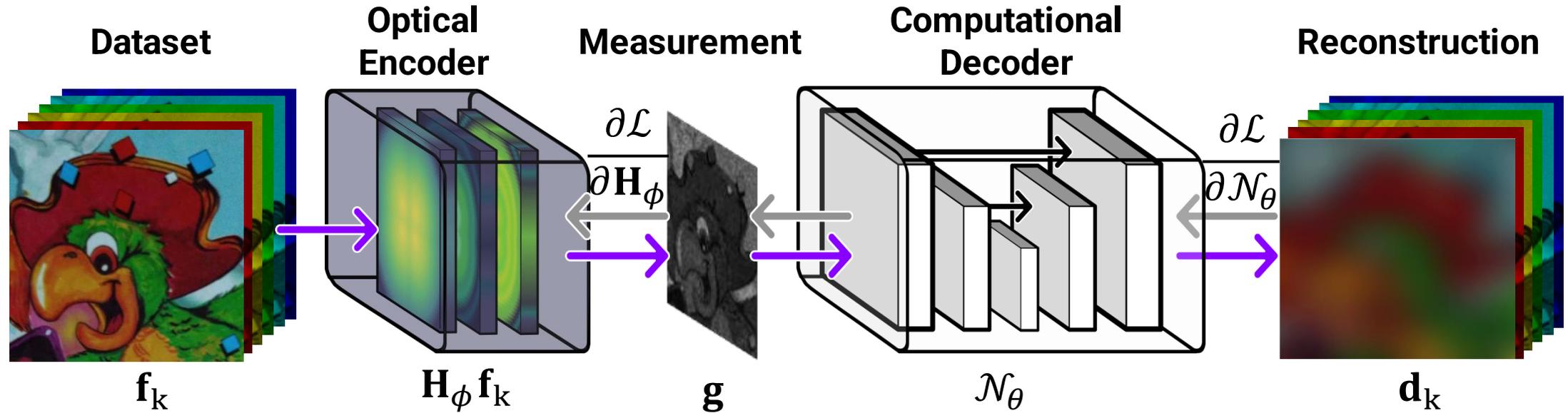
$$\mathcal{R}(\mathbf{H}_\phi \mathbf{f}) = D_{KL}(q_\phi(\mathbf{H}_\phi \mathbf{f} | \mathbf{f}) \parallel p(\mathbf{H}_\phi \mathbf{f}))$$



## 2. Regularization to improve the performance

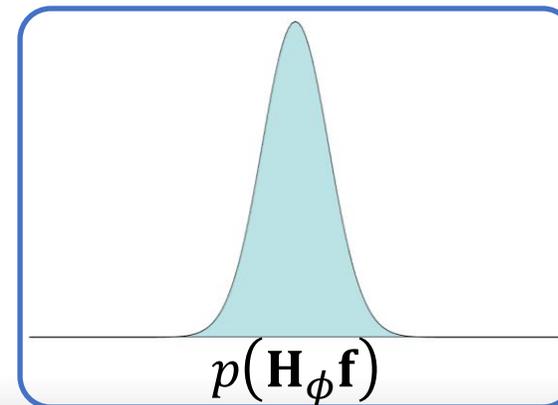
Distribution regularization

$$\mathcal{R}(\mathbf{H}_\phi \mathbf{f}) = D_{KL}(q_\phi(\mathbf{H}_\phi \mathbf{f} | \mathbf{f}) \parallel p(\mathbf{H}_\phi \mathbf{f}))$$



What is the best prior distribution for the regularization?

Depending on the **dataset** and the **computational task**, it must be chosen the **optimal distribution** of the measurements.



This regularization can be used in any computational task



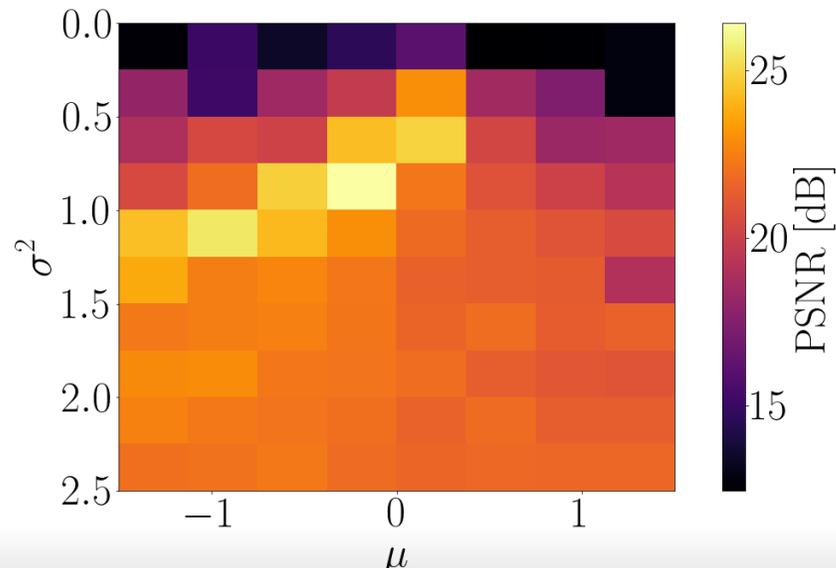
## 2. Regularization to improve the performance

### Distribution regularization

When the prior distribution is Gaussian, *i.e.*  $p(\mathbf{H}_\phi \mathbf{g}) = \mathcal{N}(\mu, \sigma^2)$  what is the best configuration of  $\mu$  and  $\sigma^2$  for a given task?

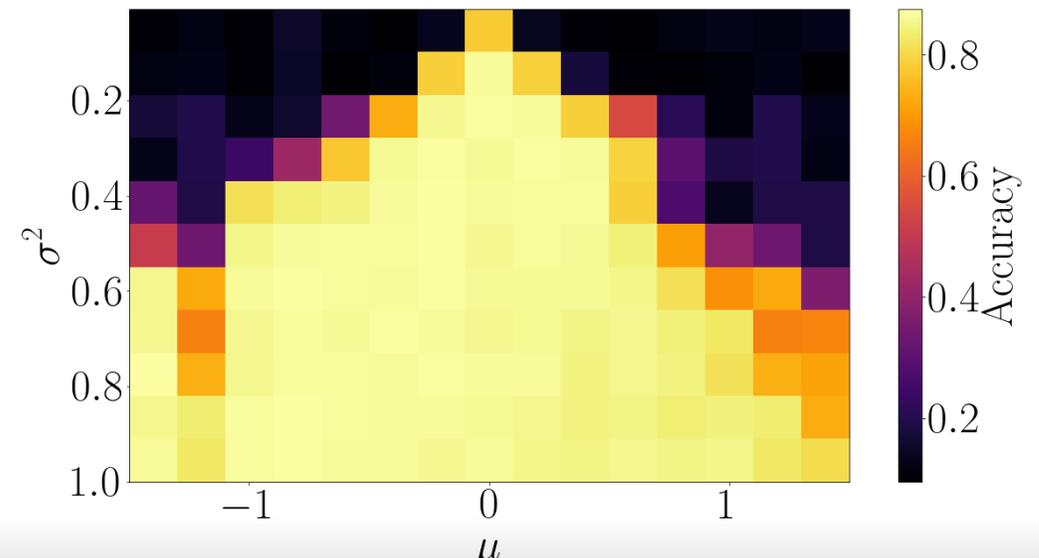
#### Recovery Task

More concentrated measurements allows better reconstruction



#### Classification Task

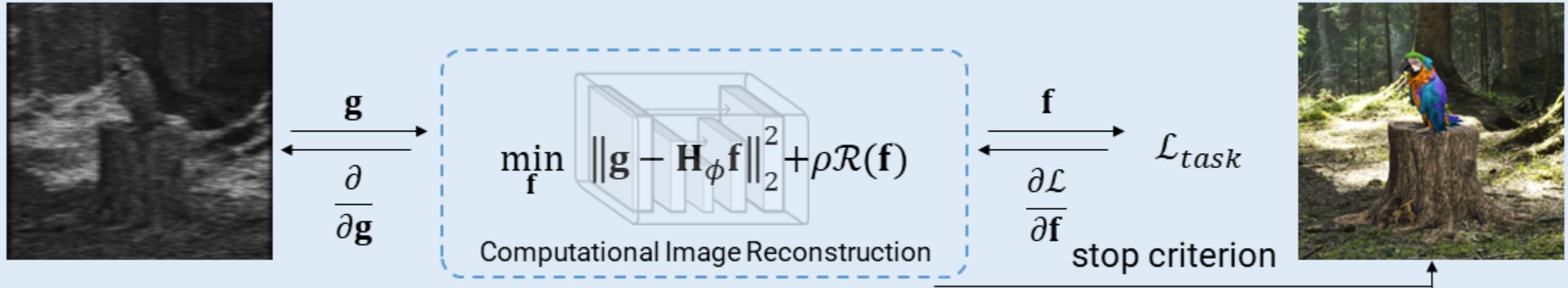
More separated measurements makes easier the classification



# Computational Decoder

## Optimization Algorithms

### Differentiable based<sup>1</sup>

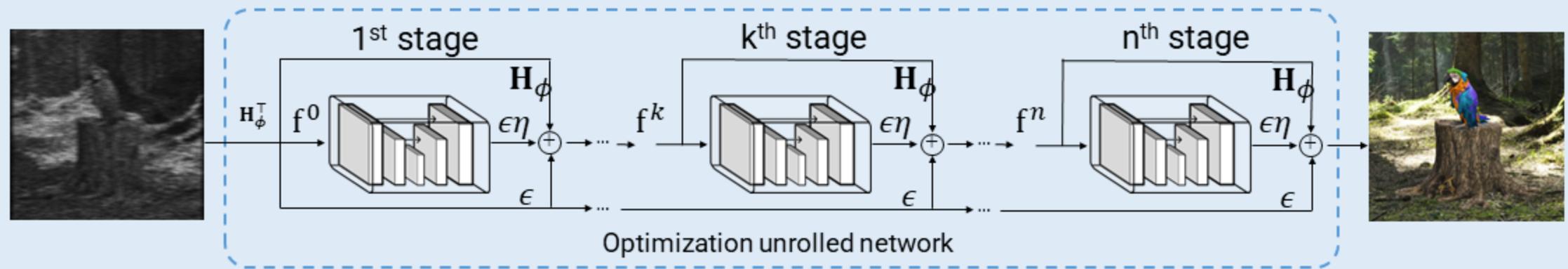


- **Fully- differentiable** optimization model for image recovery.
- Jointly optimization of **optical parameters** and image processing **algorithm parameters**.

# Computational Decoder

## Deep Learning Decoder

### Unrolling Optimization based<sup>1</sup>



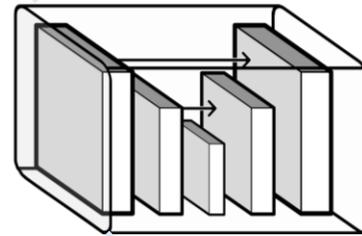
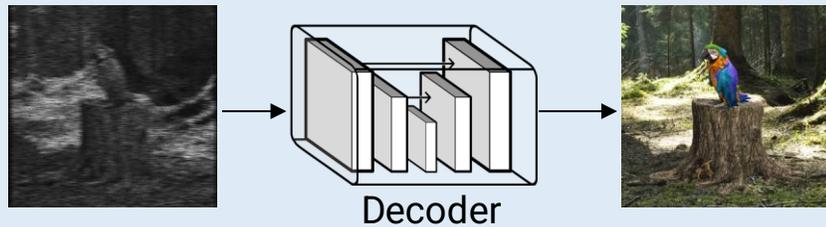
- Advantages of **differentiable-based** approach.
- Unrolled network **boosts the reconstruction speed** by freezing the parameters of iteration<sup>2</sup>.
- Each stage aims to solve an iteration equation, which makes the network **explainable**<sup>3</sup>.

1. Monga, V et al. Algorithm unrolling: Interpretable, efficient deep learning for signal and image processing. IEEE Signal Processing Magazine.  
2. Huang, L. et al. Spectral imaging with deep learning. Light: Science & Applications.  
3. Monroy, B. et al. JR2net: A Joint Non-Linear Representation and Recovery Network for Compressive Spectral Imaging. arXiv preprint.

# Computational Decoder

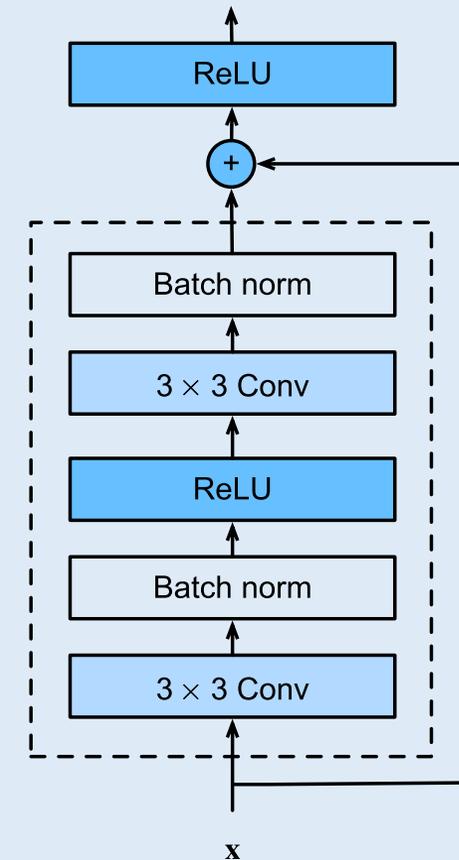
## Deep Learning Decoder

### Black-box based<sup>1</sup>



- **Non-linear inverse mapping** from measurements to image recovery
- **Several architectures** for vast compressive imaging applications.

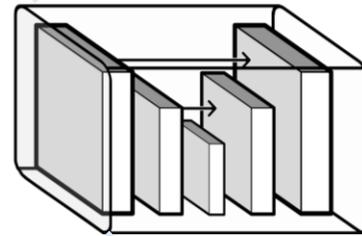
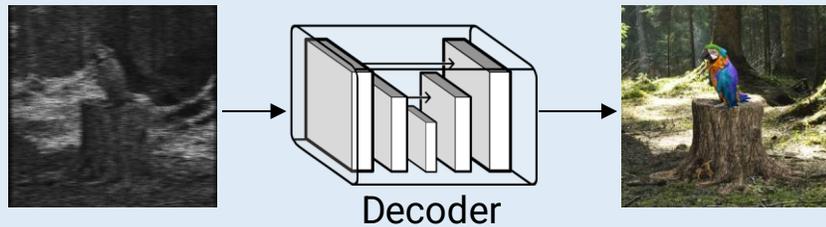
### ResNet



# Computational Decoder

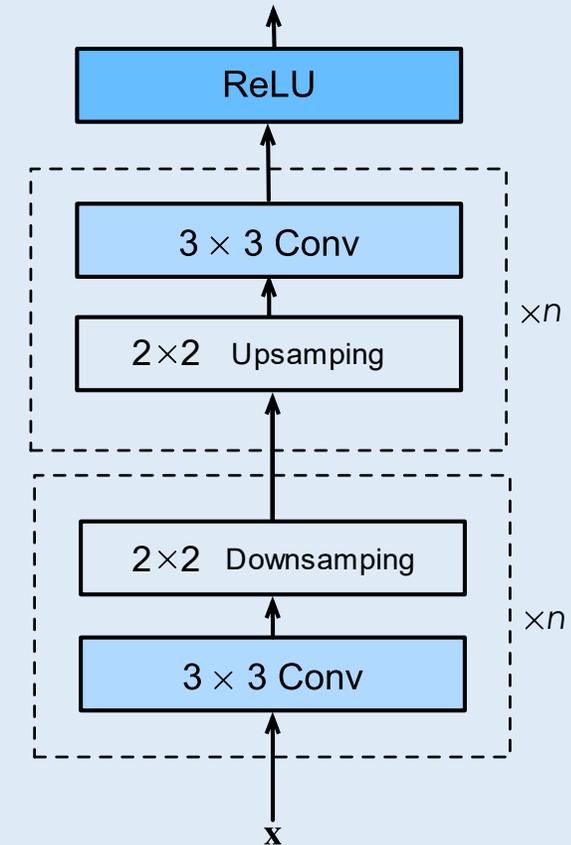
## Deep Learning Decoder

### Black-box based<sup>1</sup>



- **Non-linear inverse mapping** from measurements to image recovery
- **Several architectures** for vast compressive imaging applications.

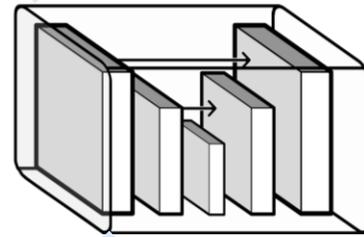
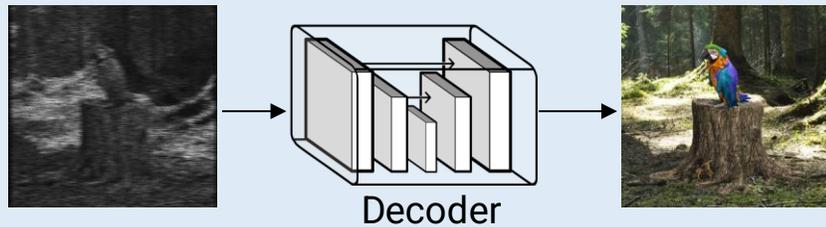
### Autoencoder



# Computational Decoder

## Deep Learning Decoder

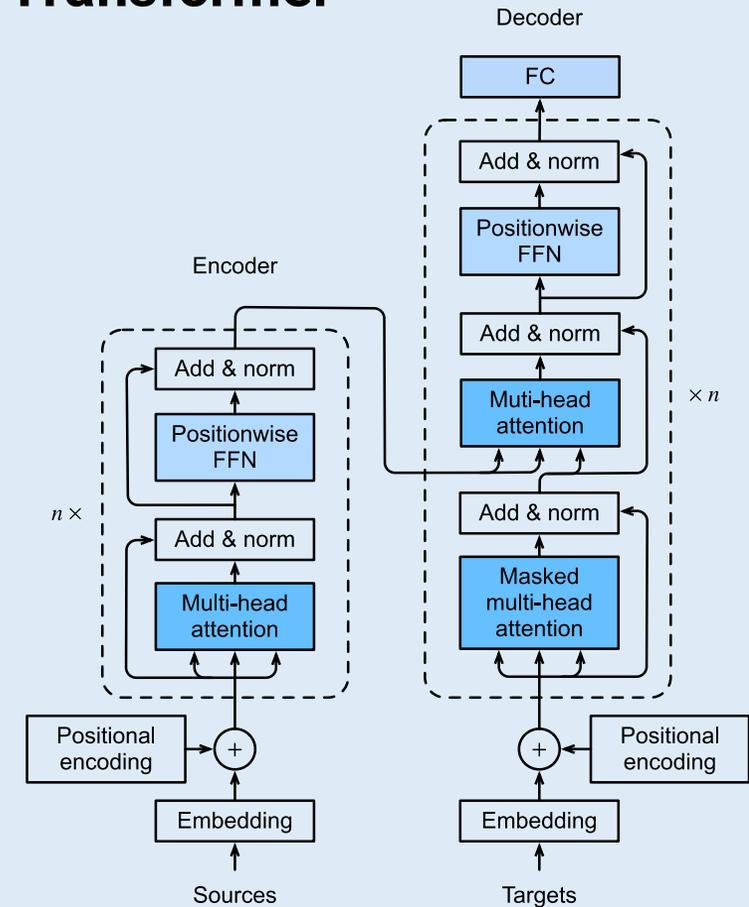
### Black-box based<sup>1</sup>



- **Non-linear inverse mapping** from measurements to image recovery
- **Several architectures** for vast compressive imaging applications.

from  
vast

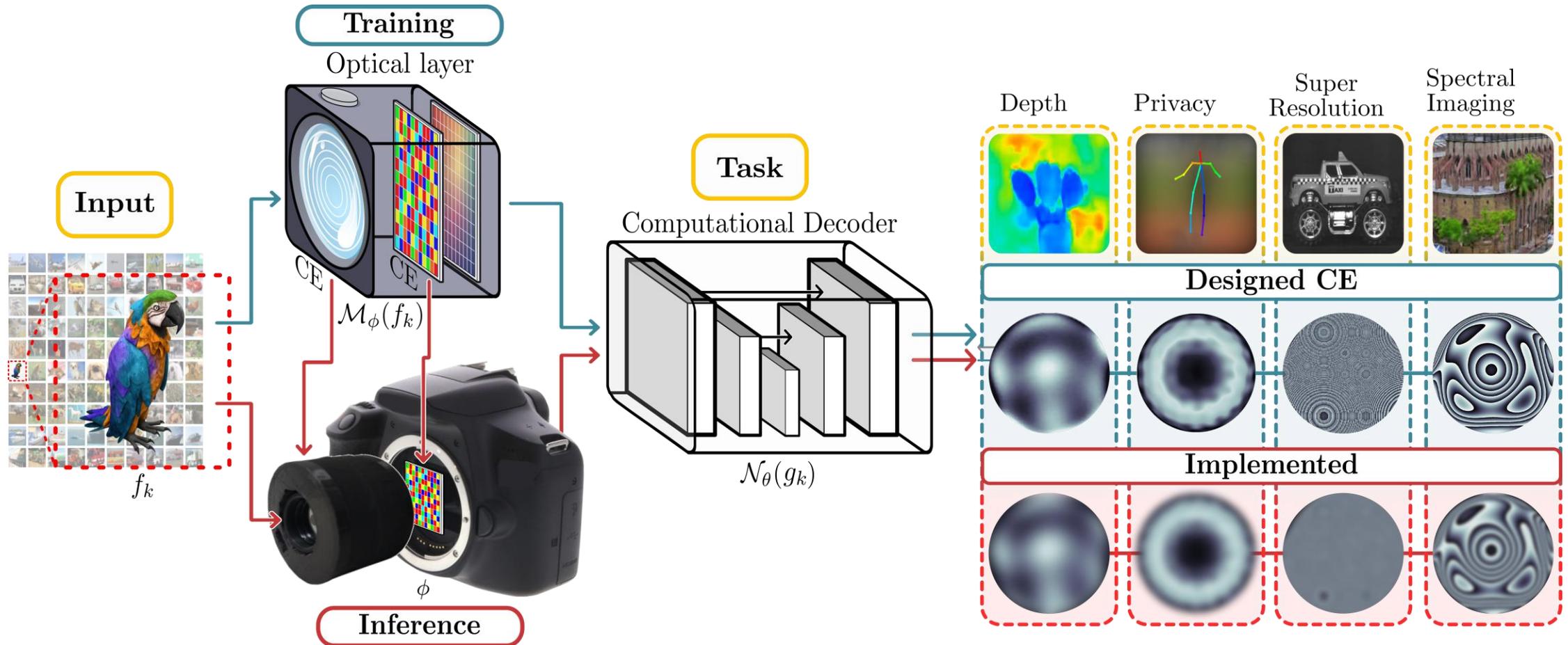
## Transformer





# Implementations and Applications

# Implementation and Fabrication of Coding Elements



Once the CE is designed, it is fabricated and implemented in real setups.

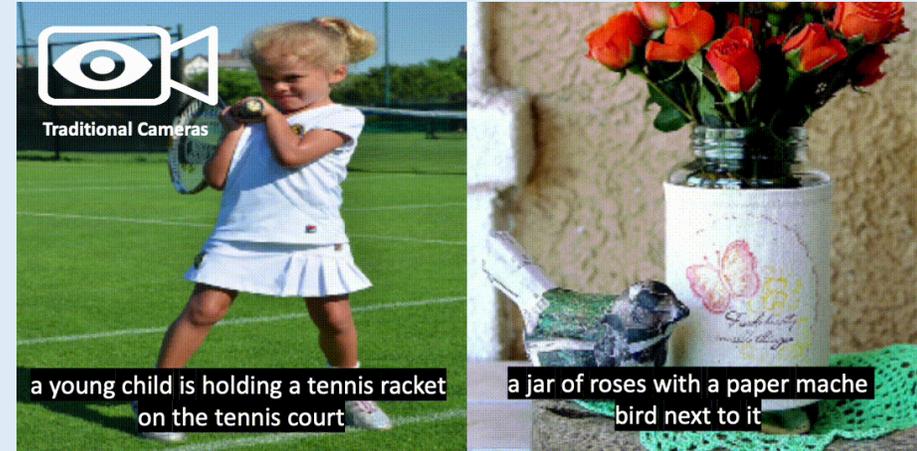


# Applications

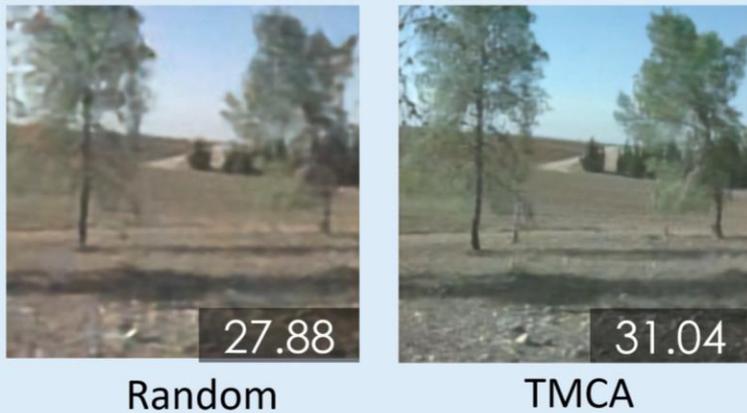
## Privacy: Pose Estimation<sup>1</sup>



## Privacy: Scene Captioning<sup>2</sup>



## Compressive Sensing: Refractive<sup>3</sup>



## Compressive Sensing: Diffractive<sup>4</sup>



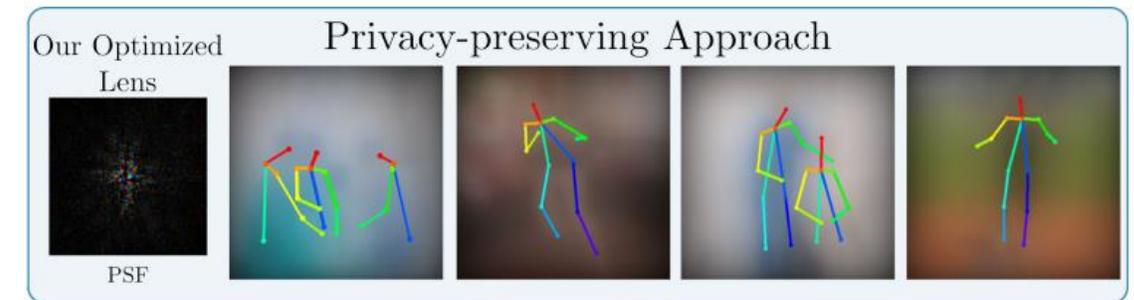
1. Hinojosa, et al. (2021). Learning Privacy-preserving Optics for Human Pose Estimation. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*.

2. Arguello, et al. (2021). Shift-variant color-coded diffractive spectral imaging system. *Optica*.

3. Vargas, et al. (2021). Time-Multiplexed Coded Aperture Imaging: Learned Coded Aperture and Pixel Exposures for Compressive Imaging Systems. In *Proceedings of the IEEE/CVF ICCV*.

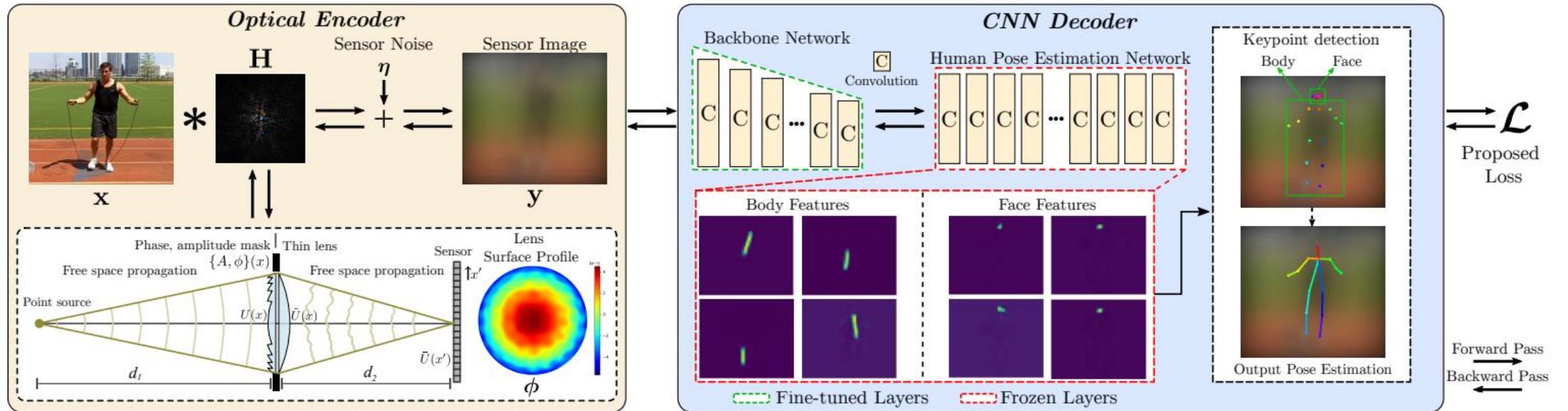
4. Hinojosa, et al. (2021). Learning Privacy-preserving Optics for Human Pose Estimation. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*.

# Privacy-Preserving: Pose Estimation



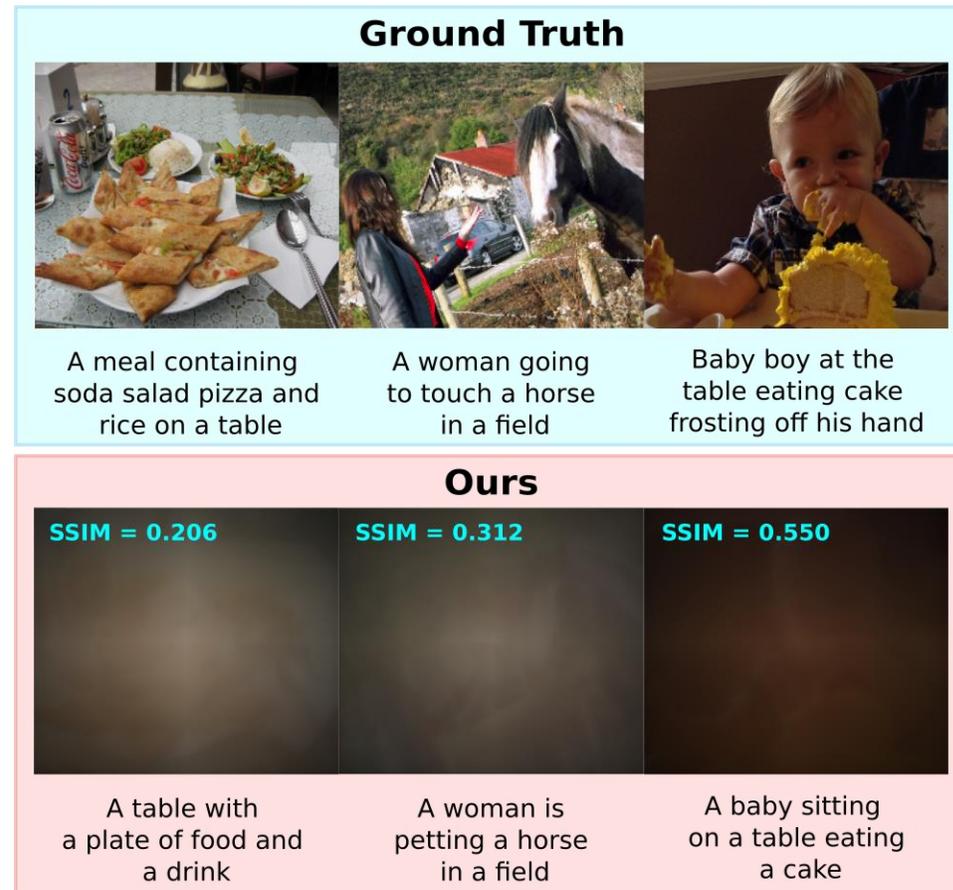
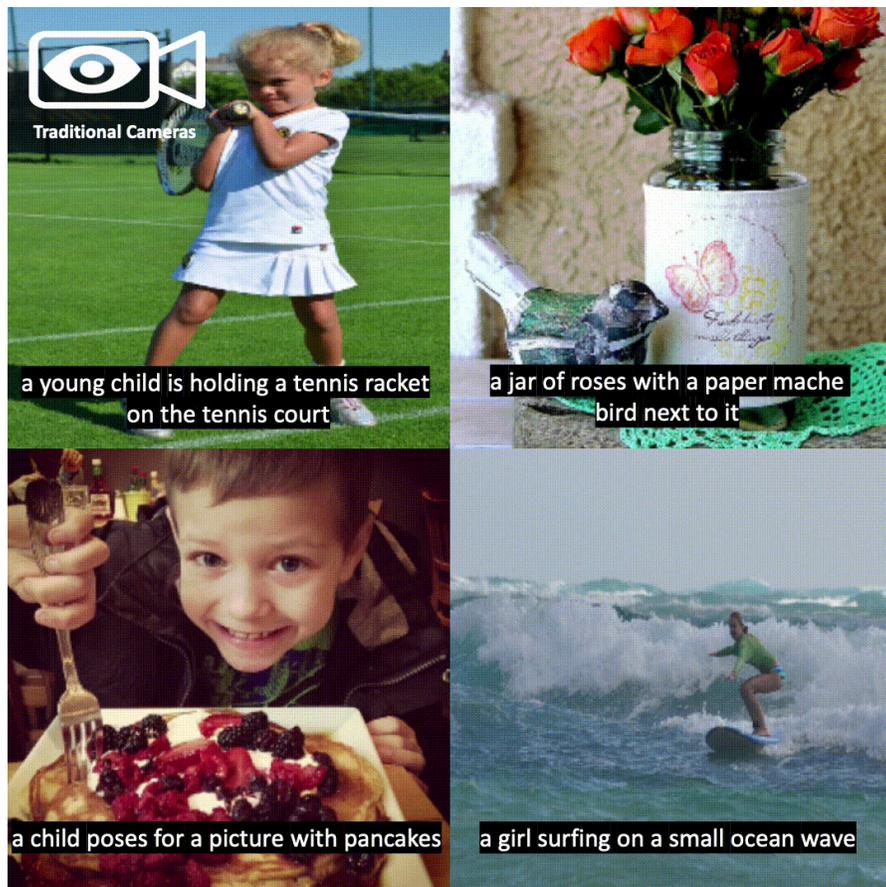
The goal is to **estimate the pose** of the people in the scene while maintaining **privacy**.

# Privacy-Preserving: Pose Estimation



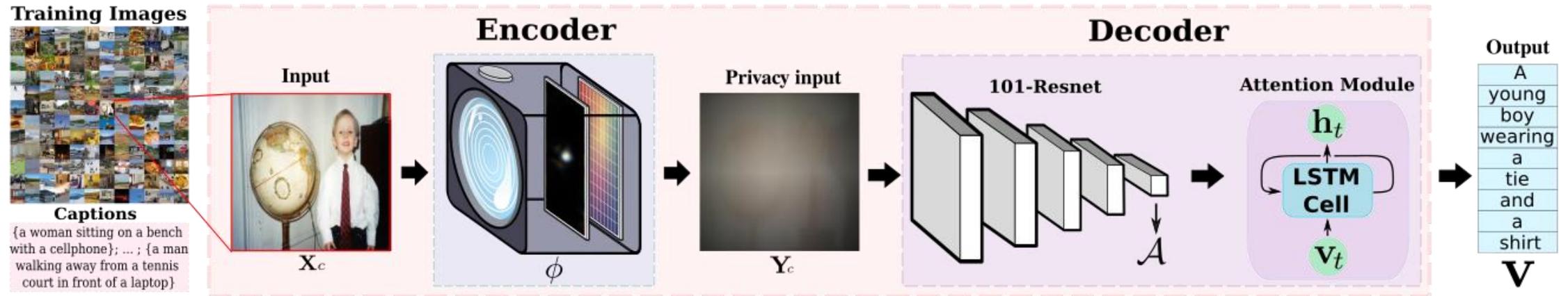
The goal is to **estimate the pose** of the people in the scene while maintaining **privacy**.

# Privacy-Preserving: Scene Captioning



The goal is to **preserve privacy** while performing the **image captioning task**.

# Privacy-Preserving: Scene Captioning



**Privacy Preserving**



**Ground truth**

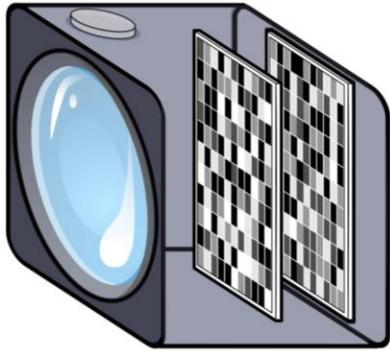


The goal is to **preserve privacy** while performing the **image captioning task**.

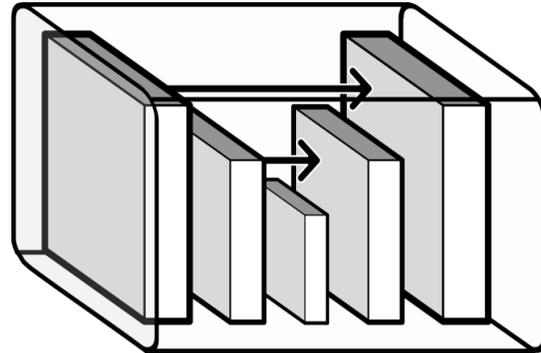
# Compressive Sensing: Refractive Imaging

Time Multiplexed Coded Aperture (TMCA)

Optical Encoder



Computational Decoder



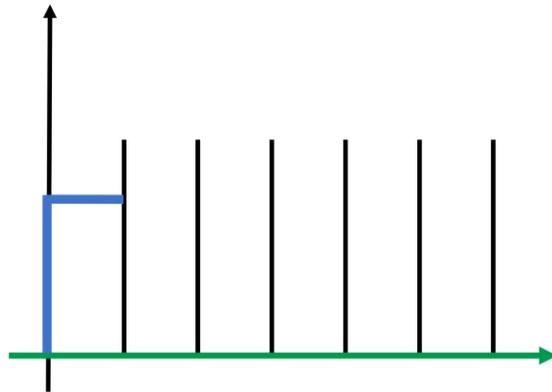
Traditional



TMCA

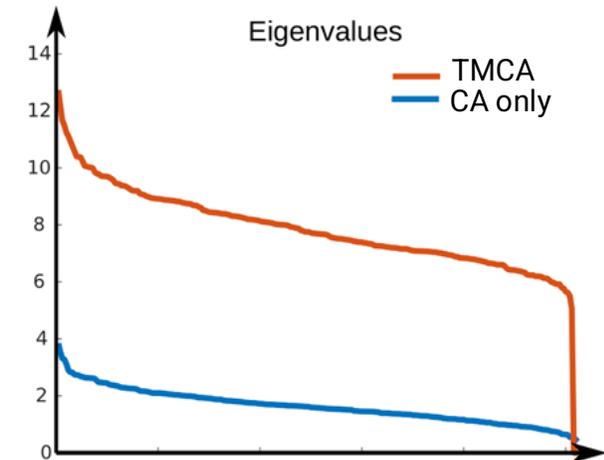


Recovery

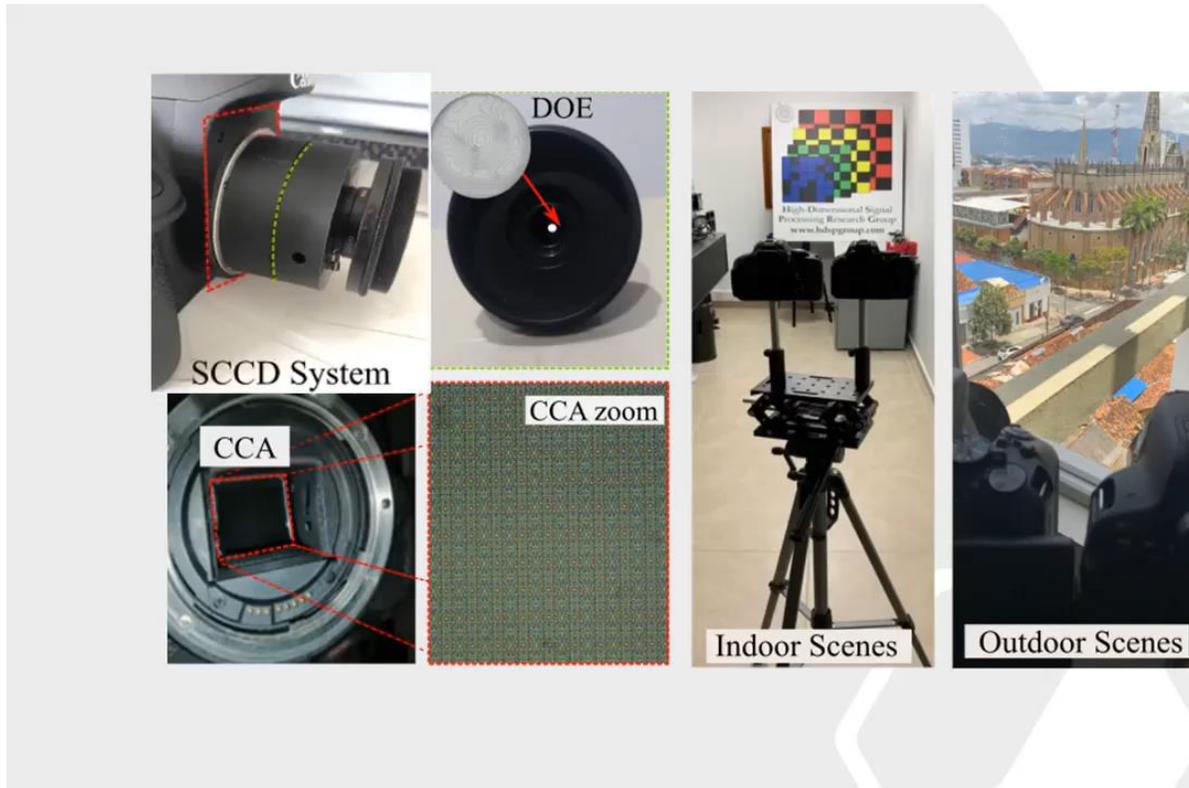


*Shutter at pixel<sub>x,y</sub>(t)*

TMCA improves the **conditioning** of sensing matrices



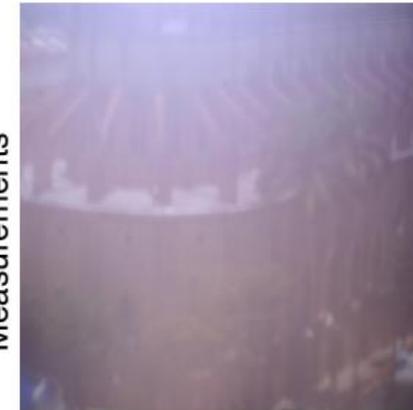
# Compressive Sensing: Diffractive Imaging



Non-Data Driven  
Designed

Data Driven  
Optical Design

Measurements



Recovered



# Conclusions

**To take away:** The optical design can be addressed by parameterization and regularization in an E2E approach.

$$\{\phi^*, \theta^*\} = \arg \min_{\phi, \theta} \mathbb{E} [\mathcal{L}_{task} + \rho \mathcal{R}(\phi) + \tau \mathcal{R}(\mathbf{H}_\phi)]$$

## 1. Address physical constraints

$$\mathcal{R}(\phi)$$

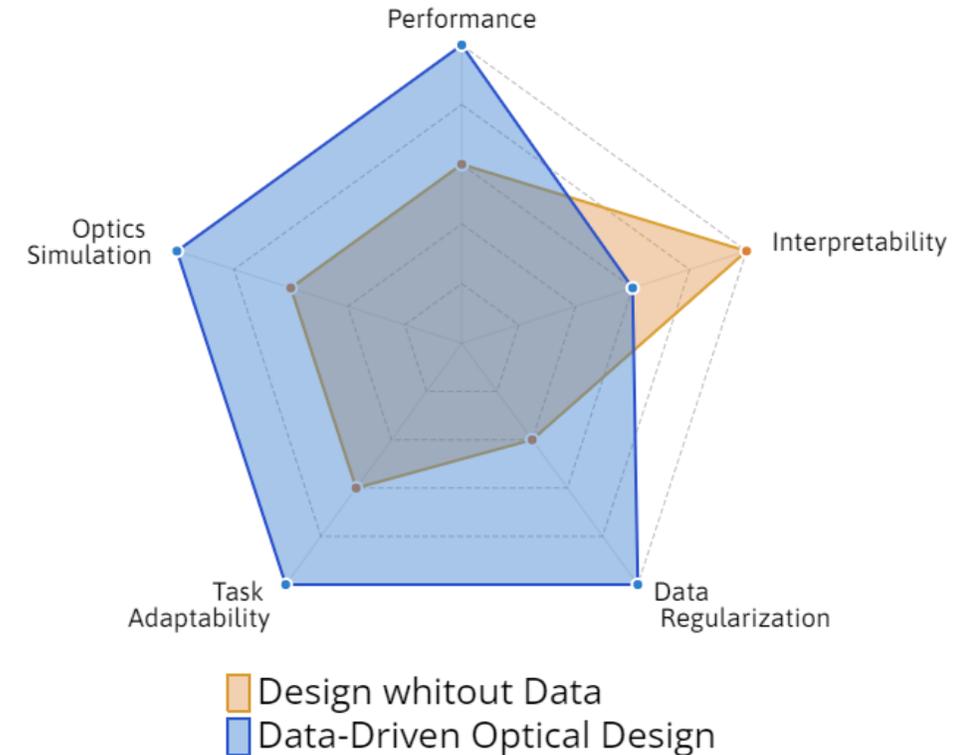
1.  $\frac{1}{n} \sum_{l=1}^n (\phi_l)^2 (\phi_l - 1)^2$
2.  $\sum_{j=1}^S \sqrt{\sum_{l=1}^n (\phi_l^j)^2}$
3.  $\left( \frac{\sum_{l=1}^n \phi_l}{n} - T_r \right)^2$

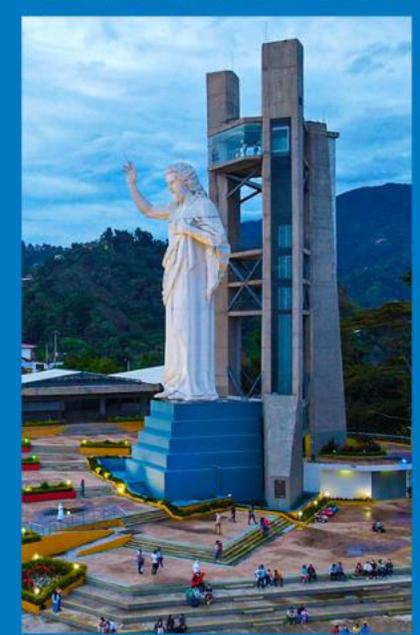
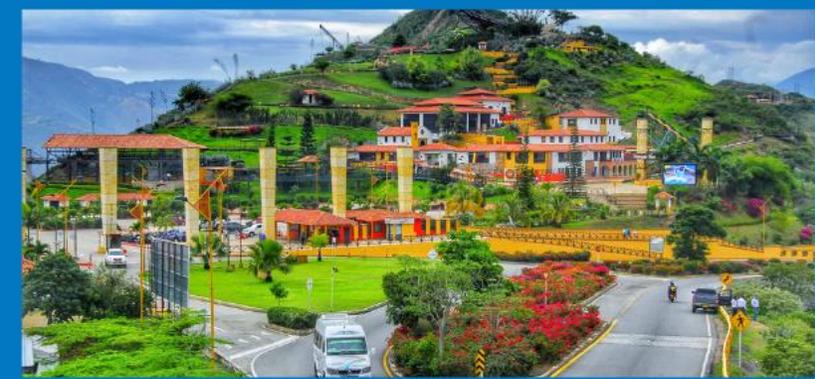
## 2. Improve Performance

$$\mathcal{R}(\mathbf{H}_\phi)$$

$$\mathbf{G}_\phi = \mathbf{H}_\phi^\top \mathbf{H}_\phi$$

1.  $\|\mathbf{f}_k - \mathbf{G}_\phi \mathbf{f}_k\|_2^2$
2.  $\|\mathbf{f}_k - (\mathbf{G}_\phi + \gamma \mathbf{I})^{-1} \mathbf{G}_\phi \mathbf{f}_k\|_2^2$
3.  $\sum_j \sum_i \left( \frac{\|\mathbf{H}_\phi(\mathbf{f}_i - \mathbf{f}_j)\|_2}{\|\mathbf{f}_i - \mathbf{f}_j\|_2} - 1 \right)^2$
4.  $D_{\text{KL}}(q_\phi(\mathbf{H}_\phi \mathbf{f}_k | \mathbf{f}_k) \| p(\mathbf{H}_\phi \mathbf{f}_k))$







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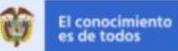



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